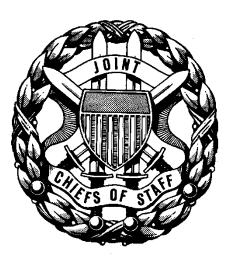
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JOINT ELECTROMAGNETIC SPECTRUM MANAGEMENT OPERATIONS IN THE ELECTROMAGNETIC OPERATIONAL ENVIRONMENT



JOINT STAFF WASHINGTON, D.C. 20318



CHAIRMAN OF THE JOINT CHIEFS OF STAFF MANUAL

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JOINT ELECTROMAGNETIC SPECTRUM MANAGEMENT OPERATIONS IN THE ELECTROMAGNETIC OPERATIONAL ENVIRONMENT

Reference(s): Enclosure E

1. Purpose

a. This manual provides planners, decision makers, and spectrum managers with electromagnetic spectrum (EMS management guidance for joint/coalition forces. This guidance is intended to aid and guide the joint force commander (JFC) when establishing a joint command, regardless of echelon in the planning, coordinating, and controlling use of the electromagnetic operational environment (EMOE).

b. Military operations are executed in an operational environment complicated by increasingly dense and complex demands on the use of the EMS. An operational environment is the composite of the conditions, circumstances, and influences that affect employment of capabilities and bear on the decisions of the commander. It is defined as areas with geographical boundaries in order to facilitate coordination, integration, and deconfliction of joint operations among joint force components and supporting commands. Emitters whose functions depend upon electromagnetic (EM) energy are increasingly used alone and in networked arrangements by both civilian and military organizations and individuals for intelligence; communications; position, navigation, and timing (PNT); sensing; command and control (C2); attack; ranging; unmanned aircraft systems; civil infrastructure; data transmission; information storage and processing; as well as a variety of other purposes. The increasing portability and affordability of sophisticated EMSdependent systems guarantees that each EMOE in which military forces operate will become more complex in the future. The recognized need for military forces to have unimpeded access to, and use of, the EMS created the need for joint electromagnetic spectrum operations (JEMSO). JEMSO are those activities carried out to successfully plan and execute joint or multinational operations in order to control and manage the use of the EMOE. JEMSO is

comprised of joint electromagnetic spectrum management operations (JEMSMO) and electronic warfare (EW) and aims to exploit, attack, protect, and manage resources within the EMOE and resolve electromagnetic interference (EMI) in order to achieve the objectives of the Combatant Commander (CCDR). An effective EMS management structure is necessary not only to satisfy the EMS resource needs of military users, but also to coordinate with host nations (HNs) to facilitate effective employment.

c. The selection of a command organization to execute a contingency operation or crisis action depends primarily on the mission to be accomplished and the objectives to be attained. The use of a joint task force (JTF) is considered the most appropriate for short-notice, time-sensitive, contingency, crisis action, or special operations (relief, evacuation) expected to be of limited duration.

2. Cancellation. CJCSM 3320.01B, 25 March 2006, is cancelled.

3. <u>Applicability</u>. This manual is applicable to the Military Departments (MILDEPs) (to include the U.S. Coast Guard), Combatant Commands (CCMDs), unified commands, sub unified commands, Service component commands, JTFs, combined commands, Defense agencies, and Department of Defense elements of the Intelligence community; hereafter referred to as the DoD components.

4. <u>Procedures</u>. Controlling the EMOE is key to successful military operations. This publication will guide the JTF establishing authority, the JFC and staff, and subordinate commanders and staff in planning, coordinating, and controlling the EMOE.

5. <u>Summary</u>. Defines JEMSO; updates acronyms; electronic warfare cell (EWC) structure and responsibilities; and revises purpose. Information and procedures contained herein will standardize EMS management operations for JTFs. The objective of this document is to provide guidance on command and spectrum management relationships in a JTF.

6. <u>Releasability</u>. This manual is approved for public release; distribution is unlimited. DoD components (to include the CCMDs), other Federal agencies, and the public may obtain copies of this manual through the Internet from the Chairman of the Joint Chiefs of Staff (CJCS) Directives Home Page-http://www.dtic.mil/ cjcs_directives.

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7. Effective Date. This manual is effective upon receipt.

00 N. W. TYSOI

RADM, USN Vice Director, Joint Staff

Enclosures:

A--Command Relationships in a Joint Task Force

B--Spectrum Management Relationships in a Joint Task Force

C--JTF Spectrum Management Lifecycle

D--Spectrum Management Considerations in a Multinational and Coalition Environment

E--References

GL--Glossary

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ENCLOSURE A

COMMAND RELATIONSHIPS IN A JTF

1. <u>Introduction</u>. Command is central to all military action, and unity of command is central to unity of effort. Unity of command is the interlocking web of responsibility, which is a foundation for trust, coordination, and the teamwork necessary for unified military action. Outlined in Figure 1 and as described below are brief descriptions of duties and responsibilities, broken down by command echelon, to give the spectrum manager an overview of this unity of effort.

2. <u>Combatant Command (Command Authority)) (COCOM)</u>. Command Authority is a nontransferable Command Authority established by title 10 ("Armed Forces"), U.S.C., section 164, exercised only by commanders of unified or specified CCMDs unless otherwise directed by the President or the Secretary of Defense. Command Authority cannot be delegated and is the authority of a CCDR to perform those functions of command over assigned forces involving organizing and employing commands and forces, assigning tasks, designating objectives, and giving authoritative direction over all aspects of military operations, joint training, and logistics necessary to accomplish the missions assigned to the command. Command Authority should be exercised through the commanders of subordinate organizations. Normally this authority is exercised through subordinate JFCs and Service and/or functional component commanders. Command Authority provides full authority to organize and employ commands and forces as the CCDR considers necessary to accomplish assigned missions. Operational control is inherent in Command Authority.

3. <u>CCMD</u>. A CCMD is a unified or specified command with a broad continuing mission under a single commander, established and so designated by the President through the Secretary of Defense and with the advice and assistance of the Chairman of the Joint Chiefs of Staff (hereafter referred to as "the Chairman"). Combatant commands typically have geographic or functional responsibilities.

4. <u>Unified CCDR</u>. CCDRs are responsible for the development and production of contingency plans. During peacetime, they act to deter war and prepare for war by planning for the transition to war and military operations other than war. During war, they plan and conduct campaigns and major operations to accomplish assigned missions. They will conduct this by maintaining preparedness of the command, and direct coordination with the subordinate commands to ensure unity of effort in all assigned missions, tasks, and responsibilities.

5. <u>MILDEPs</u>. The Secretaries of MILDEPs are responsible for the administration and support of the forces assigned or attached to CCMDs. Each of the MILDEPs and Services coordinates with the other departments, Services, and CCMDs, and has the responsibility for organizing, training, equipping, and providing forces to fulfill certain specific roles and for administering and supporting these forces.

6. <u>JTF</u>. The Secretary of Defense, the CCDR, subordinate unified commanders, or an existing JTF commander can establish a JTF. A JTF is established when the mission has a specific limited objective and does not require overall centralized control of logistics. The mission assigned a JTF should require execution of responsibilities involving two or more Services on a significant scale and close integration of effort, or should require coordination within a subordinate area or coordination of local defense of a subordinate area. A JTF is dissolved when the purpose for which it was created has been achieved. The Chain of Command structure is shown in Figure 1.

a. <u>JFC</u>. The JFC will provide the superior commander with recommendations on the proper employment of assigned forces and for accomplishing operational missions assigned by the establishing commander. JFCs are also responsible to the CCDR for the conduct of joint training of assigned forces.

b. <u>JTF Staff</u>. JFCs may organize their joint staff as necessary to carry out their duties and responsibilities (Figure 2). When mission requirements exceed the staffs capabilities, for example, qualified personnel, facilities, and equipment, assistance must be requested through the superior commander. If JFCs are Service component commanders, they also draw from the resources of their components.

c. <u>Establishing the Staff</u>. The authority establishing the JTF should make provisions to furnish the necessary personnel, facilities, and equipment. Composition, location, and facilities of the JTF Headquarters (HQ) have a major influence on what the JTF and staff can accomplish (for example, an afloat JTF HQ may have limitations aboard certain flagships that could affect manning levels and equipment capabilities).

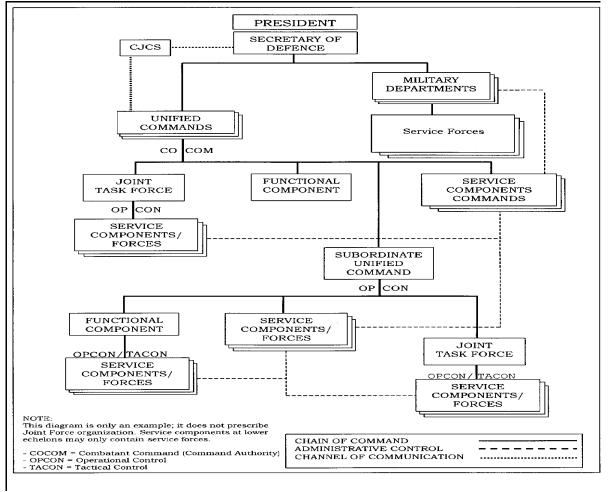


Figure 1. Chain of Command

(1) <u>Manpower and Personnel Directorate (J-1)</u>. J-1 is charged with manpower management, formulation of personnel policies, and administration of personnel of the command.

(2) <u>Intelligence Directorate (J-2)</u>. The primary mission of the J-2 is to ensure availability of reliable intelligence and timely warnings on the characteristics of the area of operations. The J-2 also ensures adequate intelligence collection and reporting to disclose enemy capabilities and intentions.

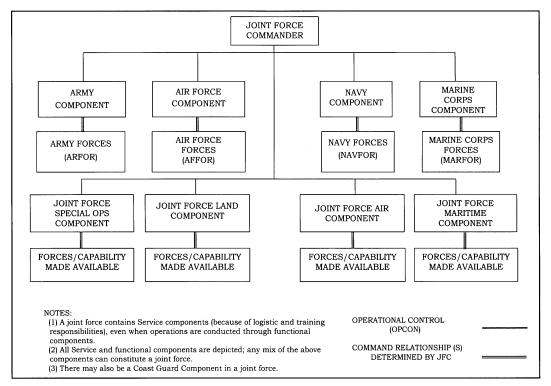


Figure 2. Sample JTF

(3) <u>Operations Directorate (J-3)</u>. The J-3 assists the commander in the discharge of assigned responsibility for the direction and control of operations. In this capacity this division plans, coordinates, and integrates operations to accomplish the assigned mission.

(4) <u>EWC</u>. The JFC may designate and empower a joint EWC to organize, execute, and oversee conduct of EW. The nominal EWC consists of, but is not limited to, representatives from staff directorates and component commands, operations analysts, a J-2 signals intelligence (SIGINT) and/or electronic intelligence representative, a special technical operations (STO) planner, a navigation warfare (NAVWAR) planner, an intelligence, surveillance, and reconnaissance (ISR) planner, a space representative, a cyberspace representative, a ground EW logistics planner, an electrical engineer/EM modeling analyst, and liaison officers (LNOs) representing other government agencies (OGA) and coalition partners. Members of various supporting agencies (e.g., the Joint Electronic Warfare Center (JEWC), Defense Spectrum Organization (DSO)) may also augment the EWC.

(5) <u>Logistics Directorate (J-4)</u>. The J-4 is charged with the formulation of logistics plans and the coordination and supervision of supply, maintenance, repair, evacuation, transportation, engineering, salvage, procurement, health services, mortuary affairs, communications system support, security assistance, host-nation support, and related logistics activities.

(6) <u>Plans and Policy Directorate (J-5)</u>. The J-5 assists the commander in long-range or future planning, preparation of campaign and outline plans, and associated estimates of the situation. It also establishes coordination channels with any HN, neutral nations, or United Nations (UN) force involved in the JTF operation.

(7) <u>Command, Control, Communications, and Computer Systems</u> <u>Directorate (J-6)</u>. The J-6 assists the commander in communications, electronics, and automated information systems. This includes development and integration of command, control, communications, and computers (C4) architectures and plans supporting the command's operational and strategic requirements. J-6 also provides policy and guidance for implementation and integration of interoperable C4 systems to implement C2.

(a) <u>Joint Network Operations Control Center (JNCC)</u>. The J-6 establishes a JNCC to manage all communications systems deployed during joint operations and exercises. The JNCC, as an element of the J-6, exercises control over all deployed communications systems. The JNCC serves as single control agency for the management and operational direction of the joint communications network (reference a).

(b) <u>Joint Spectrum Management Element (JSME)</u>. The JSMEs primary function is to ensure assigned JTF military forces are authorized sufficient use of the spectrum to execute their designated missions. It will satisfy spectrum needs and ensure deconfliction, prior to assignment or allotment, of all spectrum-dependent systems including systems used by JTF and component forces, UN, North Atlantic Treaty Organization (NATO), coalitions, etc.

<u>1</u>. Although control of individually assigned frequencies is in reality exercised by each user, the supported JFC is the ultimate authority for assigning frequencies to users. The JFC normally delegates frequency assignment authority to the JSME. The JSME can further delegate frequency assignment authority to subordinate commands. The JSME also maintains a common source of spectrum-use information to ensure compatible frequency assignments and, in concert with the EW planners, publishes the Joint Restricted Frequency List (JRFL), after approval by the J-3.

<u>2</u>. The JSME may be assigned from the supported component's J-6 staff, from a Service component's staff, or from an external command. The JSME must be staffed with trained spectrum managers, preferably with experience in joint operations and knowledge of the EMS requirements of the JTF component forces.

7. <u>Functional Component Commands</u>. The JFC may elect to establish functional component commands to control military operations (i.e., joint force land component commander (JFLCC), joint force air component commander (JFACC), and the joint force maritime component commander (JFMCC)). The JFC will designate the military capability to be made available for tasking by the functional component commander. These commands may be established for operational purposes across the range of military operations.

8. <u>Service Component Commands</u>. These commanders have responsibilities derived from their roles in fulfilling the Services' support function and, when designated by the JFC, may also be in the operational chain of command. They are also responsible for accomplishing operational missions, conducting joint operations, keeping the JFC informed of all decisions that may affect the overall joint mission, and are responsible for all internal administration, discipline, training, and Service intelligence matters.

ENCLOSURE B

SPECTRUM MANAGEMENT RELATIONSHIPS IN A JTF

1. <u>Introduction.</u> JEMSO include all activities in military operations to successfully plan and execute joint or multinational military operations in order to control the EMOE. JEMSO is comprised of EW and JEMSMO. The primary goal of JEMSMO is to enable EMS-dependent capabilities and systems to perform their functions in the intended environment without causing or suffering unacceptable interference. The secondary goal is to utilize the available EMS in the most efficient and effective manner while accomplishing the mission by using technical policy and techniques available to the EMS manager.

2. <u>Duties and Responsibilities</u>. Outlined below are the duties and responsibilities, broken down by command echelon, as they apply to JEMSMO.

a. <u>Unified Commander</u>

(1) It is the responsibility of the unified CCDR to establish and promulgate command-specific policy and guidance for EMS_use, the JRFL process, the joint communication-electronics operating instructions (JCEOI), software defined radio (SDR) waveform implementation and sharing, and other processes or directives that uniquely apply to their area.

(2) Other duties are to establish a standing frequency management structure that includes a joint frequency management office (JFMO) and procedures to support planned and ongoing operations. Specific actions will be taken to:

(a) Ensure operational, contingency, and communications plans address coordination among forces using the EMS to enable effective exchange of information, eliminate duplication of effort, and achieve mutual support.

(b) Ensure plans address any necessary augmentation of the JFMO and/or JSME to support the effort.

(c) Resolve user conflicts not resolved at a lower level.

(d) Maintain close contact with appropriate coalition military forces to ensure that mutual spectrum support is considered in combined planning, operations, training, and exercises.

(e) Function as controlling authority for the JCEOI.

(f) Function as controlling authority for SDR and establish policies and procedures for the use and sharing of SDR waveforms.

b. <u>JFMO</u>. The responsibilities of the JFMO are to:

(1) Exercise or delegate frequency assignment authority.

(2) Maintain the common frequency database necessary for planning, coordinating, and controlling spectrum use. The frequency database should contain all EMS emitters and receivers. Examples of such emitters and receivers are RADARS, unmanned vehicles, and sensors.

(3) Identify, analyze, and evaluate potential spectrum use conflicts and EMI.

(4) Develop and distribute spectrum usage plans for particular frequency bands, as appropriate.

(5) Provide administrative and technical support for military spectrum use.

(6) Participate as a member of the JFCs EW staff (JCEWS).

(a) Combine J-2, J-3, and J-6 inputs to develop a proposed JRFL.

(b) Periodically update and distribute the JRFL. Assist and coordinate the resolution and deconfliction of spectrum conflicts.

(c) Make sure that information operations (IO) spectrum use is coordinated. Ensure IO plans are supportable within the spectrum management architecture.

(7) In accordance with (IAW) CCMD/J-5 guidance, coordinate military spectrum use with the spectrum authority of the HNs involved and in coordination with the U.S. Embassy Defense Attaché Office of Military Cooperation, Friendly Forces Coordination Cell, etc., when appropriate.

(8) Be the focal point for inclusion of spectrum use considerations in the communications annex of operation plans (OPLANs) and concept plans (CONPLANs).

(9) Receive reports, analyze, and attempt to resolve incidents of unacceptable EMI IAW reference b. Act as the focal point for requesting interference resolution support. Provide guidance for resolving EMI. Report all EMI incidents. (10) Develop, promulgate, disseminate, and manage the JCEOI until the J6/JSME is stood up.

NOTE: Within the bounds of proper classification, the finished JCEOI will be shared with interagency participants in a given operation with the approval of the local commander.

(11) Assist the CCMD/J-3 in resolving EMI and EM radiation hazard issues and enforcing JSIR procedures prior to requesting assistance from the (DSO).

(12) Provide guidance and procedures for post-conflict spectrum management transitions.

(13) Coordinate and manage spectrum usage policy and guidance for software-defined radios.

(14) Provide guidance and oversight to the JSME on spectrum related issues.

c. <u>JFC</u>. Duties are to:

(1) For operations within CCDRs area of responsibility (AOR), follow EMS use policy and guidance that are established.

(2) Work with the CCDRs staff if modifications to the EMS-use policy are necessary for specific situation(s).

(3) For operations outside of CCDRs AOR, assume the responsibilities listed for the commander.

(4) Coordinate with supporting CCDRs to determine what functions their staffs must undertake to control use of the EMS and what outside support is available.

d. JFC Staff

(1) <u>JTF J-1</u>. Duties are to coordinate all personnel augmentation for the JSME and ensure these augmentees are added to the time-phased force and deployment data (TPFDD) and are properly trained to do spectrum management in a joint operational environment.

(2) <u>JTF J-2</u>. Duties are to:

(a) Participate (through the EWC) in multifunctional user EMS-use conflict resolution.

(b) Assess intelligence needs and provide the J-3 and J-6 with prioritized EMS-use requirements for intelligence operations.

(c) Provide the intelligence community JRFL input.

(d) Provide the JSME with available enemy EMS-use data IAW releasability constraints through the Director, National Security Agency, who serves as the SIGINT authority.

(e) Include EMS-use requirements in the Joint Operation Planning and Execution System (JOPES).

(f) Assist the J-3, J-6, EWC, and/or JSME in determining sources of any unacceptable EMI or other persistent and recurring interference.

(3) $\underline{JTF J-3}$. Duties are to:

(a) Prioritize all EMS use conflicts that occur to the JSME.

(b) Provide EMS use requirements to J-6 for inclusion in the JOPES.

(c) Resolve internal EMS use conflicts (J-3 systems) when the JSME or EWC are unable.

(d) Provide concept of operations (CONOPS).

(e) Identify and resolve potential electromagnetic environmental effect (E3) hazards to ordnance, personnel, and fuel. Act as focal point for requesting ordnance assistance team support from the DSO.

(f) Provide and validate JRFL inputs, approve consolidated JRFL.

(g) Be the decision-making authority for the priority of systems when there is insufficient EMS to support them all.

(4) <u>EWC</u>. Duties are to:

(a) Provide EW planning and coordination expertise to the JFC. Develop a daily EW battle rhythm that supports EW planning and operations requirements. (b) Prepare the EW portion of estimates and tabs for operation orders (OPORDs) and identify authorities necessary to implement the OPORD.

(c) Identify requirements for intelligence support to joint EW operations, including assistance to the J-2 in planning the collection and dissemination of EW support (EWS) information.

(d) Coordinate with ISR assets and national agencies in assessing adversary EW capabilities and limitations.

(e) Coordinate with ISR and national resources to weigh intelligence gain/loss (IGL) of electronic attack (EA) or the physical destruction of targets and, if necessary, coordinate the resolution of these conflicts. Resolution of IGL conflicts reside with the J-3.

(f) Plan, coordinate, and assess offensive and defensive EA requirements.

(g) Maintain current assessment of EW resources available to the JFC (to include number, type, and status of EW assets) and analyze what resources are necessary to accomplish the objective of the JFC.

(h) Assist JFC by recommending the level of EWS required of the component commanders.

(i) Prioritize EW targets based on JFC objectives, the EW plan, and available assets.

(j) Represent EW within the joint targeting coordination board.

(k) Predict effects of friendly and adversary EW activity on joint and multinational operations using applicable modeling and simulation tools.

(l) Plan, coordinate, and assess electromagnetic protection (EP) (e.g., spectrum management procedures, EW deconfliction).

(m) Coordinate regularly with the JSME, in conjunction with JFC J-2, J-3, J-6; associated OGAs; joint special operations components; other functional components; and allies, in resolving spectrum conflicts.

(n) Carry out EW control authority responsibilities.

(o) Coordinate and monitor joint coordination of EW reprogramming by identifying where EW reprogramming decisions and reprogramming actions

affect joint force tactical operations and disseminating theater-wide EW plans, as required.

(p) Recommend and promulgate EW special instructions and rules of engagement (ROE).

(q) Plan, coordinate, integrate, and deconflict EW in current and future operations taking into consideration nontraditional capabilities (e.g., IO, space, special operations, and STO) within the operational area.

(r) Compile and coordinate EWS requests from all components according to the priorities set by the JFC.

(s) Coordinate through the chains of command to resolve any component or multinational EW requests that cannot be met at the JCEWS/EWC level.

(t) Monitor and adapt execution of EW plans in current operations and exercises.

Archive EW planning and execution data and document EW lessons learned IAW the joint lessons learned program.

(v) Coordinate actively with the J-6 to document incoming and outgoing EW and EMS-dependent equipment so spectrum databases can be accurately maintained.

(w) Coordinate, plan, and oversee execution of NAVWAR activities that protect friendly force access to global positioning system (GPS)/PNT sources while denying access to global navigation satellite system/PNT sources by adversary forces.

(5) $\underline{JTF J-4}$. Duties are to:

(a) Provide the JSME with any required EMS use considerations at ports of embarkation and debarkation, or waypoints during the deployment or redeployment phases.

(6) $\underline{JTF J-5}$. Duties are to:

(a) Incorporate EMS use into long-range and future operations planning and the EW strategy, based upon input from the J-2, J-3, EWC, and J-6 (JSME).

(b) Establish coordination channels with any HN, neutral nation, or UN force involved in a joint or coalition military operation to negotiate military EMS use where procedures do not already exist.

(7) $\underline{JTF J-6}$. Duties are to:

(a) Provide the JSME with the JTF nets to be included in the JCEOI.

(b) Assist the EW officer in integrating EW activity into operations to ensure minimum impact on friendly use of the EMOE.

(c) Update the JRFL as required.

(d) Serve as the EWC spectrum management representative. Be the primary source for information on the impact of EW actions on friendly C2 nodes and the overall impact of joint EW actions on friendly force EMS operations.

(e) Assist the JSME with coordination of the component command resolution of reported instances of interference or disruption.

(8) <u>JNCC Staff</u>. The duties of the JNCC are to:

(a) Manage all communications systems deployed during joint operations and exercises.

(b) Exercise control over all deployed communications systems.

(c) Serve as single control agency for management and operational direction of the joint communications network.

(9) <u>JSME</u>. The duties of the JSME are to:

(a) Establish JTF specific guidance for managing, requesting, and coordinating EMS-use, JRFL process, JCEOI, and other processes.

(b) Prepare and combine J-2, J-3, J-6, EWC, and component inputs to develop a JTF JRFL for approval by the J-3.

(c) When required, periodically update and distribute the JRFL.

(d) Participate in the EWC representing spectrum management issues.

(e) Exercise frequency allotment and assignment authority. Authority to issue frequency assignments or allotments may be delegated to provide components the maximum latitude and flexibility in support of combat operations.

(f) Maintain the common EMS-use database necessary for planning and coordinating control of the EMOE. This database contains EMS use information on all friendly military and civilian, available enemy, and neutral forces.

(g) Analyze and evaluate potential EMS use conflicts.

(h) Assist and coordinate the resolution of EMS use conflicts as a member of the EWC.

(i) Coordinate military EMS use with the spectrum authority of the HNs or coalition forces involved IAW with J-5 guidance.

(j) Receive interference reports IAW reference c, analyze, and attempt to resolve incidents of unacceptable EMI.

(k) Develop and distribute EMS-use plans (see Appendix D, Annex C, Enclosure F) that include frequency reuse and sharing schemes for specific frequency bands, as appropriate.

e. <u>Functional Component Commanders</u>. The duties of the functional component commanders are to:

(1) Provide component JCEOI input to include all call words requirements to the JSME.

(2) Consolidate and validate component EMS-use requirements to the JSME.

(3) Provide component JRFL input to the JSME.

f. <u>Service Component Commanders</u>. The duties of the Service component commanders are to:

(1) Consolidate and validate component EMS-use requirements to the JSME.

(2) Provide component JRFL input to the JSME.

g. <u>Spectrum Users</u>. Duties are to:

(1) Obtain frequency authorization for each use of the EMS by their appropriate joint force component.

(2) Use frequencies as authorized by the frequency assignment process.

(3) Coordinate any need to exceed or operate EMS-dependent equipment outside the parameters authorized by the frequency assignment authority.

(4) Ensure the EMS-dependent equipment is properly maintained to preclude unintentional violation of authorized spectrum-use parameters.

(5) If an incident of EMI is encountered, initiate an interference report IAW CJSI 3320.02.

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ENCLOSURE C

JTF SPECTRUM MANAGEMENT LIFECYCLE

1. <u>Overview</u>. The JTF spectrum management lifecycle was developed for joint spectrum managers as a guide to follow in establishing a functional and efficient JTF spectrum management element. The lifecycle encompasses the complete process of providing spectrum management support to the JFC. The JTF spectrum management lifecycle consists of 12 activities:

- a. Define command specific policy and guidance.
- b. Gather requirements.
- c. Develop EMS requirements summary.
- d. Define the EMOE.
- e. Obtain EMS resource.
- f. Develop spectrum management plan.
- g. Nominate and assign frequencies.
- h. Generate the JCEOI.
- i. Develop the JRFL.
- j. Perform EW deconfliction.
- k. Resolve interference.
- 1. Report interference.

m. Some activities are conducted simultaneously while others can only be completed in succession. Most of the activities generate deliverable products that are used in subsequent activities (i.e., the JCEOI and the JRFL). Most of the lifecycle activities, except for Performing Interference Analysis (IA) and maintaining the IA database are initiated in planning, and continue through the execution phase of JTF operations.

n. Spectrum Community Coordination. Spectrum management requires considerable coordination and relationship building in order to obtain resources necessary to support the warfighter especially when the spectrum manager has been delegated the authority to coordinate with national and international telecommunications representatives. Coordination is also necessary to maintain situational awareness of the resources being used to support the warfighter. Spectrum managers need to be able to identify all spectrum users within the area of operation. Some of the spectrum users that will require coordination are in the EW and intelligence communities and also the acquisition community prior to and during the procurement process for new emitters. Spectrum users at all echelons should be identified in order to gather all requirements.

2. <u>Define Policy (Revising Spectrum Management Policy to Meet the JTF</u> <u>Mission Requirements</u>). The JSME establishes JTF specific guidance for managing, requesting, coordinating, and assigning EMS-use, JRFL process, JCEOI, and other processes. Therefore, the first activity in the JTF spectrum management lifecycle is to define policy. Defining JTF spectrum management policy requires refining existing policy and guidance for EMS use within the CCDRs AOR to meet the mission requirements of the JTF. Policy and guidance information should be available in the CCDRs EMS guidance (i.e., Spectrum Management Manual (SMM), CCMD regulation, instruction, or existing OPLANs and CONPLANs. See Appendix A to Enclosure C for additional information and examples.

a. Read and understand existing command spectrum management policy and guidance to provide spectrum management operations support that complies with current policy. If_necessary, modify command policy and guidance to accommodate JTF mission requirements. Radically changing the CCMD policy should be avoided, if possible, to reduce the impact of change on JTF forces.

b. Decisions made in this activity greatly affect how efficiently the spectrum management process will function. The spectrum manager will need to define processes and procedures and leverage automated joint spectrum management tools are the keys to successful policy development. Clearly defined direction and guidance reduce the potential for error.

c. The JFMO should be the resource center for the JSME throughout its lifetime since the JFMO has extensive institutional knowledge concerning the AOR EMS issues of the CCMD. The JFMO should have prepared the basic spectrum management resources needed to establish a JSME in support of operations anywhere within the CCDRs AOR. Such resources should include digitized terrain data, background electromagnetic environment (EME) records, country area studies, copies of agreements for EMS use or sharing with involved or adjacent HNs, and historical EMS -use records involving the JTF AOR. This task is independent of any other JTF spectrum management lifecycle activity.

d. There are two deliverable products generated with this activity: the JTF spectrum management concept and the EMS requirements data call message.

e. The spectrum management concept is the vision of how spectrum management operations would best be performed to support the JTF mission. The spectrum management concept comprises assumptions, considerations, and restrictions that, when analyzed together, can illustrate the best approach to managing the JTF EMOE for joint/coalition forces. To develop this concept, the JSME must assess the mission requirements, AOR, forces involved, potential radio services, and other operational concerns that affect EMS use. The initial mission briefing should answer many of these questions; however, the JTF Mission CONOPS is also a good place to locate this information. The Command/JTF J-2 can provide further mission-related information on the current situation. The EWC, if activated or the command electronic warfare officer (EWO) can provide information concerning EW operations being contemplated. The best guideline is to consider everything, seek input from many sources, and trust facts instead of assumptions.

f. Assumptions may have to be made concerning resources and the availability of personnel, equipment, connectivity, and information. To continue planning and making decisions, the spectrum manager may be forced to make educated assumptions based on the most likely scenario. Based on the nature of the JTF mission, the spectrum manager will also make assumptions on the participation of allied or coalition forces, possibility of HN coordination, type of entry (forced or peaceful), and the availability of EMS resources. Assumptions should not replace information that can be obtained. Do not make assumptions just to expedite the decision-making process at the expense of accuracy. Planning, by its very nature, requires the use of assumptions made during the planning process so that, if the resulting plan is ever implemented the JFMO/JSME using the plan will know what assumptions were used to make decisions in the development of the OPLAN or CONPLAN.

g. Considerations are dependent upon the JTF mission, political environment, and JFC directed guidance. The size and depth of the JTF spectrum management concept depends upon the planning process in which the spectrum manager is involved and how much time will be allowed for the completion of the planning task (i.e., planning would allow more time to define policy and guidance). As is always true in planning, time will be limited, and the time spent planning will depend upon the people involved. It must be remembered that decisions made, not made, and those left to chance will affect the quality of the follow-on JSME products. The types of information that should be considered in the spectrum management concept are outlined below.

- (1) <u>Allied or Coalition Operations</u>
 - (a) Types and numbers of EMS-dependent equipment.
 - (b) Information releasability.
 - (c) Integrated operations with other forces.
 - (d) Do they have a trained spectrum manager?
- (e) Do they use some type of automated spectrum management software?
 - (f) How will I get frequency assignment information to them?
 - (g) How will they provide me with new frequency requests?
 - (h) What format will be used for data exchange with the JSME?

(2) EMS Use Considerations

- (a) Type of operations.
- (b) Force complement.
- (c) Type of entry.
- (d) Area of responsibility.
- (e) Types of radio services.
- (f) Centralized or decentralized frequency assignment authority?
- (g) EMS coordination/availability.
- (h) Radio service sharing of band?
- (3) <u>Automation</u>
 - (a) Does everyone have SPECTRUM XXI?
 - (b) Will all components be able to data exchange?

(c) Is there reliable secure internet protocol router network (SIPRNET) connectivity to the components?

(d) How to handle Area/Mobile assignments.

h. Restrictions constitute spectrum management issues that cannot be controlled and must be worked around or accepted, unlike the considerations listed above where the spectrum manager may have some latitude in the decision-making process. Some coordination restrictions may cause the JSME extra work, such as in obtaining and maintaining the JTF EMS resource or planned EW operations. JTF operations and operations being conducted by organizations outside the JTF may restrict the spectrum manager's use of specific frequencies or bands of frequencies. Restrictions come in many forms, command guidance, JTF policy, HN mandates, and political or legal restraints imposed by international law or treaties. Information restrictions may prohibit the spectrum manager from sharing data with certain allied or coalition forces. Many restrictions will be identified in the JTF mission briefing, like the ROE and other military-imposed restrictions. As JTF operations are initiated and as the military situation develops, new and different restrictions will affect all aspects of the JTF.

i. The JTF EMS requirements data call message provides guidance to JTF staff elements, components, and supporting agencies on how to request spectrum support for spectrum dependent systems that operate under their control within the JTFs area of influence (AOI). This multipart message should cover the following subjects: JTF spectrum management policy and guidance, security classification guidance, and frequency and JCEOI master net list (MNL) request procedures, as well as provide guidance for identifying nets and frequencies to be included on the JRFL.

j. The message should include the following:

(1) Procedures for requesting frequencies to support spectrumdependent equipment including lead times and request format.

(2) Spectrum management automation system and configuration.

(3) JCEOI MNL requirements collection process including the need for identifying nets requiring call signs, call words, and possible frequency sharing.

(4) JRFL submission procedures including lead times and restrictions.

3. <u>Gather Requirements</u>. Gathering requirements, the second Lifecycle activity, can begin as soon as spectrum management guidance has been received and coordination channels have been defined. Joint doctrine states that the JSME must also obtain the requirements of the EMS users, primarily the JTF staff elements of J-2, J-3, and J-6. These requirements must address both communications and noncommunications (radar, weapons, etc.) systems and are stated in terms of EMS requirements to support the JTF. See

Appendix B to Enclosure C for additional information and examples of what should occur as part of gathering requirements.

a. Ideally, the Service components would identify all requirements for spectrum dependent systems that they bring to the JTF. However, there are always items missed and systems overlooked in coordinating EMS use. The best approach to gathering requirements is by attending operational briefings, meetings, and planning sessions. You will hear about new units arriving, new systems being deployed, and changes to the operational plan. All of these indicate new or changed EMS usage. You will usually find spectrumdependent systems that have been overlooked and need to be included in the frequency assignment database.

b. Many spectrum-dependent systems are designed to receive and not transmit. Users normally will not request EMS support for receive-only systems primarily because their systems are designed to receive and do not radiate or transmit a signal. These systems are vulnerable to interference from licensed emitters. The way to identify many of these types of systems is to talk to the JTF staff sections, J-3, J-2, etc., and request that they identify any receive only systems that they know are active or plan on activating. You can create standard frequency action format (SFAF) records for these receivers and then afford them protection when nominating frequencies and performing IA. While receive only systems can be located anywhere in the JTF AOR, documenting known operating locations by creating an SFAF record within the SPECTRUM XXI database is the best way to protect the receiver. Making a single assignment record with an operating radius that encompasses the JTF AOR provides less interference protection than making multiple assignments using a smaller radius (less than 500 kilometer (km).

c. Most single and multi-channel satellite communications (SATCOM) systems get authorization for use of the satellite from the satellites controlling authority and are issued a satellite access authorization (SAA) that contains a disclaimer that basically says "Local frequency clearance is the responsibility of the user." In many cases, the JSME becomes aware that these systems exist only when interference is caused or received by the satellite terminal. We recommend that the EMS requirements data call message include instruction to the components to include the JSME on all satellite access request and authorizations. The JSME should create SFAF records for these authorizations. Additionally the JSME may want to make separate specific frequency assignment records for known single channel satellite systems located at the major HQ to aid in reducing and identifying interference.

d. Making the JSME visible to incoming units and organizations helps not only the unit but introduces the unit to the JSME and lets them know that there is an office that is actively involved in spectrum management. Many units and organizations would gladly coordinate spectrum-use if they knew where to go and from whom to request the support. This proactive attitude will pay great dividends in reduced interference problems later.

e. Gather Requirements also involves capturing and documenting potential JTF spectrum-use identified by the Service components and JTF staff, as well as undocumented requirements from sources external to the spectrum management coordination chain. Using the previously developed EMS requirements data call message, the JSME requests that EMS requirements and JCEOI MNL for all units and organizations supporting the JTF be submitted. The data call message requires units to submit their EMS requests to the CCMD JFMO or JSME. Gathering requirements is an on-going activity that continues until the JTF is dissolved. Gathering requirements is an activity that is conducted in adaptive planning.

f. The product generated by this activity is a SPECTRUM XXI database containing the known JTF spectrum requirements. This database will also include actual JTF spectrum-use already in the AOR.

4. Develop the Spectrum Requirements Summary

a. The third activity is to develop the spectrum requirements summary. This summary can be used to quantify the amount of EMS necessary to support the JTF, determine the necessity of using frequency sharing and reuse plans, and help in the development of allotment or channeling plans. This process requires compiling and analyzing the data previously generated. The spectrum manager analyzes the summary determines the amount of EMS required to support the JTF. In addition, the spectrum requirements summary determines the number of different radio services competing for EMS in the same frequency band, determines the different emissions utilizing a particular band, and supports development of a plan for frequency sharing. This summary has been previously referred to as a spectrum-use plan, a term that is used to describe many products generated by the JSME. See spectrum-use plan definition in the Glossary.

b. The EMS requirements summary generated with this activity is a compilation of the requirements identified in response to the EMS requirements data call message. This product is for the sole use of the spectrum manager and provides a tool in which to base future decisions about efficient spectrum-use and initial requirements definition. This product may assist the spectrum manager in requesting spectrum from a HN or provide insight into how to better allocate portions of the spectrum to support emitters utilizing varying bandwidths. See Appendix C to Enclosure C for additional information and examples.

5. <u>Define the EMOE</u>. The fourth activity is to define the EMOE in which the JTF will be conducting operations. See Appendix D to Enclosure C for additional information on defining the EMOE.

a. Joint military operations require a common, single, authoritative source for spectrum-use information for all friendly, enemy (to the extent available), neutral, and civil emitters and receivers to achieve and manage successful joint spectrum-use. This common source of spectrum-use information is called the EMOE, and must be current, accurate, and accessible to authorized users. The JSME is responsible for building and managing this common source of information. Because of the amount and complexity of spectrum-use information typically involved in joint military operations, modern computer and communications networking systems are needed to maintain, analyze, and distribute this common spectrum-use information. When working with allied, UN, or coalition forces, the JSME should obtain similar information from each to maximize effective use and control of the EMS throughout the AOR.

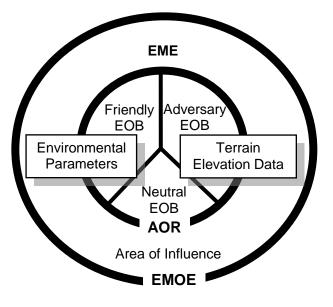


Figure 3. EMOE

b. One of the JSME duties is to maintain the common spectrum-use database necessary for planning and coordinating control of the EMOE. This database contains spectrum-use information on all friendly military and civilian, available enemy, and neutral forces. Defining the EMOE is not only creating a database of frequency assignments, but also identifies factors that affect signal propagation such as environmental characteristics and terrain. This activity starts with defining your AOI and its environmental characteristics, locating necessary terrain data and then locating the data for and creating a database of the known spectrum-use information. This process also includes updating and maintaining this spectrum-use information as well as adding all JTF frequency assignments. Defining the EMOE is an ongoing activity until the JTF is dissolved. See Figure 3:

c. The information produced by this activity will be a baseline database digitally depicting the EMOE and will be the basis for all JTF spectrum-interaction analyses.

6. Obtain Spectrum Resources

a. The fifth activity is to obtain EMS resources needed to support the JTF. IAW J-5 guidance, the JSME will coordinate military EMS-use with the spectrum management authority of the HNs or coalition forces involved. EMS resources can be requested from the HNs for exercises or most military operations other than war. Operations that preclude prior coordination with a HN, such as forced entry (FE), require the JSME to determine the EMS resource; evaluating the background EME will do this. If an evaluation of the background environment is required, it is essential to establish well-defined EMS requirements and for the EMOE to be as completely defined and up-to-date as possible. This process is an ongoing activity and is expected to continue until the JTF is dissolved. When an FE operation is dissolved or stabilizing conditions exist and the EMS is returned to the HN in total or in part, coordination should also be done IAW J-5 or command guidance and in a manner that does not hinder military EMS use. See Appendix E to Enclosure C for additional information and examples on obtaining resources.

b. Spectrum requirements generated in previous activities can help in determining the amount of EMS needed to support the JTF mission. The EMS requirements summary can help quantify the amount of EMS needed and identify the different radio services and emissions that will be operating within each frequency band. EMS resources are normally created and stored as one or more allotment plans.

7. Develop the Spectrum Management Plan

a. The sixth activity is to develop the spectrum management plan. Reference d states that the JSME will establish JTF specific guidance for managing, requesting, coordinating, and assigning EMS-use, JRFL process, JCEOI, and other processes. Additionally, the JSME is the focal point for inclusion of EMS-use considerations in the Annex K development and provide administrative and technical support for military EMS-use. This process uses the spectrum management concept, developed in the first activity, along with existing CCMD JFMO policy and guidance. Other sources of information are lessons learned from previous operations and exercises, the JSC and other spectrum managers. The JTF spectrum manager will devise a plan to effectively and efficiently use the EMS resources available. This plan depends upon the products of all the previous activities. The spectrum management plan is evaluated continuously for possible improvement. See Appendix F to Enclosure C for additional information and examples of developing the spectrum management plan.

b. The spectrum management plan is typically included as an appendix to Annex K of an OPLAN or OPORD and will evolve to guidance as the operation/exercise transitions from the planning to execution phase. This plan will provide guidance for all JTF spectrum management functions, including information exchange, expected coordination channels, format for deliverable products, interference and reporting resolution procedures, and suggested resolution steps.

8. Nominate and Assign Frequencies

a. The seventh activity, Nominate and Assign Frequencies, is the actual implementation of the spectrum management plan. Authority may be delegated to issue frequency assignments or allotments to provide components the maximum latitude and flexibility in support of combat operations. This activity involves the initial assigning of frequencies. The JTF spectrum manager may assign frequencies or delegate (decentralize) assignment authority using frequency pools (allotment plans) provided to functional and Service component spectrum managers allowing them to assign frequencies. Decentralized assignment authority requires that all temporary frequency assignments be data exchanged with the SPECTRUM XXI regional server so they can be included in other spectrum manager's nominations or IA calculations. Updating the EMOE is a continuance of the fourth activity. This activity depends upon the available EMS resource previously established and the restrictions of the spectrum management plan. See Appendix G to Enclosure C for additional information and examples.

b. The frequency assignment database, which conforms to and is created IAW Table of Frequency Allocations, radio regulations (RR), and channel plans, is the most important resource the spectrum manager has available and forms the basis for nominating interference-free assignments, providing impact analyses of EW operations, and identifying and resolving interference issues.

9. Generate a JCEOI

a. The eighth activity is to generate a JCEOI. See Appendix H to Enclosure C for additional information and examples.

(1) Establish command-specific policy and guidance for development and use of the JCEOI that uniquely applies to their area and command structure.

(2) Function as the controlling authority for their JCEOIs.

(3) Establish a JCEOI management function to control the JCEOI process, structure, and procedures to support planned and ongoing operations.

(4) Establish procedures for deconfliction of call signs and call words within their AOR.

(5) Ensure liaison is made with appropriate foreign military and multilateral forces (e.g., UN forces, NATO) operating as part of combined operations to ensure that unique requirements are met as part of a combined JCEOI.

b. The JTF commander, acting as the CCDRs representative assumes the duties of the CCMD concerning the JCEOI. The JCEOI is a two-part document. Part 1 is a directory of radio nets or units and their associated frequencies, call signs, call words, and net IDs listed by time period. Part 2 contains supplemental procedures for electronic, visual and verbal interactions, such as sign/countersigns, smoke/pyrotechnics and suffix/expanders. JCEOI development and distribution is a J-6 responsibility and is normally delegated to the JSME.

c. Inputs submitted by the components during the Gather Requirements activity should have included JCEOI MNLs. Having just nominated and assigned frequencies the spectrum manager can now use some of those assignments in generating the JCEOI. This product should be constructed and completed prior to the deployment of forces in support of JTF operations.

10. <u>Develop JRFL</u>

a. The ninth activity is to develop the JRFL, a time and geographically oriented listing of functions, nets, and frequencies requiring protection from friendly EW. This activity requires the spectrum manager in a JSME and JTF to prepare and combine J-2, J-3, J-6, and component inputs to develop a JTF JRFL for approval by the J-3, and when required, periodically update and distribute the JRFL. The JRFL is a J-3 product; it protects JTF C2 communications nets, enemy communications nets being exploited, and safety-of-life frequencies being used by the JTF and local civil noncombatants. The development, distribution, and maintenance of the JRFL is tasked to the J-6 and normally accomplished by the JSME. This product is created for the EWC and using guidance established by the CCMD or JTF EWO or EWC. See Appendix I to Enclosure C for additional information and examples.

b. The JRFL is a consolidated effort among the JTF staff organizations and the functional/Service components. In addition, selected frequency assignment records from the EMOE will be included in the JRFL. The JRFL is

developed prior to initiating JTF operations and continues during the operational phase of the JTF.

11. <u>Perform Electronic Warfare Deconfliction</u>. This activity supports the EW activities of the JTF and is performed as part of the EW planning process. The JSME will:

a. Participate in the EWC representing spectrum management issues. This includes providing EW deconfliction analysis. The EWO identifies planned EA missions and request the JSME perform an analysis on the impact of these missions to JTF operations. This process requires information from the JRFL, JCEOI, and EMOE. The analysis will determine what impact the EA mission will have on communication nets, JTF systems, enemy communications nets being exploited, and possible safety-of-life situations.

b. This product provides the EWC with an analysis of the potential impact of friendly EW operations on friendly forces. The EWC will then decide if the benefits of the jamming mission outweigh the dangers of the potential fratricide. This capability has historically been underutilized in most JTF operations. This product is time-sensitive and produced on an as-needed basis. See Appendix J to Enclosure C for additional information and examples.

12. <u>Resolve Interference</u>. Resolving Interference is a daily activity once forces have deployed and is not a part of the planning process. This activity encompasses the reporting and attempting to resolve EMI. Interference can be created by various factors such as unauthorized users, faulty nomination criteria, lack of timely data exchanges, or equipment problems, etc. Every effort should be made to locally resolve interference. Multiple interference problems may indicate adversary EW operations, unintentional impact of Blue/Grey EW operations, or errors in the JTF spectrum management plan. The JSME should define and analyze the EMOE to help determine the cause of an EMI problem.

13. <u>Report Interference</u>

a. Spectrum congestion and the nature of military operations make some level of EMI likely. Interference reporting and tracking provides the JSME with a valuable historical reference for resolving future EMI problems. After performing an IA, always create an interference report to document the results. These reports should be kept in a database to be used as a history of interference problems. These reports will aid in identifying possible causes for subsequent interference. Resolving and reporting EMI will be done IAW reference b. Also see Appendices K and L to Enclosure C for additional information and examples. b. There is always the potential for human error when dealing with frequencies and EMS-dependent systems (i.e. a user that enters incorrect information in the EMS-dependent system or disregards established procedures and operates an EMS-dependent system without authorization. If the offender is an authorized user, the spectrum manager is the best person to locate and identify the EMI source. The purpose of the interference report database is to provide the JTF spectrum manager with a repository for past interference incidents and what steps were taken to resolve them. This database provides a wealth of information on unit discipline, training deficiencies, and a starting place for the spectrum manager to begin resolving interference issues. This database should be shared with all JTF spectrum managers. To the extent unexplained interference persists or recurs coincident with either Red or Blue/Grey operations, the EWC should be advised.

14. <u>Processes</u>. JTF spectrum management lifecycle, as described earlier, this process encompasses a series of activities, each with a specific purpose and output(s). Most activities depend upon inputs from outside sources as well as products from previous activity, which must be monitored to make sure they are completed and include all of the required inputs. This process will be reviewed multiple times throughout the course of the JTF to evaluate the effectiveness of the spectrum management plan and its execution.

15. Process Dependencies

a. The JTF spectrum management lifecycle activities have interdependencies. Each activity is usually dependent upon the resulting product or products of a previous activity as well as on other sources of information. Failure of any one activity will affect the quality of all of the following activities. This does not mean that failure to perform an activity will necessarily cause the JTF operation or even the spectrum management plan to fail; it means that future activities will have to be performed with less information thereby reducing the quality of all future products. Further, all of the potential JTF support activities may not be required. As an example, a JTF established to perform a noncombatant evacuation operation (NEO) might not require a JRFL so that activity would not be needed.

b. If an activity is critical to the spectrum management operation then the spectrum manager must perform the activity. In the case of missing information or data, the spectrum manager will have to redo an activity already performed when the information is made available. If a spectrum manager performs activities out of order, then there may be deficiencies in the output products of one or more of the activities. Assigning frequencies before developing a sound spectrum management plan may cause an error that cannot be easily fixed. Once a frequency assignment has been assigned and is in use, it may be difficult to recall.

16. <u>Executing the JTF Spectrum Management Plan</u>. As illustrated in Figure 4, this process begins once JTF forces have been deployed. The effectiveness of the spectrum management plan becomes apparent at this stage of the JTF. Adjustments are made to the plan as needed. The JSME will settle into a routine of attending operational briefings, status meetings, processing new requirements, resolving interference, modifying the JCEOI, obtaining additional EMS resources, updating the JRFL, generating EW deconfliction analysis, and coordinating assistance with agencies outside the JTF.

a. New and experimental spectrum dependent systems will be introduced as the JTF mission progresses, these new requirements will need to be identified, evaluated, and coordinated as they arrive in the AOR. Situational awareness of new systems, additional forces, incidents of interference and the movement or relocation of existing forces must be maintained by attending operational meetings, briefings, and planning sessions. JSME participation in all EWC and daily operations briefings benefits the JTF immensely. J-6 staff representation at the same meetings is required and has been thought to negate the needs for the JSME spectrum manager to attend the same events. The JSME representative, due to the involvement in operations not performed or conducted by the J-6 can often recognize issues and concerns dealing with spectrum management that are not evident to others in the JNCC or the J-6.

b. Managing information is a critical part of JSME operations Tracking messages, updates, and meetings require that a log be created and maintained. This action item log documents actions to be completed, those actions already completed, and those actions no longer required due to changing events.

17. Transition. The last function of the JSME will be to transition the spectrum management function to the HN or other responsible governmental authority. This task is not considered an activity within the JTF spectrum management lifecycle, but does require planning and time to accomplish. This process can be as simple as providing a list of frequency assignments to the incoming spectrum management authority or involve much coordination and bureaucratic maneuvering. The JSMEs primary concern is to make sure that only information that is authorized for release outside of the DoD is provided. This determination is not made by the JSME and must be coordinated through the JTF J-2. The incoming spectrum management authority may require assistance in understanding the methodology used in making, documenting, and managing EMS use. Any assistance, training, reference documents, frequency assignment information, etc., provided to personnel outside of the U.S. Government requires a foreign disclosure evaluation and authorization. This evaluation and determination is usually a lengthy process and should be initiated as soon as the situation allows.

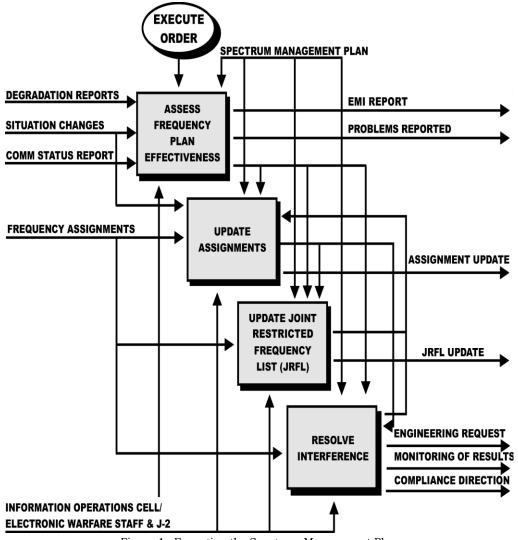


Figure 4. Executing the Spectrum Management Plan

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APPENDIX A TO ENCLOSURE C

DEFINING POLICY AND GUIDANCE

1. Overview

a. Defining policy is the responsibility of the unified CCDR. Joint doctrine allows a JTF commander to modify or adhere to existing command policy and guidance, as needed, to accomplish the JTF mission. Modifications to command policy and guidance are accomplished with the concurrence of the CCDR. Documented guidance provides the JTF spectrum manager with a baseline to begin making further decisions and complementing the JTF commander's vision.

b. The defining policy activity, as defined in this appendix, is determining what decisions and modifications to existing spectrum management policy and procedures should be made prior to initiating the development of the EMS requirements data call message and sending it to JTF components and participants.

c. The first step is to read and understand existing command spectrum management policy and guidance to provide spectrum management operations support that complies with the CCMD policy. Then, if needed, the spectrum manager must modify command policy and guidance to accommodate JTF mission requirements. Radically changing the CCMD policy should be avoided, if possible, to reduce the impact of change on JTF forces. New procedures take time to learn and implement, and they also produce more errors at a time when speed is essential and rework is least desired.

d. Decisions made in this activity greatly affect how efficiently the spectrum management process will function. The spectrum manager's ability to define processes and procedures and leverage automated joint spectrum management tools are the keys to successful policy development. Clearly defined direction and guidance reduce the potential for error.

e. The JFMO should be the resource center for the JSME throughout its lifetime since the JFMO has extensive institutional knowledge concerning the AOR EMS issues of that CCMD. The JFMO should have prepared the basic spectrum management resources needed to establish a JSME in support of operations anywhere within the CCDRs AOR. Such resources should include digitized terrain data, background EME records, country area studies, copies of agreements for EMS use or sharing with involved or adjacent HNs, and historical spectrum-use records involving the JTF AOR.

e. The JFMO and JSME must work closely together during the crisis action planning (CAP) cycle. The JFMO and JSME should operate concurrently while the JTF and JSME forward deploy and until the JSME is fully operational at the deployed location. Once the JSME is deployed and operational, the JFMO takes on an advisory role while performing oversight of EMS issues for the command.

2. <u>JTF Spectrum Management Concept</u>. The spectrum management concept is the vision of how spectrum management operations would best be performed to support the JTF mission. The spectrum management concept comprises assumptions, considerations, and restrictions that, when analyzed together, can illustrate the best approach to managing the JTF EMOE. To develop this concept, the JSME must assess the mission requirements, AOR, forces involved, potential radio services, and other operational concerns that affect EMS use. The initial mission briefing should answer many of these questions; however, the JTF mission CONOPS is also a good place to locate this information. The command/JTF J-2 can provide further mission-related information on the current situation. The EWC, if activated or the command EWO can provide information concerning EW operations being contemplated. The best guideline is to consider everything, seek input from many sources, and trust facts instead of assumptions.

a. <u>Assumptions</u>. Assumptions must be made concerning resources and the availability of personnel, equipment, connectivity, and information. Assumptions are the theoretical pieces of information the spectrum manager substitutes in the absence of concrete information. To continue planning and making decisions, the spectrum manager may be forced to make educated assumptions based on the most likely scenario. Based on the nature of the JTF mission, the spectrum manager will make assumptions on the participation of allied or coalition forces, possibility of HN coordination, type of entry (forced or peaceful), and the availability of EMS resources. Assumptions should not replace information that can be obtained. Do not make assumptions just to expedite the decision-making process at the expense of accuracy.

b. <u>Considerations</u>. All of the information the spectrum manager needs to consider cannot be detailed in this chapter, as it changes for each JTF operation. Based upon experience and assumptions, the spectrum manager must decide what issues must be considered, and at what level of detail to consider them, when developing a plan to manage the spectrum. The considerations given to the development of the spectrum management concept are left up to the JFMO and/or JSME. The size and depth of the JTF spectrum management concept depends upon the planning process in which the spectrum manager is involved and how much time will be allowed for the completion of the planning task (i.e., deliberate planning would allow more time to define policy and guidance). As is always true in the CAP process, time will

be a precious commodity, and the time spent planning will depend upon the people involved. It must be remembered that decisions made, those not made, and those left to chance will affect the quality of the follow-on JSME products. The types of information that should be considered in the spectrum management concept are outlined below.

- (1) Allied or Coalition Operations
 - (a) Types and numbers of spectrum-dependent equipment.
 - (b) Information releasability.
 - (c) Integrated operations with other forces.
 - (d) Do they have a trained spectrum manager?

(e) Do they use some type of automated spectrum management software or tool?

- (f) How will I get frequency assignment information to them?
- (g) How will they provide me with new frequency requests?
- (h) What format will be used for data exchange with the JSME?

(2) EMS Use Considerations

- (a) Type of operations.
- (b) Force complement.
- (c) Type of entry.
- (d) Area of responsibility.
- (e) Types of radio services?
- (f) Centralized or decentralized frequency assignment authority.
- (g) EMS coordination/availability.
- (h) Radio service sharing of band?
- (3) Automation
 - (a) Does everyone have SPECTRUM XXI?

- (b) Will all components be able to data exchange?
- (c) Is there reliable SIPRNET connectivity to the components?
- (d) How to handle Area/Mobile assignments?

c. The following paragraphs are examples of spectrum management automation (SPECTRUM XXI) considerations, combined with some spectrumuse points that should be considered.

(1) <u>Area Assignments</u>. Spectrum managers must consider how they plan to use area assignments before making frequency assignments. Area assignments are frequencies assigned for use in a designated area that is not defined using a fixed geographical coordinate. The area could be within the boundaries of a state, country, or maybe a training area. Area assignments cannot be analyzed because of the lack of a geographic reference data. Area assignments and proposals are not included in any nomination or IA calculations.

(a) To make an area assignment, the spectrum manager must coordinate the use of these frequencies, through a manual coordination process, everywhere within the area designated in the assignment as well as beyond the area for the distance over which use of frequency might cause interference beyond the authorized boundary. Normally, an area assignment is made on a noninterference basis (NIB), meaning the assignment shall not cause harmful interference to, or require protection from, existing assignments.

(b) SPECTRUM XXI does not include area assignments in nomination or interference calculations. The nomination results process flags proposed frequencies that have a corresponding area assignment somewhere in the frequency assignment database and enables the user to view that record before accepting or rejecting the nominated frequency. This process of reviewing each nominated frequency with an area assignment somewhere in the database and manually evaluating its impact is fine when the spectrum manager has adequate time to perform that task. However, JTF operations, especially those conducted in the planning process; do not allow the time needed to perform this level of manual engineering in the assignment process.

(c) Area assignments are the spectrum users' first choice as they provide maximum flexibility to the user, but they should not be the assignment type of choice for use in JTF operations. The lack of automated engineering tools to nominate or consider area assignments make them time consuming and difficult to manage. Users should be required to define an area of some type that is tied to a geographic coordinate with a radius of operation and then allow the assignment authority to determine if and when area assignments best fit the situation.

(2) <u>Mobile Assignments</u>. Mobile assignments are used heavily in a JTF. Spectrum managers must consider how they intend to manage mobile assignments, what parameters automated spectrum management systems use to make mobile assignments, and what limitations should be placed on mobile assignments before making any frequency assignments in a JTF.

(a) Mobile assignments are those assignments that are portable or transportable and operate within a radius that is around a defined geographic point. These assignments are usually for omnidirectional antennas with a relatively low transmit power. Mobile assignments are common in JTF operations and much preferred over area assignments. Mobile assignments are those assignments where the station uses a fixed radio service and has a radius of mobility as listed in SFAF item 306/406. A mobile assignment does not actually reflect how the station is intended to be operated and can skew the nomination and IA results by placing fixed directional antenna gain values in an omnidirectional manner. While the use of mobile assignments is preferred over area assignments, they too can invalidate nomination and IA results. Mobile assignments were found to present unique problems in the nomination process, as the mobility of stations was hard to accommodate using the existing frequency assignment algorithms in SPECTRUM XXI.

(b) SPECTRUM XXI provides the spectrum manager with options on how to handle mobile assignments. These options enable the spectrum manager to determine how to best accommodate the JTF forces involved and to optimize the nomination of interference-free frequencies. To better understand the impact of choosing mobile assignments, it is necessary to review how SPECTRUM XXI deals with mobile assignments and what options the spectrum manager can modify and to what level those options should be set. This section provides a review of the SPECTRUM XXI radius of mobility multiplier and the SPECTRUM XXI fixed and mobile logic model.

(3) Radius of Mobility Multiplier. Earlier automated spectrum management tools calculated nominations and interference for mobile assignments as if the mobile assignments could be located anywhere within the authorized radius listed in SFAF item 306/406. This approach created a situation where the mobile station could actually be collocated directly with other fixed and mobile stations. This method was very conservative and greatly reduced the available EMS resource. A review of actual mobile and fixed station interactions found that most stations were seldom collocated and that by placing the mobile stations off a reasonable distance from other fixed and mobile stations the available EMS was increased. To accommodate this concern, a capability was created to separate mobile and fixed stations from

each other to better replicate the actual interactions of mobile and fixed stations.

(a) The capability to separate mobile stations from other fixed and mobile stations is called the radius of mobility multiplier. Mobile stations are stood off a distance that is between 1 and 25 percent of the radius of the mobile assignment (SFAF item 306/406). The SPECTRUM XXI default is 10 percent of the radius. While this seems a reasonable default, there are some potential concerns when using the radius of mobility multiplier. For a mobile assignment with a radius of 1,000 km, the SPECTRUM XXI default radius of mobility multiplier would stand off all mobile stations by 10 percent of the radius of their assignment. Ten percent of 1,000 km is 100 km, or 60 miles, and this distance might preclude two mobile stations from being considered in a nomination or IA and would stand off mobile stations from fixed transmitters within their area of operation. Therefore, the spectrum manager should consider the size of the operating radius along with the size of the standoff distance that will be caused by the radius and multiplier.

(b) The radius of mobility multiplier is one means of effecting how conservative the SPECTRUM XXI nomination and IA algorithm operates. Policy and guidance should be provided to JTF participants in the size of allowable mobile assignments along with the JTF standard for radius of mobility multiplier. This issue also affects the JCEOI frequency proposals and their radius values.

(4) <u>Fixed and Mobile Logic</u>. The U.S. Department of Commerce's National Telecommunications and Information Administration (NTIA) developed a model for fixed and mobile station interactions. This model causes changes to be made to frequency assignment analysis records and better replicates the effects to the EME.

(a) Fixed and Mobile Logic, is available to DoD spectrum managers in SPECTRUM XXI. Before choosing to use this capability, however, the spectrum manager needs to understand how the fixed and mobile logic evaluates both background assignments and proposals. The fixed and mobile logic model interprets fixed and mobile frequency assignment records and builds analysis records that more accurately reflect how the system is deployed. For instance, when the record contains both a fixed station class and a mobile station class, and a radius of operation is specified, that radius is applied to the mobile station not the fixed station. Propagation loss calculations between the fixed and mobile stations do not use digitized terrain data for calculations, they use smooth earth. Also, two or more receiver analysis records are created, one for the fixed site (without a radius) and one for the mobile site (with a radius). Modified values for antenna height, gain, polarization and azimuth, are used in the calculations. For example, mobile stations (station classes beginning with MO) are given an antenna height of at least 10,000 feet, mobile aeronautical stations (station classes beginning with MA) are given an antenna height of at least 30,000 feet, and mobile land stations (station classes beginning with ML) are given an antenna height of 2 meters.

(b) While use of the NTIA fixed and mobile logic model for mobile records is recommended, the decision must be documented in JTF policy and guidance. Not selecting the fixed and mobile logic model, as is the case for all non-frequency resource record system (FRRS) and government master file (GMF) assignments causes SPECTRUM XXI to analyze the assignment records using the values stated in the record and using previously stated defaults for missing data. When the fixed and mobile logic model is not used, the following recommendations are made for mobile class designations: use station class MA only for Air Force aircraft operating above 10,000 feet use station class MO for aircraft operating up to 10,000 feet, and use station class ML for land-based emitters.

(c) Restrictions constitute spectrum management issues that are not within the spectrum manager's power to change and must be worked around or accepted, unlike the considerations listed above where the spectrum manager may have some latitude in the decision-making process. Some coordination restrictions may cause the JSME extra work, such as in obtaining and maintaining the JTF EMS resource or planned EW operations. JTF operations and operations being conducted by organizations outside the JTF may restrict the spectrum manager's use of specific frequencies or bands of frequencies. Restrictions come in many forms, command guidance, JTF policy, HN mandates, and political or legal restraints imposed by international law or treaties. Information restrictions may prohibit the spectrum manager from sharing data with certain allied or coalition forces. Many restrictions will be identified in the JTF mission briefing, like the ROE and other military-imposed restrictions. As JTF operations are initiated and as the military situation develops, new and different restrictions will affect all aspects of the JTF.

(d) Spectrum Management Concept Summary

<u>1</u>. Based upon an assessment of the JTFs location, force complement, type of operation, type of entry, radio services used, EMS availability, and HN restrictions, the spectrum manager should develop the spectrum management concept. The spectrum management concept is the initial plan on how to best use the spectrum.

<u>2</u>. The concept will include any decision to delegate, or not to delegate, frequency assignment authority to the Service and functional components. The spectrum manager may consider delegating the management of specific frequency bands or functions to one of the JTF Service components. In some JTF operations, the management of the line-of-sight (LOS) radio relay

EMS is totally delegated to the Army force (ARFOR) component because it has an automated spectrum management tool that manages the radio relay bands (224-500 and 1350-1850 megahertz (MHz)) better than SPECTRUM XXI. The Afloat Electromagnetic Spectrum Operations Program, (AESOP), is a surface Navy spectrum management software tool for managing radar and communication frequencies of shipboard equipment. This automated spectrum management tool can manage radar frequency assignments. The JSME provides these Service components with a frequency resource to use and then lets the component manage the individual assignments. The concept will outline the spectrum manager's plan to use allotment plans, restrictions for delegated assignment authority, and radio service sharing of frequency bands. The spectrum management concept will also contain conclusions.

<u>3</u>. In deliberate planning, the JTF spectrum management concept should be included in the OPLAN Annex K as one of the spectrum management appendices. The spectrum management concept can provide the spectrum manager tasked to convert the OPLAN into an OPORD with the known assumptions used and the political and planning restrictions placed on the OPLAN developers. OPLAN development is conducted against a hypothetical situation, and problems encountered in the joint planning process are not resolved often due to time constraints but are set aside for further consideration and resolution at a later date. Since the impact of that delayed decision could affect spectrum management decisions, this issue should be included in the spectrum management concept as a constraint, restriction, or possible assumption. In the CAP process, the spectrum management concept is the first draft of the spectrum management plan.

3. Concept to Policy

a. Policies and procedures will need to be developed once a determination has been made on how best to manage the EMS.

b. First, the spectrum manager should review and understand the existing command spectrum management policy and guidance. The best source of this information is the CCMD's SMM, instruction, or publication. Once the spectrum manager fully understands the existing command spectrum management policy and guidance, he can determine what specific areas need to be clarified, expanded, and modified. Experience has shown that modifying or changing existing policy and guidance usually produces many errors, as the staff and components learn and comply with the new guidance. In the time-sensitive planning process, these modifications and the associated learning curve often prove worse than using the existing policy. Ideally, policy and guidance for establishing a JSME is incorporated into the CCMD's SMM, publication, or instruction. The JSME policy and guidance should also be included in all CCMD planning products (i.e., OPLANs, CONPLANS, and functional plans).

c. Second, the spectrum manager should establish contact with each of the components and identify the office and person responsible for spectrum management as well as each component's organic EW execution capability. The spectrum manager should also acquire complete contact information for each component representative; name, message address, e-mail address for both the nonsecure internet protocol router network (NIPRNET) and SIPRNET, telephone and FAX numbers for secure and nonsecure devices. This information should be included in the EMS requirements data call message.

d. Finally, once the spectrum manager has decided how to manage the EMS in support of JTF operations and has determined what, if any, policy changes should be made to existing command spectrum management policy and guidance, he should document and publish this guidance in an EMS requirements data call message.

4. EMS Requirements Data Call Message

a. The JTF spectrum requirements data call message provides guidance to JTF staff elements, components, and supporting agencies on how to request EMS support for spectrum-dependent systems that operate under their control within the JTFs AOI. This multipart message should cover the following subjects: JTF spectrum management policy and guidance, security classification guidance, and frequency and JCEOI MNL request procedures, as well as provide guidance for identifying nets and frequencies to be included on the JRFL.

b. The JSME, if established, should send this message to the JFMO, supporting JFMOs, JTF staff elements and components, JTF supporting agencies, military satellite control facilities, and any other organization that may be tasked to provide forces or equipment to the JTF. The message should reference the CCMD's SMM, instruction, or publication along with reference e, and any warning orders, OPLANs or CONPLANs being utilized.

c. The subject line of the message should be as follows: Frequency and JCEOI Requirements Request. This subject line will identify the message as a frequency management and JCEOI function and should ensure that it is routed to the appropriate personnel for action.

d. The EMS requirements data call message should contain four message parts. Part One should provide JTF specific spectrum management policy and guidance to the JTF participants. Part Two should direct JTF staff, components, and other associated agencies to submit their EMS requirements, through the appropriate Service channels, to the JTF. Part Three should request the JTF staff, components, and other associated agencies to submit their initial JCEOI MNL and Part Four should request the JTF staff, components, and other associated agencies to submit proposed JRFL entries to the JTF.

5. <u>Part One - Policy and Procedures</u>. Policy and procedures should not literally be copied from the SMM, instruction, or publication. The purpose of this portion of the message is to provide specific guidance on how to request EMS resources.

a. Items to be addressed should include the designation of the automated spectrum management system to be used to support the JTF. This guidance should also specify request formats to be used for requesting spectrum, along with coordination instructions that direct components to use designated agency serial number (SFAF item 102) conventions, and for the component spectrum managers to consolidate and validate proposals before sending them on to the JTF JSME. Part one should designate points of contact for the JSME and the JTF components along with the contact information for phone, FAX, e-mail, and JTF job account names. Exercise and/or operations name (SFAF item 910) should be designated by the JFMO and/or JSME and instructions not to deviate given to the component spectrum managers. Separate instructions for allied or coalition forces should be included if necessary. The following topic illustrates one aspect of this concept.

b. The agency serial number (SFAF Item 102) of every new frequency proposal must be unique. Permanent frequency proposals are required to use specific prefixes and the use of those specific prefixes are dictated by the agency responsible for their frequency proposals and assignments. Temporary proposals and assignments have no automated method to manage agency serial numbers and must rely upon the diligence of spectrum managers. It is up to the JFMO or JSME to develop an agency serial numbering scheme to provide an adequate number of unique agency serial numbers for the JTF JSME and components.

c. A determination must be made on whether an agency serial number prefix is unique. First, select a prefix scheme that is suitable for the operation and/or exercise. For example, for an operation named TANDEM THRUST, use a prefix of "TT" for frequency assignments made by the JSME as shown in the example below.

ΤT	=	JSME TTMC	=	JTF Marine Corps Component
TTAC	=	JTF JFACC TTAR	=	JTF Army Component
TTLC	=	JTF JFLCC TTAF	=	JTF Air Force Component
TTSO	=	JTF JFSOCCTTNV	=	JTF Navy Component

d. Next, create a SPECTRUM XXI AOI that queries all temporary frequency proposals and assignments that have an agency serial number starting with the prefix "TT." If no records are found then the spectrum manager has a unique agency serial number prefix and can use the numbering system shown above. If the spectrum manager finds records with a TT prefix, then he must find another combination of letters that is not being used. The values in the example would provide for 999,999 individual records for each component and another 999,999 for the JTF JSME. A similar approach would be to include a one or two digit year in the serial number. For example, as a two-digit year, TTAF029999 would allow for 99,999 unique serial numbers, and as a one-digit year, TTAF299999 would allow for 99,999 unique serial numbers. Using a year indicator may allow a past exercise prefix scheme to be reused. If the first attempt to identify unique agency serial numbers fails, continue trying until an unused set of letters is found.

e. Classification guidance must be included in the JTF initial EMS requirements data call message. This guidance will identify what information does or does not need to be protected and what level of protection subject requires. The classification guidance is normally prepared by the CCMD J-2 and is supplemented by the JTF staff. It provides users with subjects requiring protection, specifies the level of protection, and establishes the period during which the protection must be continued.

6. <u>Part Two EMS Request Procedures</u>. This message part should define the required data items to be included in all frequency requests. The specific data items, any standardization of these items, and any special instructions should be included in this part. Instruction on how data should be entered into the SFAF (i.e., emission groups starting from largest bandwidth to smallest, standard SFAF 200 series items). Request lead-time and unique coordination of special systems should be addressed in this section. Non-data exchanging SPECTRUM XXI client procedures should be addressed here along with specific requirements for when a data-exchanging client must perform data exchange.

a. Part Two should address the actual EMS requirements data call. This request should task units to submit all EMS requirements for units tasked to deploy. This tasking should include instructions to identify EMS use for a unit as it operates deployed and fully functional. It is better to overstate the requirements than to underestimate them and then have to adjust later.

b. This instruction should include the request format for EMS requests, specify SFAF for all U.S. forces, and the JTF standard for allied or coalition units. Additionally, this instruction should specify the required data items for all EMS requests and any specific guidance regarding the way data should be entered, items not required, and situations unique to this JTFs operation. Guidance should be included for: agency serial number (SFAF item 102) prefix and numbering, control request number (SFAF item 702) prefix and numbering, and use of the operation and/or exercise name (SFAF item 910). See Appendix B for a complete list of recommended Engineering SFAF items.

7. <u>Part Three – JCEOI MNL</u>. This message part will request inputs for the JCEOI MNL. The JTF staff, whose inputs are usually collected by the JSME and components, should compile their MNL as if they were deploying on a doctrinal mission. The normal requirements for call signs, call words, frequencies, and net IDs should be identified. Any sharing plan that would be used should also be included. The reuse class and zone information is needed in case the JSME spectrum manager is not from the same Service and is not familiar with constructing a JCEOI. This requirement does not exclude the user from submitting SFAF requests for EMS support. Instructions to Service components should include that only CEOI/Signal Operations Instructions (SOI) nets unique to their units should be included.

8. <u>Part Four – JRFL Data Call</u>. The fourth message part should request all JTF participants to submit initial JRFL inputs. These inputs must be collected and consolidated at this time. While still early in the planning process, there are standard frequencies that will always be included in the JRFL. This message should also define the schedule that will be used to collect new JRFL inputs and if possible the schedule of the official JRFL publication.

ANNEX A TO APPENDIX A TO ENCLOSURE C

SAMPLE DATA CALL

1. The following is a sample Automatic Digital Network (AUTODIN)/ defense messaging system (DMS) data call message.

FM JTF XRAY//J6// TO AIG ##### BT UNCLAS EXER/OCEAN VENTURE// MSGID/GENADMIN// SUBJ: FREQUENCY REQUEST AND JOINT COMMUNICATIONS-/ELECTRONICS OPERATION INSTRUCTIONS (JCEOI) REQUIREMENTS /DATA CALL// REF/A/MSG/OCEAN VENTURE WARNING ORDER// REF/B/DOC/COMBATANT COMMAND SPECTRUM MANAGEMENT MANUAL// NARR/REF A IS OCEAN VENTURE WARNING ORDER. REF B IS COMBATANT COMMAND SPECTRUM MANAGEMENT MANUAL// 1. THE PURPOSE OF THIS MESSAGE IS TO PROVIDE GUIDANCE TO JOINT TASK FORCE (JTF) COMPONENTS AND SUPPORTING ORGANIZATIONS AND COMMANDS FOR REQUESTING FREQUENCIES, SUBMITTING JCEOI MASTER NET LIST (MNL) INPUTS, AND JOINT RESTRICTED FREQUENCY LIST (JRFL) INPUTS ISO EXERCISE OCEAN VENTURE. THIS MESSAGE CONTAINS FOUR PARTS; POLICY AND GUIDANCE, REQUESTING FREQUENCIES, JCEOI MNL REQUIREMENTS, AND JRFL INPUTS. 2. PART ONE - POLICY AND GUIDANCE A. SPECTRUM XXI IS THE DESIGNATED JOINT SPECTRUM AUTOMATION TOOL AND WILL BE USED TO COORDINATE, NOMINATE, AND ASSIGN SPECTRUM RESOURCES. SPECTRUM XXI WILL BE USED TO SUBMIT COMPONENT FREQUENCY REQUEST TO THE JTF SPECTRUM MANAGEMENT ELEMENT (JSME) AS WELL AS NOTIFICATION OF ASSIGNMENTS FROM THE JSME BACK TO THE COMPONENTS. THE JOINT AUTOMATED COMMUNICATIONS-ELECTRONICS OPERATION INSTRUCTIONS SYSTEM IS THE DESIGNATED AUTOMATED JCEOI TOOL AND WILL BE USED TO CREATE, UPDATE, AND MANAGE JCEOI PRODUCTS.

B. COMPONENTS WILL ESTABLISH SPECTRUM XXI JOB ACCOUNTSUSING THE FOLLOWING NAMING CONVENTIONS FOR THIS EXERCISE.ORGANIZATIONJOB ACCOUNTPOCDSN PHONEJSMEOVJSMEMSG BLOOD222-1210ARFOROVARFORSFC YOUNG222-1211

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AFFOR	OVAFFOR	SSGT SHOE	222-1213
NAVFOR	OVNAVFOR	ITCS SOLE	222-1214
MARFOR	OVMARFOR	GYSGT HEART	222-1215
JFACC	OVJFACC	SSGT WING	222-1216
JFLCC	OVJFLCC	SFC MAUGHAN	222-1217
JFMCC	OVJFMCC	ITC SHIP	222-1218
JFSOCC	OVJFSOCC	MSG HOOD	222-1219

C. SATELLITE REQUEST AND AUTHORIZATIONS WILL INCLUDE THE JSME AS AN INFORMATION ADDRESSEE. COMPONENTS SHOULD IDENTIFY ALL RECEIVE ONLY STATIONS TO THE JSME FOR PROTECTION FROM UNINTENDED INTERFERENCE.

3. PART TWO – FREQUENCY REQUEST

A. REQUEST FOR FREQUENCY WILL BE SUBMITTED IN THE STANDARD FREQUENCY ACTION FORMAT (SFAF) FROM THE COMPONENTS TO THE JSME. THE JSME WILL RESPOND TO THE COMPONENTS USING SFAF. FREQUENCY REQUESTS WILL BE SUBMITTED BY THE COMPONENTS AND WILL INCLUDE THE JCEOI MNL REQUIREMENTS. SPECTRUM XXI DATA EXCHANGE IS THE PREFERRED METHOD OF EXCHANGING PROPOSAL AND ASSIGNMENT INFORMATION. FREQUENCY REQUESTS WILL BE SUBMITTED THROUGH NORMAL SERVICE COORDINATION CHANNELS UP TO THE COMPONENT LEVEL OF THE JTF. COMPONENTS WILL VALIDATE REOUIREMENT AND OUANTITY OF SPECTRUM NEEDED ALONG WITH FORMAT AND NECESSARY INFORMATION. ALL SFAF REQUEST WILL INCLUDE, AT A MINIMUM, THE FOLLOWING ITEMS: 005, 010, 102, 110, 113. 114, 115, 140, 141, 144, 200, 201, 202, 204, 205, 207, 300, 301, 303, 340, 354, 357, 358, 359, 362, 363, 400, 401, 403, 440, 454, 457, 459, 462, 463, 502, 513, 702, 801, 803, 804, 806, 910. ITEMS 306 AND 406 WILL BE USED FOR MOBILE ASSIGNMENTS BUT SHOULD NOT EXCEED 500KM. ALL PROPOSALS MUST HAVE EITHER A FIXED LOCATION OR A GEOGRAPHIC POINT OF REFERENCE AND A RADIUS. THIS LIST SHOULD BE USED AS A MINIMUM STANDARD.

B. THE FOLLOWING AGENCY SERIAL (SFAF 102) NUMBERING CONVENTIONS AND STANDARD 200 SERIES ENTRIES WILL BE USED.

ORGANIZATION	SERIAL NUMBER
JSME	OV00000
AFFOR	OVAF000000
ARFOR	OVAR000000
NAVFOR	OVNV000000
MARFOR	OVMC000000
JFACC	OVAC000000
JFLCC	OVLC000000
JFMCC	OVNC000000
JFSOCC	OVSO00000

C. THE FOLLOWING AGENCY STANDARD 200 SERIES ENTRIES WILL BE USED. SELECT THE APPROPRIATE ENTRY.

200. JNTSVC/USA/USN/USAF/USMC (SELECT ONE)

201. CDRSOUTHCOM

202. JTF OV

204. AFFOR/ARFOR/NAVFOR/MARFOR/JFACC/JFLCC /JFMCC/JFSOCC (SELECT ONE)

205. IDENTIFY USING UNIT'S HIGHER HQ

207. IDENTIFY USING UNIT

D. FOR MOBILE EMITTERS THE FOLLOWING STATION CLASS CONVENTION WILL BE USED:

(1) ML FOR LAND MOBILE STATIONS

(2) MS FOR MARITIME MOBILE

(3) MO FOR MOBILE STATIONS OPERATING BETWEEN 45 FT TO 10,000 FT (I.E., HELICOPTERS)

(4) MA FOR MOBILE STATIONS OPERATING ABOVE 10,000 FEET.

E. EMISSION DATA (SFAF ITEM 114) WILL BE ENTERED WITH THE LARGEST BANDWIDTH AS THE FIRST OCCURRENCE AND SHOULD ONLY INCLUDE THE EMISSIONS THAT WILL ACTUALLY BE USED BY THE STATION, NOT ALL POSSIBLE EMISSIONS.

F. LOCATION DATA SHOULD BE STANDARDIZED BY THE COMPONENTS FOR MOBILE STATIONS AND LIMIT THE OPERATING RADIUS (SFAF ITEM 306/406) TO LESS THAN 500KM. REFERENCE AREAS WITH AN OPERATING RADIUS HAVE BEEN ESTABLISHED AS FOLLOWS:

REF	AREA COORDINATES	RADIUS
OV–AOR	181500N0662000W	200 KM
OV-EAST	180700N0652500W	50 KM
OV-WEST	182923N0670737W	30 KM
OV-NORTH	200000N0660000W	100 KM

4. PART THREE – JCEOI MASTER NET LIST SUBMISSIONS. THE EXERCISE JCEOI WILL BE GENERATED AT THE JSME. COMPONENTS WILL SUBMIT THEIR MNL VIA ELECTRONIC MEANS OR MESSENGER TO THE JSME. THE MNL WILL REFLECT CURRENT SERVICE DOCTRINE CONCERNING FORCE DEPLOYMENT, FREQUENCY SEPARATION, SHARING, AND REUSE CLASS AND ZONES. COMPONENT MNL WILL INCLUDE ALL SINGLE CHANNEL RADIO NETS, CALL SIGN, CALL WORD, COLOR WORD, CHALLENGE/ PASSWORD, AND RUNNING CALL WORDS NEEDED TO SUPPORT THE UNIT. SERVICE COMPONENTS SHOULD DECONFLICT THEIR MNL WITH THE FUNCTIONAL COMPONENTS AS MANY NETS THAT A SERVICE USES BECOME JOINT NETS IN A JTF.

5. PART THREE – JRFL SUBMISSIONS. UNITS CAN SUBMIT JRFL NOMINATIONS ALONG WITH SFAF AND MNL INPUTS. COMPONENTS WILL IDENTIFY NETS REQUIRING PROTECTION IN THE MNL ENTRY OR IN SFAF ITEM 985 FOR NON-JCEOI REQUIREMENTS. NO MORE THAN 10 PERCENT OF YOUR NETS MAY BE INCLUDED IN YOUR JRFL NOMINATIONS. JRFL WILL BE SUBMITTED USING SPECTRUM XXI FORMAT AND SENT VIA SECURE EMAIL TO THE JSME. INTERNATIONAL TABOO FREQUENCIES WILL BE INCORPORATED AT THE JSME AND THE COMPONENTS NEED NOT SUBMIT THEM.

6. POC THIS ACTION IS JSME OIC.

Annex A Appendix A Enclosure C

ANNEX B TO APPENDIX A TO ENCLOSURE C

AUTOMATED TOOLS

1. <u>Introduction</u>. The following are joint approved systems for use by the spectrum manager for spectrum management and JCEOI development.

2. SPECTRUM XXI

a. SPECTRUM XXI should be used in peacetime by the JTF staff at its permanent HQ to assist in planning and executing phases of exercises or contingencies, as well as in performing routine spectrum management functions. In a crisis, contingency, or combat situation, the JTF staff will use SPECTRUM XXI, either at the HQ or at deployed locations to support spectrum management tasks.

b. Proponent. Joint Chiefs of Staff and the JSC.

c. Point of Contact (POC). JSC 2004 Turbot Landing, Annapolis, MD, 21402-5064, DSN 281-4956, commercial (410) 293-4956.

d. Security Note. SPECTRUM XXI will display a security banner equal to the highest classification level of data loaded into the software. This allows operations in up to a TOP SECRET environment and all local security directives must be followed.

3. <u>Joint Automated Communications-Electronics Operating Instruction</u> <u>System (JACS)</u>. JACS provides a common tool that will interface between spectrum managers and communication planners, allowing for automated transfer of information that is easily understood by both parties.

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ANNEX C TO APPENDIX A TO ENCLOSURE C

COMBATANT COMMAND POINTS OF CONTACT AND AREAS OF RESPONSIBILITIES

Table 1 provides POC information for the CCMD frequency management offices. Figure 5 shows the CCMDs areas of responsibilities.

COMMAND	TELEPHONE NO.	MESSAGE ADDRESS
USAFRICOM	COMM (+49)(0)711-729-4360 DSN 314-421-4360 FAX (UNCLAS) X-2308 FAX (SECURE) N/A	PLA: AFRICOM C4 SYS(MC)
USCENTCOM	COMM (813) 827-5366 DSN (312) 651-5366 COML (813) 827-5366 VOSIP (302)529-7608 NIPR email: j6c.jfmo@centcom.mil SIPR email: j6c.jfmo@centcom.smil.mil	USCENTCOM MACDILL AFB FL//CCJ6-CF//
USEUCOM	COMM 49-711-680-7284/8855 DSN (314) 430-7284/8855 FAX (UNCLAS) DSN 314-430-5006 FAX (SECURE) Call for info	HQUSEUCOM VAIHINGEN GE//ECJ6- F/JFMO//
USSOCOM	COMM (813) 299-1509/8098 DSN 299-1509/8098 FAX (UNCLAS info) DSN 299-3811	USSOCOM MACDILL AFB FL//SONC-J6-OC//
USPACOM	COMM (808) 477- 7239/42/42/30/36 DSN (STU III) (315) 477-XXXX FAX (UNCLAS) (808) 477-7214 FAX (SECURE) (808) 477-1048	JFMO PAC HI//J61//
USSOUTHCOM	COMM (305) 437-1661 DSN 567-1661 FAX (UNCLAS) X-1951 FAX (SECURE) X-1875	USSOUTHCOM MIAMI FL//SCJ632//
USNORTHCOM	COMM (719) 554-7773 DSN 692-7773 FAX (UNCLAS) DSN 692-0978	HQ USNORTHCOM//JFMO- NORTH//

Table 1. CCMD's JFMO POC Information

THE WORLD 1:60,000,000

THE WORLD WITH COMMANDERS' AREAS OF RESPONSIBILITY

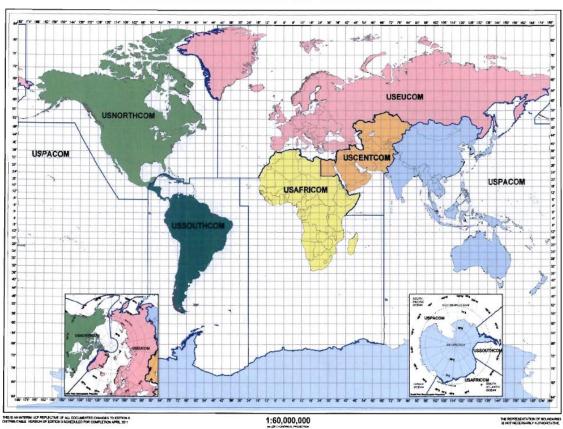


Figure 5. CCMDs Areas of Responsibility

APPENDIX B TO ENCLOSURE C

GATHERING REQUIREMENTS

1. <u>Overview</u>. EMS-dependent systems are in almost all units and organizations. Ideally, the Service components would identify all of the requirements for spectrum dependent systems that they bring to the JTF. However, there are always items missed and systems overlooked in coordinating EMS use. The best approach to gathering requirements is by attending briefings, meetings, and planning sessions. The JTF EWO or EWC, if activated, is also a POC and coordination. You will hear about new units arriving, new systems being deployed, and changes to the operational plan. All of these indicate new or changed EMS usage. You will usually find spectrum dependent systems that have been overlooked and need to be included in the frequency assignment database. Many agencies and organizations get called upon to support the JTF that are not subordinate to the JTF and who often do not coordinate spectrum dependent systems. It is incumbent upon you to always be alert for new systems being deployed in the JTF AOR and contacting the unit about the system.

2. <u>How Requirements Are Gathered</u>. Ideally, all of the JTF EMS requirements would be submitted to the JSME in SFAF via SPECTRUM XXI data exchange. However, requirements come from many different sources, through nonstandard channels, and some not even in electronic format. Some example requirement sources are phone calls, FAXes, e-mails, messages, and spreadsheets, bubble diagrams and illustrations.

a. As mentioned previously, many spectrum-dependent systems are receive only and users do not request EMS support because their systems do not radiate. Spectrum managers must protect receive only systems from EMI also. The way to identify many of these types of systems is to contact the JTF staff sections, J-1, J-2, etc., and request that they identify any receive only systems that they know are active. You can create SFAF records for these receivers and then afford them protection when nominating frequencies and performing IA.

b. Most single and multi-channel SATCOM systems get authorization for use of the satellite from the satellites controlling authority and are issued a SAA that contains a disclaimer that indicates "Local frequency clearance is the responsibility of the user." This creates a situation that allows some users to disregard the disclaimer. The JSME becomes aware that these systems exist when interference is caused or received by the satellite terminal. Recommend that the components include the JSME on all satellite access request and authorizations. The JSME should create SFAF records for these authorizations. Additionally the JSME may want to make separate specific frequency assignment records for known single channel satellite systems located at the major HQ to aid in reducing and identifying interference.

c. Attending meetings and briefings is another way of gathering information about EMS issues and concerns. The daily operations brief usually identifies problems and issues affecting the JTF at a very high level. Spectrum managers can often listen and identify possible EMS issues or new EMS requirements that are not obvious to even the other J-6 personnel. Meetings concerning the planning of future operations, incoming units, or new systems being deployed into the JTF should all have JSME representation.

d. Making the JSME visible to incoming units and organizations helps not only the unit but introduces the unit to the JSME and lets them know that there is an office that is actively involved in communications and spectrum management. Many units and organizations would be eager to coordinate EMS use if they knew where to go and from whom to request the support. A proactive approach will pay great dividends in reduced interference problems later.

e. CCMD JFMO should already know what frequencies have been provided (assigned) to supporting and supported forces operating within the AOR. These records need to be included in your database not as requirements but as assignments, this is mentioned here as many of these assignments are identified while gathering requirements.

f. Navy forces (NAVFOR) EMS requirements, unlike the other Services, may be provided to you in a form other than SFAF, specifically as an operational tasking for communications (OPTASKCOM). A combined task force (CTF) may not have a billet for a trained spectrum manager. Hence, the communications officer, or more likely a senior chief, may be tasked to provide the NAVFOR EMS requirements. The lowest level that the Navy has an assigned spectrum manager is at the numbered fleet. Since the CTF will already be deployed and operating in order to arrive at your location it must have an operational frequency plan in use. This document will list all of the communications links, (frequency, emission, and type of use) operating in the CTF. There is also an OPTASKLINK that provides the same type of information for Navy data links. Many of the JTF JCEOI nets will be the same as some operational nets used by a CTF.

3. <u>Documenting the Process (Tracking Action Items</u>). Information flow becomes critical as the proposals come flowing in. Incoming proposals needed to be tracked to make sure that all requirements are addressed. It is best to create an event log. The event log should include everything that happens throughout the day that effects productivity. Any event that causes a change in the tasking of the JSME should be entered into the log; new tasks, modification of tasking, elimination of tasking. Meeting minutes, interference reports, reprioritization of existing tasks, and all incoming message traffic should be recorded in the event log. Some JSMEs create a separate log just for incoming and outgoing message traffic. You may choose to create a frequency proposal log and track incoming proposals and outgoing assignments. This type of log is best kept in an automated spreadsheet or database so that it can be searched and sorted for case numbers, agency serial numbers. The event log should be reviewed periodically to create an action item list. The action item list is used to prioritize tasks for the JSME. The log also provides a historical document for when things arrived at the JSME, when they were processed, and when they were completed. The logs along with the action item list assist the spectrum manager in ensuring that nothing is left unfinished.

4. <u>Spectrum Manager to the JTF Staff</u>. The JSME becomes the spectrum manager for the JTF staff and will gather much information in this role to help in identifying JTF EMS use. JSME representatives should establish contact with each JTF staff section and discuss the importance in documenting all known EMS use so that those systems will be protected from JTF operations. The JTF staff sections have little to no spectrum dependent systems, unless they have wireless local area networks (LANs) or cordless phones, but they do plan for the arrival and deployment of many units that do have such systems. You will be required to document many of these requirements in your role as the JTF spectrum manager.

a. Many systems providing intelligence and weather information are broadcast systems that do not transmit but only receive. It is important to identify these systems and document the locations of the receivers to protect them from unintentional interference. The users of these systems usually do not realize the importance of coordinating receive only systems with the JSME.

b. Spectrum managers must continuously remain engaged with all elements of the JTF staff. Remaining engaged within the JTF staff will increase operational awareness and aid in identification of previously unidentified EMS resource requirements, while also enhancing spectrum awareness and working relationships with other staff elements.

5. <u>Reviewing the Requirements</u>. EMS requirements submitted by the components should be reviewed and validated by the component prior to submission to the JSME. Validation includes justifying the need, accurate reflection of the need (not an inflated number), correct format, and completeness of request.

a. Service Components should also coordinate with Functional Components prior to submitting request for EMS to reduce or eliminate the possibility of duplicate requirements being identified to support radios nets that are used by both the Services and JTF directed nets. The JSME will perform the validation for EMS request from JTF staff and organizations submitting request other than the components. The JSME should review request for anomalies or ambiguities that may adversely affect the request process.

b. Inflated requirements are the result of users attempting to over compensate in their desire to provide interference free communications. If a spectrum dependent system requires five frequencies to operate then you should request that many. The JSME should provide a quick response to a request for another frequency if interference is encountered. Some users think that if it takes five frequencies to operate, then I need to have spare frequencies to use in the case of interference. They usually take the worst case and ask for 10 (double the need). Even this approach can seem conservative, for example, a Trackwolf system (which requires eight frequencies to operate) was once requesting 40 frequencies as its minimum requirement. In this type of case where the requested number seems extreme, the best approach is to have them explain how the system works. Conversely, making assumptions without adequate background information can cause you to believe that EMS requests are over-inflated when in fact they just what is needed. A Navy spectrum manager would have a hard time justifying the EMS requirements submitted by an Army Corps, because the Navy use of frequencies is much different from that of the Army. Do not let your personal experience limit your thinking but guide you to ask questions that will flush out potential inflation.

c. To assist you in the task of understanding what the Service Components may be sending to you as EMS requests, we will be reviewing the forces normally assigned to a JTF and how they operate. This will also include an overview of many joint systems that are used in JTF operations.

6. Joint Components

a. Joint Forces Air Component Commander. The JFC will normally designate a JFACC to exploit the capabilities of joint air operations. The JFACC directs this exploitation through a cohesive joint air OPLAN (centralized control) and a responsive and integrated control system (decentralized execution). The JFC will normally assign JFACC responsibilities to the component commander having the preponderance of air assets and the capability to plan, task, and control joint air operations. The JFACC is responsible for overseeing: airspace control authority, planning, and managing joint air operations through the air tasking order (ATO), data link management, and for designating the Area Air Defense Commander (AADC) usually delegated to the Army Air-Ground System (AAGS). The JFACC can be from the Navy, Marines, or Air Force component (not always the AFFOR). In some cases, it may be necessary for a naval officer to function as the JFACC at sea, especially in quick-breaking operations before land-based air contingents are in place or when significant land-based air assets are not required.

b. <u>Theater Battle Management Core System (TBMCS)</u>. The TBMCS is the primary C2 tool used for theater integration of air assets. TBMCS is used to organize intelligence, build and disseminate the ATO, monitor and control the ATO execution, track progress of the air war, and to control all air activity under the JFACC and is interoperable with the Global Command and Control System. The JFACC EMS requirements seem large because they include the JTF nets that are designated Air Component AC nets. Which nets and how many frequencies needed is dependent on the overall structure and integration of the C2 systems being employed to oversee and manager joint air operations.

(1) <u>Theater Air-Ground System (TAGS)</u>. The TAGS provides the JFACC with the capability to plan and conduct joint air operations. TAGS is made up of: AF Theater Air Control System (TACS), AAGS, Navy Tactical Air Control System, Marine Air Command and Control System. Each Service has elements with similar functions that work together in the overall C2 infrastructure of the JFACC. Table 2 lists these functions and the Service elements.

Function	Air Force	Marine	Navy
HO	Air Operations Center (AOC)	Tactical Air Control Center (TACC) – Ashore	TACC – Afloat
		Tactical Air Operations Center (TAOC)	Fleet Air Warfare Coordinator (FAWC)
SUTTOCE Ranar		Early Warning/Control (EW/C)	Sector Air Warfare Coordinator (SAWC)
Air Support	Air Support Operations Center (ASOC)	Direct Air Support Center (DASC)	Air Support Control Section (ASCS)
T T T T T	Airborne Command Control Center	Direct Air Support Center Airborne	
Air Support	Tactical Air Control Party (TACP)	ТАСР	
	Airborne Forward Air Controller	Forward Air Controller –Airborne	
Airborne Surveillance	E-3 Sentry		E-2 Hawkeye

Table 2. TAGS Service Functional Similarities

(2) The following is a brief description of each of these TAGS functions:

(a) <u>HQ</u>. As the senior TAGS element, these facilities provide the JFACC and battle staff a command post to plan, supervise, coordinate, and execute all current and future joint air operations. The battle staff is divided into two sections. One handles current air operations (executes the ATO) while the other plans future operations (develops and disseminates the ATO). This

element originates all air defense control measures, including air defense warning conditions and control status. These HQ by Service elements are:

- <u>1</u>. USAF AOC
- <u>2</u>. USMC TACC Ashore
- 3. Navy TACC Afloat

(b) <u>Surface Radar</u>. Ground and ship-based radar elements of the TAGS can exercise tactical control over air defense missions that provide air battle management, early warning, and fighter control. The ground-based elements can be linked to medium and high-altitude surface-to-air missile units and may have authority for launch control and target assignment. Common missions and tasks are:

 $\underline{1}$. Long-range radar surveillance and identification friend-or-foe of airborne objects.

<u>2</u>. Airspace battle management.

<u>3</u>. Fighter direction.

<u>4</u>. Data link management (i.e., Tactical Digital Information Link (TADIL) A/B (Link-11), and the Joint Tactical Information Distribution System (JTIDS) (Link-16)).

- 5. Provide threat warnings
- (c) Naval and ground tactical radar elements by Service element

are:

- 1. USAF CRC and CRE
- $\underline{2}$. USMC TAOC and EW/C
- <u>3</u>. Navy FAWC and SAWC

Note: The CRE, EW/C, and SAWC are subordinate elements.

(d) <u>Air Support</u>. Ground, air, and ship-based air support elements of the TAGS provide advice and liaison to supported ground combat commanders for CAS, interdiction, surveillance, reconnaissance, airlift, EW, and special operations. These elements have the capability to receive, process, and commit allocated sorties to satisfy requests for immediate air support, and they integrate those missions with supported ground unit's fire support plan and scheme of maneuver. Air support elements by Service element are:

- <u>1</u>. USAF ASOC.
- <u>2</u>. USMC DASC.
- 3. Navy ASCS.

<u>4</u>. Airborne air support elements include; the USAF Airborne Battlefield Command and Control Center and the USMC DASC-Airborne. Both operate on specifically configured C-130 aircraft performing the same functions as the ground-based elements.

(e) <u>Terminal CAS Control</u>. This element of the TAGS is comprised of USAF and USMC TACPs as well as airborne forward air controllers. These elements are responsible for immediate CAS request, provide terminal attack control of CAS assets, and are advisors to the ground combat maneuver commander on weapons employment techniques, procedures, suitability, and capabilities.

(f) <u>Airborne Surveillance</u>. The airborne surveillance elements of the TACS are comprised of the USAF E-3 Sentry Airborne Warning and Control System and the Navy E-2 Hawkeye. Both aircraft perform the missions of air battle management, airspace control, fighter control, and early warning. These airborne platforms provide radar surveillance and communications beyond the range of surface-based radars and can look down to detect, identify, and track low-flying aircraft. Another key role of these aircraft, along with the TAGS surface based radars, is sending the combined radar picture of the air war to command authorities and other agencies.

7. <u>AAGS</u>. The Army's control system for synchronizing, coordinating, and integrating air power with the commander's scheme of maneuver is the AAGS. The AAGS provides the means to initiate, receive, process, and execute request for air support and to disseminate information and intelligence produced by aerial assets. Each Army Component of the system is designed to operate with an element of the Air Force TACS, but is also compatible with both the Navy and Marine Corps air control systems. The AAGS synchronizes, coordinates, and integrates air power with Army land forces. Army components of the AAGS are comprised of:

a. <u>Command Post</u>. This is where the Army commander issues directives, allocates resources, synchronizes operations, monitors the movement of the battle, plus processes and approves request for tactical air support. The AADC is the head of Air Defense Artillery (ADA) system and the ADA command post. The ASOC is normally collocated with a corps main command post providing

fast response to the corps and subordinate unit's request for immediate air support.

b. <u>Fire Support Element (FSE)</u>. The FSE is the focal point for planning, coordinating, and synchronizing all categories of fire support on surface targets. The FSE forwards pre-planned request for air support, including CAS, through Army command channels to the AOC.

c. <u>Army Airspace Command and Control (A2C2)</u>. Under the JFACC airspace control authority, A2C2 is the Army's principal organization charged with the responsibility for airspace control in the Army's area of operations. Normally, the principal staff sections and liaison elements in an A2C2 element consist of representatives from the ADA, Army aviation, air liaison officer, FSE, air traffic services units assigned, combat EW, and when required, an air and naval gunfire liaison company.

d. <u>ADA Brigade (BDE)</u>. The brigade is the largest unit in ADA. The ADA BDE mission supports the mobilization and worldwide deployment of units providing air defense force protection to allow freedom of maneuver for joint operations. The ADA BDE oversees multiple ADA Battalions (BNs).

e. <u>ADA BN</u>. The ADA BN deploys systems that provide theater air defense coverage using:

(1) HAWK medium range air defense systems.

(2) Linebacker and Avenger shoot-on-the-move air defense systems.

(3) Patriot missile systems capable of intercepting and destroying tactical ballistic missiles and aircraft in flight.

(4) Theater High Altitude Area Defense missile systems.

(5) Sentinel air defense radar systems.

8. <u>JFLCC</u>. Simply stated, the JFLCC is a command structure in which all land forces, regardless of MILDEPs, are consolidated under a single land force/component commander. A CCDR or other JFC may choose such a functional organization in order to achieve an integrated joint ground operation, particularly if the JFC feels he can best control land operations with minimal external distractions through a subordinate commander. The JFLCC is expected to use existing communications assets utilized by land forces to communicate. The JTF has joint nets that can be activated to support the JFLCC in performing the mission. The JFLCC uses communications systems as follows:

a. Combat Net Radio (CNR) (2-30 MHz/30-88 MHz).

b. Air-Ground-Air (115-149.975 MHz).

c. Air-Ground-Air, Radio Relay, Single Channel SATCOM (225-400 MHz).

d. C4 and Intelligence Backbone Radio Relay (1350-1850 and 4400-5000 MHz).

- e. GMF SATCOM (7250-8400 MHz).
- f. Radars Personnel/ADA/ATC
- g. Existing C4 systems supporting land components already in theater.

9. <u>JFMCC</u>. The JFMCC has an already established war fighting capable system in place. The JTF provides for additional joint nets to be used when coordinating with other JTF components and adjacent naval forces. The JFMCC uses various communications systems using both SATCOM and terrestrial based systems in the following frequency bands; high frequency (HF), very high frequency (VHF), ultrahigh frequency (UHF), super-high frequency (SHF), and extremely high frequency (EHF).

10. Joint Force Special Operations Component Commander (JFSOCC). The JFSOCC uses assets from the component Services, commercial off-the-shelf (COTS) equipment to accomplish the missions given by the JTF commander. Many of the communications needs that support JFSOCC operations are classified beyond what the spectrum manager can coordinate. Most of these EMS requirements are for single channel voice and data transmissions between elements. Expect heavy use in the 30-88 MHz, 115-150 MHz, 225-400 MHz, and, 402-420 MHz bands.

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APPENDIX C TO ENCLOSURE C

DEVELOP SPECTRUM REQUIREMENTS SUMMARY

1. <u>Spectrum Requirements Summary</u>. The Spectrum Requirements Summary has been called a "Spectrum-Use Plan" in joint documents. However, the title Spectrum-Use Plan has at least three different definitions. Therefore, this chapter uses a more descriptive title to identify the actual plan being developed.

a. The purpose of the Spectrum Requirements Summary is to provide the JSME spectrum manager with a document to better analyze JTF EMS requirements to determine the quantity of EMS needed to support the JTF operations, the number of radio services competing for use within a given frequency band, and the number of different emission designators operating within a frequency band. (It is common to have many different emissions operating with a frequency band allotted to a single radio service.) Once the spectrum manager has quantified the EMS requirements and either requested or determined the available EMS resources, the summary plan can be used to devise frequency-sharing plans.

b. To create this plan, the spectrum manager compiles the EMS requirements documented in the Gather Requirements activity and reformats this data, using the spreadsheet and export options in SPECTRUM XXI. The spectrum manager can import the resulting file into a commercial spreadsheet application and then manipulate, sort, or record data, to help gain a better understanding of the JTF EMS requirements. The information needed to compile the EMS requirements summary is found in the SFAF items required by the EMS requirements data call message.

2. How to Create the Spectrum Requirements Summary

a. <u>Exporting the Requirements from SPECTRUM XXI</u>. The Spectrum Requirements Summary is created by using the "Spreadsheet Output" feature in SPECTRUM XXI, which enables the user to select specific SFAF items to include in a spreadsheet report that can be exported as a .txt file. The resulting file can be opened using a commercial spreadsheet application like Microsoft Excel.

(1) Once the spectrum manager has received a preponderance of JTF spectrum requirements data, he will use SPECTRUM XXI to query the requirements from the database. The spectrum manager will then tag all records in the query results, select the "Output" option, and then select "Spreadsheet." When creating the spreadsheet, the following SFAF items

should be included to provide the necessary information to properly evaluate the requirements: 005, 110, 113, 114, 300, 301, 513, 804. These SFAF items were identified as mandatory items in the data call message and should be present in all SFAF records. The spectrum manager should save the requirements summary as a template in the SPECTRUM XXI spreadsheet menu.

(2) While not specifically a requirements summary issue, a standardized file-naming convention should be used to preclude losing or misplacing files. Provide guidance to everyone within the JSME as to how files are to be named and where they are to be stored.

b. <u>Sorting the Requirements (Spreadsheet Application)</u>. Once the summary file has been exported from SPECTRUM XXI and opened in a commercial spreadsheet application, the JSME spectrum manager will resort the spreadsheet by frequency and divide the requirements by frequency band: 200-500 kilohertz (kHz), 2000-29999 kHz, 30-88 MHz, 88-136 MHz, 136-225 MHz, 225-400 MHz, 400-1000 MHz, 1-3 gigahertz (GHz), 3-6 GHz, 6-10 GHz, and 10-20 GHz. After reviewing the number of requirements in each frequency band, the spectrum manager may choose to subdivide these bands further based upon the number of proposals, radio services, or emissions. In the process of dividing these requirements, the spectrum manager should recognize many of the potential conflicts, issues, and concerns that must be considered in the analysis.

3. <u>Analyzing the Requirements</u>. Once the requirements have been sorted by frequency band, the JSME spectrum manager can analyze the data and determine the amount of spectrum needed to support each band. There is no automated method for this process. Geographical separation between users must be considered, along with the number of frequencies requested, radio services used, and density of users in a given area. Each of these considerations will help the spectrum manager formulate an educated guess as to the number of frequencies needed to support the requirements. Historical records from past exercises, personal experience, and unit institutional knowledge are all information sources that will assist in determining the spectrum requirement.

a. In the requirements analysis, the spectrum manager must consider HN restrictions and band sharing plans. When requesting a spectrum resource from a HN authority, the spectrum manager may find restrictions imposed on the frequency bands being requested. Less industrialized nations may grant a JTF spectrum manager more latitude regarding spectrum use. However, these nations are more likely to have undocumented spectrum-dependent systems operating that may cause interference.

b. Additionally, the concern over frequency sharing plans has become less important as most industrialized countries have defined spectrum-use plans that address possible sharing. An example of frequency bands being shared by different radio services is found within NATO, which shares the single-channel satellite allocation with the fixed and mobile service provided to radio relay systems. Since radio relay systems are terrestrial based, use very directional antennas, and are usually easily identified, they can share the same frequencies as the space based, skyward directed antennas used by singlechannel satellite. This sharing periodically results in interference but is easily resolved through basic siting and local interference investigations.

c. The spectrum manager should document the results once he has analyzed the spectrum requirements and determined the quantity of spectrum needed, the possible need of sharing plans, recommendations for sharing plans, and systems requiring operational deconfliction. By documenting these findings, the spectrum manager creates a decision baseline for follow-on spectrum managers to use in understanding why and how the spectrum is being allocated and assigned. Just like the spectrum management concept, this document becomes important for future decisions and provides the JSME spectrum manager a form of institutional knowledge management.

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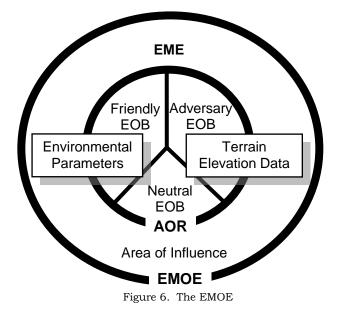
APPENDIX D TO ENCLOSURE C

DEFINE THE EMOE

1. Defining the EMOE

a. The JTF operational environment comprises the air, land, sea, and space within a geographical area, as well as the EMS. While the JTF commander needs to know the battlefield characteristics that affect operations, such as mountains, climate, civilian population centers, as well as friendly, hostile, and neutral troop formations, the JTF spectrum manager needs to know the particular characteristics of the EMOE.

b. The EMOE is composed of the background EME and the friendly, neutral, and adversarial electronic order of battle (EOB) within the EM AOI associated with a given operational area as shown in Figure 6. This is the portion of the EME where JEMSMO is conducted at a given time. Defining the EMOE is more than creating a database of frequency assignments. Environmental parameters, terrain elevation, and spectrum-use information define the EMOE. EOB is an assessment of those EMS-dependent systems (e.g., navigational aids (NAVAIDS), weapon systems, radars communications systems) that may be encountered in a given EMOE. All of these factors have an effect on a JTFs use of frequencies within the EMOE.

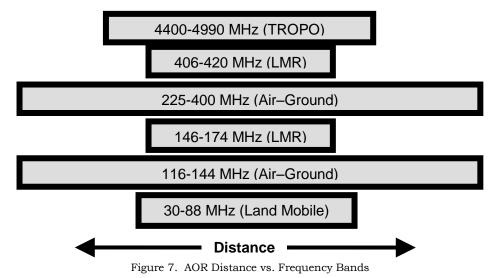


2. Identifying the Area of Influence

a. To define the EMOE, the spectrum manager must identify the JTF AOI. The AOI is the area beyond the AOR where the JTFs use of the spectrum could affect or be affected by other spectrum users. As illustrated in Figure 6, the EMOE is the outer parameter of the AOI. The AOI is always greater than the geographical area in which the JTF will be conducting operations because radio waves do not stop at borders or lines on a map.

b. How far beyond the AOR should the AOI extend? There are different approaches to answering this question. One approach is the "Give me everything, more is better." This approach advocates capturing all spectrumuse data from "DC to Daylight" for a geographical area extending well beyond the AOR. Capturing all the data has advantages and disadvantages. The advantage of this approach is that it is a quick and simple method for selecting frequency assignment records. However, the disadvantage is that the frequency assignment database becomes saturated with records, which do not affect JTF operations. For example, if the AOI was described as everything within 1,000 miles, then every low-powered emitter in a band reserved for land mobile radio (LMR) service within that radius would be included, even though those emitters would never be close enough to have any effect on JTF operations. In this same example, emitters would be captured in bands, which may not have an effect on JTF operations, or in which the JTF is not operating. These excess records would add to the size of the database and increase the processing time just to rule them out of any analysis. The greater the number of records in the frequency assignment database, the longer it takes to complete an analysis.

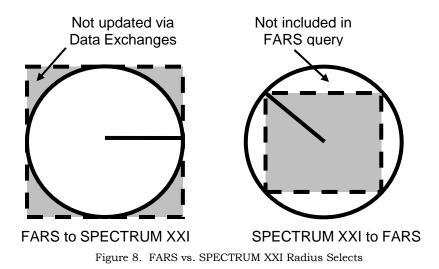
c. Another approach is the "Give me only what affects me, the leaner the meaner." This approach advocates varying the size of the AOI based on the frequency bands and the radio services in which the JTF will operate. As shown in Figure 7, the distance beyond the AOR would be much greater for an aeronautical mobile assignment, which could be received for hundreds of miles, than for a land mobile assignment, which may only propagate 20 miles. The 4400-5000 MHz band is only used for LOS and tropospheric (TROPO) scatter communications whose maximum propagation range is limited to less than 160 miles. The advantage to this approach is that the number of excess records would be greatly reduced, thereby reducing the analysis time. Conversely, the disadvantages are the increased complexity in describing the AOI and the longer data exchanges.



d. Another point that should be considered when capturing spectrum-use data, specifically for frequencies below 30 MHz, is the SPECTRUM XXI analysis capabilities. SPECTRUM XXI analysis capabilities are used to calculate path loss between proposed transmitters/receivers against transmitters and receivers in the frequency assignment database. For those records below 30 MHz, it should be noted that only ground wave propagation is analyzed and that sky wave propagation is not considered. In other words, SPECTRUM XXI cannot nominate nor do an IA on frequencies propagating via sky wave. With this thought in mind, the spectrum manager should decide whether or not to capture spectrum-use information, other than the JTFs assignments, for frequencies below 30 MHz It should be noted that approximately 30 percent of the frequency assignment records in the FRRS and International Telecommunications Union (ITU) databases are below 30 MHz. This information would be of limited analysis value and could contribute to slower computer performance.

3. <u>Importing and Exporting Area of Influence Definitions</u>. Whichever approach is taken, the concept and parameters of the AOI should be determined before creating the AOI in any software tool. The parameters of the AOI should be applied both to capturing the initial frequency assignment database from available sources and to updating the database via SPECTRUM XXI data exchanges. AOI queries are interchangeable between SPECTRUM XXI and the Frequency Assignment Retrieval System (FARS). These AOI queries can be imported and exported between the two software tools with the following considerations:

a. The number of queried SFAF fields is fewer in FARS than it is in SPECTRUM XXI. Therefore, the AOI built with SPECTRUM XXI may contain conditions on fields that are not allowed in FARS. When opening such an AOI, FARS will automatically delete any conditions on fields not queried in FARS. b. SPECTRUM XXI performs geographic queries as circular radius selects, while FARS geographic selects are always rectangular. However, the user may specify the rectangular area using a center point and radius. When opening a SPECTRUM XXI AOI in FARS, radius selects are automatically converted to geographic rectangular selects. Inversely, when importing a FARS query into SPECTRUM XXI, geographic rectangular selects are automatically converted into radius selects. Therefore, SPECTRUM XXI selects a "smaller" portion of the Earth than does FARS, given the same center point and radius (the "corners" of the rectangle outside the circle are not selected). Figure 8 illustrates the difference between FARS and SPECTRUM XXI radius selects.



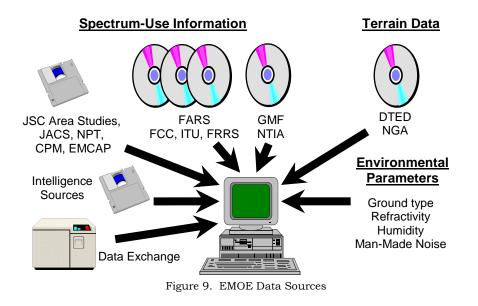
c. The geographic area of a FARS created AOI would include records outside the SPECTRUM XXI radius, and it should be noted that these records would not be updated via a SPECTRUM XXI data exchange. However, for a SPECTRUM XXI created AOI used in FARS, all records would be queried.

d. Geographic selects in SPECTRUM XXI support Authorized Radius (SFAF items 306 and 406). In FARS, these fields are not supported. When opening a SPECTRUM XXI AOI in FARS, any options concerning Authorized Radius are ignored. When importing a FARS query into SPECTRUM XXI, these options take their default values.

4. <u>EMOE Data Sources</u>. As stated earlier, spectrum-use information, environmental parameters, and terrain elevation data define the EMOE. Joint military operations require the most current, accurate, complete, and authoritative spectrum-use information available. If information on a spectrum-dependent system, transmitter, or receiver is not in the frequency assignment database, there is no way of ensuring it will not interfere with other systems or that its capabilities would not be degraded by other emitters. Decisions must be made based on the best information available; therefore, spectrum managers should not expect to have information on every spectrumdependent system within the EMOE, identified in the frequency assignment database. There are various sources for capturing and updating EMOE spectrum-use information. Figure 9 illustrates some of the data sources available to the spectrum manager.

a. <u>Background Frequency Assignment Data Sources</u>. The following is brief description of the sources that can be used to capture initial background environment information within the EMOE:

(1) <u>FARS</u>. FARS is a Windows-based program that enables the user to query and retrieve frequency assignment records by area, organization, or other SFAF items and then output those records in SFAF format. FARS is provided on CD-ROM with the frequency assignment data from the following sources: FRRS, ITU, and Federal Communications Commission (FCC). FARS is used to provide DoD spectrum managers with initial background EMOE data that is not available on the SPECTRUM XXI regional server.



(3) <u>JSC Area Studies</u>. JSC area studies contain information on a country's physical and cultural characteristics and their civil telecommunications sector including frequency management; broadcasting; telephone, telegraph, and telex; data communications; aeronautical information; and transmission systems. Frequency assignment data is provided in both SFAF and spreadsheet formats. Additional SFAF records are provided on broadcast transmitters, along with NAVAIDS the JSC found were not registered with the ITU. Since 1995, these Area Studies have included SFAF records on international search and rescue (TABOO) frequency assignments.

b. <u>Update Spectrum-Use Information</u>. The frequency assignment information from the above data sources should be used as the foundation of the EMOE; however, these sources do not always contain the most up-to-date spectrum-use information available. There are additional data sources that provide spectrum-use information, and there are methods that can be used to provide a more current picture of the EMOE.

(1) <u>SPECTRUM XXI Data Exchanging Capability</u>. The SPECTRUM XXI Data Exchange module is used to electronically exchange frequency assignment data between regional servers and client computers. It is used to create AOIs as well as establish and manage the various necessary SPECTRUM XXI accounts (e.g., Oracle server accounts and Job Accounts) used for exchanging data between a networked client and a server. Data exchanging is the method that the JSME, the JTF components, and other spectrum management organizations use to stay abreast of new assignments or proposals that could affect their EMOE.

(2) Joint Automated CEOI System. JACS is used to create the JCEOI, a directory of C2 radio nets consisting of radio call signs and frequencies for use by the warfighter. The JCEOI also includes challenge and password, as well as instructions for conducting visual communication. This program imports and exports data in SFAF and provides JCEOI information for input into either an OPTASKCOM or ATO message.

(3) <u>AESOP</u>. AESOP is a combination of the Electromagnetic Compatibility Analysis Program (EMCAP) and Communications Planning Module (CPM). EMCAP is a Windows-based program, sponsored by the Navy, which provides radar frequency deconfliction within a carrier battle group or naval task force and between known land-based radars. This program maintains a database of emitters and can include non-Navy radars if the parametric data is available. This program provides an SFAF output. CPM is a Windows-based program that consists of applications to assist Navy communications planners. CPM maintains a database of nets (circuits) and, provided a frequency resource, will assign frequencies to those nets by applying required separation criteria and produce the OPTASKCOM. The OPTASKCOM is the Navy equivalent of a JCEOI. CPM has the ability to import and export frequency assignment information in SFAF.

(4) <u>System Planning Engineering and Evaluation Device (SPEED)</u>. SPEED is a planning system that provides radio propagation tools required for rapid communications engineering in changing tactical environments. The analysis tools include HF analysis, satellite analysis, Point to Point analysis, enhanced position location reporting system (EPLRS) analysis, Worldwide Interoperability for Microwave Access analysis, Radio Guard Chart, Force Structure Manager, and tools for Spectrum Management. The mapping engine supports Digital Terrain Elevation Data (DTED), ARC Digitized Raster Graphics (ADRG), Compressed ARC Digitized Raster Graphics, Controlled Image Base, and Shuttle Radar Topography Mission data.

(5) <u>Intelligence Sources</u>. The Intelligence Community may be a source of spectrum-use information on adversarial and neutral forces, given their SIGINT capabilities. Joint Publication 3-13.1, Joint Doctrine for Electronic Warfare, states that information on adversary emitters and receivers should be provided to the spectrum manager. Also, Joint Publication 2-01.3, Joint Tactics, Techniques and Procedures for Joint Intelligence Preparation of the Operational Environment (JIPOE), discusses how the JIPOE analyst must work closely with the spectrum manager to ensure the EMOE is based on current adversarial and neutral forces information.

5. <u>Know the Operational Environment</u>. As stated earlier, the frequency assignment database needs to be current, accurate, and complete, and each analysis will be based on the information gathered to define the EMOE. Regardless of which approach was used to identify the AOI, the spectrum manager should be aware of the following issues, which could adversely affect the analysis results and performance time:

a. Missing SFAF Items. It is not uncommon for some frequency assignment records within the database to be missing critical SFAF items needed to perform an analysis: emission (item 114), transmitter power (item 115), antenna gain (items 357/457), and antenna feed point height (items 359/459). For analysis purposes, if a record is missing any of these items, SPECTRUM XXI will use the default values specified by the user's preferences based on the record's frequency. These default values may or may not accurately depict the emitter with the missing SFAF items. For example, a record for an air-ground assignment operating between 225-400 MHz missing the antenna gain would for analysis purposes use the initial default value of 8 dBi for the antenna or more than 4 times the actual radiated power leaving the antenna. Another example is that many FCC frequency assignment records for television (TV) stations are missing the emission designator (item 113) and the antenna gain (item 357). For analysis purposes, the initial default values would be used for these missing items. The initial default values greatly misrepresent the actual emitters, where the VHF TV station actual bandwidth is 6 MHz wide, but the initial default value of only 16 kHz is used for analysis purposes; likewise, the UHF TV station initial default bandwidth is only 6 kHz wide. As for the missing antenna gain for these TV stations, the initial default values of 5 dBi for VHF and 8 dBi for UHF greatly exaggerate the effective radiating power of the stations. Knowing the faults of the database and adjusting the initial default preference values will minimize the necessity for missing data.

b. <u>Deleted History</u>. When SPECTRUM XXI performs a data exchange, expired and deleted records are added to the frequency assignment database.

Unless otherwise specified, expired and deleted records residing on the regional server that conforms to the user's selected AOI definition will be downloaded and identified as deleted history. These deleted history records are needed to update the initial FRRS and GMF database selects and, after the first data exchange, will be unnecessary bulk in the frequency assignment database--slowing down every analysis. To preclude these records from being included in a data exchange the following expressions should be included in the SPECTRUM XXI AOI definition:

======(START GROUP=======

[Deleted History] == F

=====END GROUP)=======

c. <u>FRRS vs. GMF vs. ITU</u>. There are usually several frequency assignment records on the same emitter contained in the FRRS, GMF, and ITU databases. As mentioned earlier, the greater the number of records in the frequency assignment database, the longer it will take SPECTRUM XXI to perform each analysis. A good number of permanent DoD frequency assignments are in both the FRRS and GMF databases, with the FRRS records containing more information. With this in mind, all DoD records with a records source equaling GMF should be purged from the frequency assignment database, and to preclude them from being downloaded via a data exchange, the SPECTRUM XXI AOI definition should contain the following expressions:

======(START GROUP=======

[Record Source] == FRRS

======(START GROUP=======

[Record Source] == GMF

[102-Agency Serial Number] Not in Set 'N ','AR ','AF ','NS '

======END GROUP)=======

In addition to the FRRS versus GMF issue, there is the probability of duplicate frequency assignments between the GMF and ITU databases. This probability is based on the theory that the frequencies the United States registers with the ITU are assignments outlined in the GMF database. These duplicate records

should be removed from the frequency assignment database by querying and purging records with a record source equaling ITU and where the transmitter or receiver state country code is either United States or one of the codes used for U.S. possessions, like PR for Puerto Rico and VI for the U.S. Virgin Islands.

d. Protect Spectrum-Use Information. Since the information collected while defining the EMOE is invaluable and used in every analysis, the JTF spectrum manager must safeguard it. The SPECTRUM XXI archive manager should be used to backup and restore the database files should they become corrupt or lost. Using the archive manager, the spectrum manager can create and name archive files and save them to a user-defined folder. These archive files are created by compressing (zipping) all the files in the \SXXI\DBFS folder and saving them to a single file. The archive files serve only as backups for the databases not for the software. An archive should be performed immediately after establishing the initial databases and then periodically to backup the databases when significant changes are made. In most cases, it should be two or three times a week. This procedure takes only a few minutes to complete and could save hours if the databases become corrupted. It is recommended that the archive files be stored on a different computer or even at a different location, as a safeguard in the event that the computer itself is lost or corrupted.

e. <u>Environmental Parameters</u>. The environmental parameters of the AOR affect the way in which frequencies propagate within the EMS. These parameters impact the path-loss calculation made by the SPECTRUM XXI IA and path-loss modules and will have a significant impact on the analysis results. The values selected under SPECTRUM XXI preferences should be changed to reflect the geography of the area for which the analysis will be performed. There are two categories of environmental parameters, ground and atmospheric.

(1) <u>Ground Parameters</u>. The ground parameters affect the path-loss calculation and should be changed each time an IA or path-loss calculation is made for a different geographical area. Table 3 contains the seven possible ground types and their associated conductivity and permittivity values. Set the parameter that best applies to the AOI.

Ground Type	Conductivity in Seimens per meter (S/m)	Permittivity
Fresh Water (20 C)	0.00600	80.0
Ice (Fresh Water-1 C)	0.00002	3.0
Medium Dry Ground	0.02780	15.0
Pure Water (20 C)	0.00500	80.0
Sea Water (20 C)	5.00000	70.0
Very Dry Ground	0.00100	3.0
Wet Ground	0.01200	30.0

 Table 3. Electrical Earth Properties of Various Ground Types

(2) <u>Atmospheric Parameters</u>. Atmospheric parameters differ throughout the world and affect radio wave propagation. These parameters should be changed to reflect the conditions at the location for which an IA will be performed. These atmospheric parameters are refractivity, humidity, and man-made noise levels.

(a) <u>Refractivity</u>. Radio waves propagating in free space follow a straight-line path. However, in passing through the Earth's atmosphere, the waves tend to bend along a curved path. This phenomenon is termed TROPO or atmospheric refraction. This affect is influenced by changes in atmospheric pressure, temperature, and humidity at different altitudes. The variation of refractivity with altitude is referred to as the refractivity gradient. The refractivity gradient causes the curved path of the EM wave. Propagation models, such as the Terrain-Integrated Rough Earth Model (TIREM) and the Spherical Earth Model (SEM) used in SPECTRUM XXI, calculate the appropriate refractivity gradient and use it to calculate the Earth radius factor for the entire propagation path. Based on the climate of the operational environment, select the appropriate refractivity values as listed in Table 4.

Climate	Refractivity
Equatorial	360
Continental Subtropical (Sudan)	320
Maritime Subtropical	370
Desert	280
Continental Temperate	301
Maritime Temperate, over land	320
Maritime Temperate, over sea	350

Table 4. Refractivity Values in Various Climates

(b) <u>Humidity</u>. The amount of water vapor molecules in the atmosphere determines the overall humidity in an environment. Water vapor causes absorption of EM energy at millimeter (mm) wavelengths. Absorption is typically considered a factor in predicting the propagation loss for frequencies above 10 GHz. Humidity is measured in grams per cubic meter, and Table 5 lists these values for various climate types. Select the appropriate value that best characterizes the operational environment.

Climate	g/m ³ Setting
Very Dry	0.0
Dry	2.5
Average	5.0
Humid	10.0
Very Humid	50.0

Table 5 Absorption Factors in Various Climates

(c) <u>Man-Made Noise</u>. Man-made noise has an impact on the interference calculation and should be set to match the local conditions for which the IA will be performed.

1. Rural – Quiet: Used for rural (country) areas.

the city).

3. Urban – Noisy: Used for urban areas (area inside a city or

2. Residential - Average: Used for suburban areas (area outside

town).

(3) <u>Terrain Elevation Data</u>. Terrain elevation has a significant impact on the distance signals can propagate within the EMOE. The TIREM propagation model in SPECTRUM XXI uses elevation data to calculate transmission loss over irregular terrain. However, it should be noted that terrain elevation is not considered whenever either the transmitter or receiver is afforded some radius of operation listed in SFAF items 306 or 406. In other words, a mountain range separating a mobile system from a fixed system would not exist for analysis purposes.

(a) TIREM uses the terrain elevation information processed by the Topographic Manager (TOPOMAN) module in SPECTRUM XXI. TOPOMAN converts and stores Level-1 DTED from the National Geospatial-Intelligence Agency (NGA) in compatibly formatted files. Much of Level-1 DTED from NGA is derived from maps that portray the Earth smoother than it is, softening and omitting many features and terrain irregularities. It should be noted that DTED does not reflect the presence of trees or other obstacles, such as buildings, and may contain blank areas that reflect missing elevation data. The JSC has processed the NGA DTED on most of the world at 15-second spacing, and this data is available on their Topographic Data System CD.

(b) The NGA terrain elevation information can be processed by TOPOMAN at a spacing of every 3, 6, 12, 15, or 30 seconds. These processed files can become very large, and if available hard disk space is an issue, note that each increase in spacing significantly decreases the hard disk storage requirements for the data. For example, the size of a file containing terrain data at 3-second spacing is 25 times larger than a file for the exact same area at 15-second spacing. Studies have shown that the use of 15-second versus 3-second data reduces input/output times of the application program by as much as five to one with a negligible loss in accuracy of the prediction.

ANNEX A TO APPENDIX D TO ENCLOSURE C

SUPPORT AGENCIES AND INFORMATION SOURCES

1. <u>JSC</u>

a. <u>CCMD Support Teams</u>. The JSC support teams provide spectrum management assistance or support to the CCMDs and JTFs. Support includes: JCEOI training, JRFL training, background database support, and spectrum management training using SPECTRUM XXI software. The team is staffed with seven noncommissioned officers (two Navy, two Army, two Air Force, and one Marine Corps) with additional government and contracting staff who are deployable. For additional information, contact JSC Support Team, DSN 281-9815, commercial (410) 293-9815/3763 (Fax); NIPRNET E-mail: disa.annapolis.dso.mbx.spectrum-ops-support-center@mail.mil

b. <u>JSIR Team</u>. The JSC's JSIR team will analyze and recommend corrective action for reported interference problems by first using the JSC and JSIR databases, analytical tools, and then, if needed, by providing personnel and equipment to perform on-site direction finding, equipment testing, and problem solving. If the assistance is requested for EA incidents, the JSC JSIR office will coordinate analysis, collection, and field support activities with the appropriate agencies. To request assistance from the JSC JSIR Team, contact the JSC Duty Officer at DSN 281-9857, commercial (410) 293-9857, NIPRNET E-mail disa.annapolis.dso.mbx.spectrum-ops-support-center@mail.mil.

c. <u>Area (Country) Studies</u>. Area studies provide information concerning the physical, cultural, and civil telecommunications characteristics of countries selected by the Joint Staff. Area studies are available upon request from the JSC.

(1) Specific items addressed include: frequency management, broadcasting, telephone, telegraph, telex, data communications; aeronautical information; maritime communications; transmission systems (HF, VHF/UHF, SHF, satellite); frequency assignments, frequency assignment site maps, frequency assignment histograms for the 0.2-0.5, 2-30, 30-88, 225-400, 406-450, 600-900, 1350-1850, 4400-5000, 7250-8400, and 14500-15350 MHz frequency bands; as appropriate. The reports also contain frequency allocations for the 0.2-0.5, 2-30, 30-88, 138-174, 225-400, 406-450, 600-900, 1350-1850, 4400-5000, 7250-8400, and 14500-15350 MHz frequency bands; general propagation information for reliable in-area and long-haul communications; groundwave planning ranges, predictions of maximum usable frequencies for short-distance HF skywave communications, HF Defense Communications System (DCS) entry reliabilities, magnetic azimuths and distances from in-area site to selected HF DCS entry stations, and look angles from in-area sites to selected geostationary satellites. Area studies are produced on CD-ROM or as printed documents on a case-by-case basis. The CD-ROM contains files of civil frequency assignments, aeronautical frequency assignments, and broadcast frequencies in vertical SFAF format for use with SPECTRUM XXI. Included with the printed reports are two 3.5-inch high-density floppy disks containing the same files as the CD-ROM.

(2) JSC area studies are compiled from unclassified sources, are "For Official Use Only," and are authorized for release to United States Government (USG) agencies only. JSC area studies cannot be released outside the Department of Defense without permission from the JSC. For information, contact the JSC area studies team at DSN 281-2217, commercial (410) 293-2217. To receive current area studies, contact the JSC Operations directorate at (410) 293-9814, NIPRNET e-mail disa.annapolis.dso.mbx.spectrum-ops-support-center@mail.mil.

d. <u>HF Predictions and Propagation Studies</u>. The JSC provides HF prediction and propagation studies to MILDEPs to enable the user to determine circuit reliabilities for the most combinations of power, emission, and antennas. Additional information can be obtained from the JSC at DSN 281-2814, commercial (410) 293-2814.

e. <u>FRRS and SPECTRUM XXI</u>. The FRRS is a frequency record-keeping system managed by the Military Communications-Electronics Board (MCEB) and used by DoD frequency managers who require frequency data and background EME information. The FRRS is comprised of several computer network servers worldwide operating SPECTRUM XXI server software. DoD frequency managers access the FRRS using the SPECTRUM XII client software application, thus ensuring the effective and efficient use of the EMS. Area CCMDs can provide information on the requirements for establishing a user account on the FRRS within their AOR. SPECTRUM XXI client software application will be the DoD standard spectrum management system and will be used at all levels of spectrum management operations (i.e., from tactical to sustaining base operations).

2. <u>JEWC</u>. JIOWC was established in October of 1999. JEWC was reestablished in 2011 under U. S. Strategic Command (USSTRATCOM)/J3E (JEMSO Support). The JEWC identifies and assesses current and emergent EW and Electromagnetic Spectrum Control (EMSC) requirements, technologies, and capabilities to enable global access and freedom of maneuver across all domains throughout the full range of military operations. The JEWC assists CCMDs in planning, executing, and assessing EW and EMSC. The JEWC also provides joint oversight of EW training and conducts joint EW training to meet Joint Force requirements. In addition, the Joint Electromagnetic Preparedness for Advanced Combat (JEPAC) is under USSTRATCOM J3E JEMSO Support.

a. The JEPAC will:

(1) Advocate, coordinate, and facilitate joint Command Authority assessments of JEMSO threats to and JEMSO capabilities in support of JTF forces and Command Authority CONOPs and plans.

(2) Advocate, coordinate, and facilitate JEMSO joint Service and Command Authority training for operations in contested EMS environments.

(3) Conduct JEMSO vulnerability assessments and joint operational test/evaluation of current and emerging technologies/TTP.

(4) Conduct JEMSO assessments and evaluation of joint operational capabilities as required.

3. <u>NGA</u>. NGA provides DTED Level-1 for use with SPECTRUM XXI TOPOMAN and Arc-Second Raster Chart ADRG formatted map data that is used for various engineering tools. NGA also produces the DoD flight information publication, which provides a good source for worldwide communications at airport facilities. Information on NGA products may be obtained by calling the NGA Customer Help Desk at 1-800-455-0899, commercial (314) 260-1236, DSN 490-1236, FAX (314) 260-1128, NIPRNET e-mail queries@nga.mil, Internet Home Page http://www.nga.mil.

4. <u>USSTRATCOM</u>. USSTRATCOM's Global SATCOM Support Center (GSSC) provides SATCOM operational support and situational awareness to worldwide customers and higher HQ, serving as the information nexus of the SATCOM community. The GSSC operates 24/7 to provide mission planning, constellation loading, network utilization and optimization, anomaly/EMI resolution, strategic image support, and international partner coordination to worldwide SATCOM users. The GSSC coordinates the activities of the Regional SATCOM Support Centers (RSSCs) and provides dedicated support to national or global users not assigned to the regional centers. DSN: 692-3268 Internet home page: http://portal.eis.afspc.af.smil.mil/unit/jtf_gno/Pages/Default.aspx

5. <u>Air Force Space Forecaster Center and National Oceanic and Atmospheric</u> <u>Administration</u>. The Air Force Space Forecaster Center, in conjunction with the National Oceanic and Atmospheric Administration, transmits a daily solar and geophysical activity summary via AUTODIN message. This message includes the 10.7-cm daily solar flux value obtained from the observatory in Ottawa, Canada. For information, call DSN 560-6264/6311, commercial (719) 567-6264/6311.

6. Background Database Information and Database Support

a. <u>FRRS</u>. The FRRS CD-ROM database contains some DoD records that are assigned worldwide. The CD-ROM is classified CONFIDENTIAL. For information and distribution, contact JSC database support at DSN 281-2511, ext. 7743, or commercial (410) 573-7743.

b. <u>GMF</u>. The GMF CD-ROM database contains government frequency assignment records within the United States and its possessions (US&P) that have been assigned by the interdepartment radio advisory committee (IRAC). This CD-ROM is classified CONFIDENTIAL. For information and distribution, contact the NTIA at (202) 482-1132.

c. <u>FCC Records</u>. The FCC CD-ROMs contain nongovernment records within the US&P. These records are available on CD-ROM by FCC region. These records are unclassified. For information and distribution, contact JSC database support at DSN 281-2511 ext. 7743 or commercial (410) 573-7743.

d. <u>ITU</u>. The ITU CD-ROM database contains records from the International Frequency List (IFL). Nations that have notified and registered their frequency assignments with the ITU are contained on this CD-ROM. For information and distribution, contact JSC database support at DSN 281-2511, ext. 7743, or commercial (410) 573-7743.

e. <u>NATO Frequency Management Subcommittee (FMS) and/or Master</u> <u>Radio Frequency List (MRFL)</u>. The MRFL database contains NATO frequency assignment records that have been converted from 14 point format to vertical SFAF records. For information contained in the FMS and/or MRFL records, contact JSC database support at DSN 281-2511, ext. 7204, or commercial (410) 573-7204.

f. <u>E-Space Analysis Center</u>. Delivers full EMS views of adversary EM space to enable CCDRs to develop operational courses of action. E-Space can aid both the EW and Spectrum Management communities in assessing the EME upon request and based on priority of request. DSN 689-9910/9991, Commercial 443-479-9910.

7. <u>Commercial Sources</u>. The World Radio and TV Handbook provide information on international radio and television broadcasting stations as well as amateur radio stations. This book is published by Billboard Books and is available at bookstores. For information concerning this publication, write to BPI Communications, 1515 Broadway, New York, NY, 10036.

APPENDIX E TO ENCLOSURE C

OBTAIN SPECTRUM RESOURCE

1. <u>Overview</u>. This Appendix addresses the fundamental processes of requesting and obtaining spectrum resources to support a JTF. Once spectrum requirements have been defined, the spectrum manager must decide how to acquire the spectrum necessary to meet these requirements. This chapter outlines the processes of requesting spectrum resources, determining spectrum resources, and managing acquired spectrum resources. This section discusses host-nation (HN) considerations; coalition/combined issues are discussed in Enclosure E.

2. <u>Getting Spectrum Resources</u>. The EMS is considered a national resource for all HNs. To obtain EMS resources, the spectrum manager has two options: request support from the appropriate HN or determine an EMS resource based on the defined EMOE. The method will be dictated by the situation that caused the need for a JTF. For operations other than FE, the spectrum manager must coordinate with the local HN. Portions of a FE operation utilize the second method and require more time in researching and determining the background EMOE.

3. <u>Requesting EMS Resources</u>. To request EMS support, the spectrum manager should use coordination channels established by the unified CCDR or JTF J-5. Initial contact should be made in coordination with the J-5, as they have the resources, i.e., translators, POC, etc. All correspondence, both written and verbal should be formal. Prior to meeting with HN representatives, the spectrum manager should review the JTF EMS request for information that may not be released to the HN. Coordination with the JTF J-2 for foreign disclosure guidance is imperative. Classified equipment characteristics, exercise/operation objectives, the involvement of certain types of forces, and how some systems are employed are examples of unreleasable information.

a. <u>What to provide to the HN.</u> The HN representative should outline what information is required and in what format, frequency requests should be submitted. Details to be coordinated with the HN representative include the following: where should the spectrum manager send requests, what is the realtime need to submit requests, and how long should it take for the HN to respond to requests. POC information should include voice and FAX phone numbers, mailing addresses, e-mail addresses, full names of the primary POC, and the names of any appropriate staff action officers. Some HNs prefer to use nominated candidate frequencies for evaluation, which the spectrum manager can either accept or reject, rather than to conduct an analysis. If the HN asks the spectrum manager to nominate candidate frequencies, then the spectrum manager should nominate at least a two-to-one ratio for each requirement.

(1) The JSME spectrum manager should provide an example format with the frequencies being requested, locations, time being used, station class, emission, power, and antenna gain. The spectrum manager should inform the HN of the automated spectrum management tool available and how the tool can nominate available frequency assignments. The spectrum manager should clearly state it is the JSMEs intention to protect the HN's interest as well as those of the U.S. military. If the initial meeting goes well, the HN may give the spectrum manager a larger frequency resource to manage.

(2) The spectrum manager should expect to be asked to provide followup information that explains how certain systems operate and why the number of frequencies is being requested. Questions from the HN should be treated with importance and answered promptly. There will be questions regarding how the spectrum manager's frequency assignment system handles specific situations. Language barriers should be considered whenever there is an information exchange; clarifying questions and answers are a part of good coordination.

b. <u>What to Expect Back From the HN</u>. HN responses to request for spectrum vary from country to country and depend upon the HN's internal priorities and political views. Responses will most likely be written and formal. The disadvantage to this method is trying to manually enter information into the allotment plans. Some nations are slow to respond, for example cases of humanitarian assistance, and circumstances may require the spectrum manager to make frequency assignments to support the operations before receiving authorization to transmit. Less developed countries are often least responsive and not accustomed to providing rapid responses to frequency requests.

4. Seizing Spectrum Resources

a. Forced entry operations, or any operation that is not conducted with the expressed approval of the HN, or operations conducted in countries without a functional government, all require the JTF manager to determine the available spectrum resource. The JTF EWO or EWC, if activated, is a primary participant where spectrum will be used under such circumstances. Determining the spectrum resource without the aid of the HN requires much more research and analysis than just requesting it from an HN. Begin by considering how the HN uses the EMS in the frequency bands needed by the JTF. A copy of the HN spectrum allocation table would be ideal but may not be available, leaving with only with the appropriate ITU region allocation tables. If this information is unavailable, the spectrum manager should contact the J-2 who compiled the JIPOE electronic EOB, which should include similar

information. Conversely, the J-2 should be contacting the JSME for information on friendly force spectrum use to include in the JIPOE. For help in assessing HN spectrum use, the spectrum manager should consider contacting the JSC Operations Division and the EWC for assistance in developing the EMOE for a specific HN. The JSC Operations Division can provide reach-back assistance to the JSME. This assistance varies upon where the JTF is operating in the world but should not be overlooked as an information source.

b. The SPECTRUM XXI spectrum occupancy plot capability can identify where, within the EMS, the spectrum manager has areas to nominate. The spectrum occupancy plot provides the spectrum manager with a visual representation of spectrum use at a given location based upon the information in the assignment database. This plot is only as accurate as the information contained in the assignment database. Additionally, the plot does not consider area assignments, as they are not definable by location.

c. Once the spectrum manager determines what spectrum is available, then a comparison of what is available can be made against the specific requirement. The spectrum manager should realize coordination is almost always required with neighboring countries and should devise and present a plan for using the spectrum resource efficiently.

d. Interference reporting is critical in an FE operation, as the interference may be from indigenous spectrum-dependent systems that the JSME is not aware of and that may be susceptible to interference as well. Unintentional disruption of indigenous systems may create danger-to-life situations.

e. Determining the spectrum resource should only be performed at the JSME. Any resources obtained using this method should be placed in allotment plans and utilized or provided to subordinate units in an allotment plan format. This process controls the parameters used in determining the spectrum resource and reduces the probability of error. The JTF assignment authority is the JTF commander and since the JSME acts as the designated representative for that function, all frequency resources should be managed and validated by the JSME.

f. The idea of using known enemy frequencies as a resource can be considered, because it may be a resource that the enemy would be reluctant to jam for fear of causing interference to their own operations. While enemy operations may cause interference to the JTF operations, they will also be accepting the same. Such use of enemy spectrum must be coordinated with the EWC.

5. <u>Managing Spectrum Resources (Allotment Plans and Internal Assignments).</u> Documenting and tracking the available frequency resource is an ongoing task. Regardless of how the spectrum manager obtains frequency resources, a record of what is authorized must be maintained. This record is kept by identifying the resource in allotment plans. SPECTRUM XXI enables the spectrum manager to access a resource for use in the nomination process as well as for use by the frequency scheduler.

a. Centralized spectrum management is where the JSME does not delegate assignment authority and makes all frequency assignments. Using this method of spectrum management, tracking the spectrum resource is easier as a single user of the resource. However, SPECTRUM XXI does not have a method for querying the allotment plans. The only way to locate a particular frequency is through manual searching of each allotment plan.

b. Decentralized spectrum management is performed by delegating frequency assignment authority to subordinate unit spectrum managers under specific conditions. One of these conditions is that a data exchange must be performed prior to making any frequency assignments. Another condition is that a data exchange be performed upon making a frequency assignment, or group of assignments. In this event, the spectrum manager should contact other spectrum managers and let them know that the JTF is making a large number of assignments and that they should refrain from making assignments in a specific band until a data exchange has been performed. The units delegated assignment authority must also be given a frequency source from which to make assignments.

c. The JSME must have some way to track the frequencies provided to the subordinate units that can be queried. The recommended method is to create frequency assignment records for the delegated allotment plan, identifiable by a unique SFAF entry, and load them into the assignment database. These records would be temporarily assigned and not data exchanged. When querying these records or performing an IA that includes one of these records, the result will include the allotment plan records. If performing an IA, notification will be made that a frequency that has been provided as a frequency resource to subordinate elements is possible source of interference. At this point, the spectrum manager should research and determine if the units provided with that spectrum resource have been performing data exchanges as required and are the cause of the interference. Ideally, there will be two records, one from the allotment plan and one indicating an actual temporary assignment made by the subordinate unit.

6. <u>How to Divide Out the Resource</u>. Resources are provided based upon need, and the users should have submitted a request for spectrum that drove the need to develop a resource for them. There is more than one way to provide these units with resources and still oversee the overall resource. Providing each unit with a unique spectrum resource would allow the JSME to know exactly who has what frequency and where they are using it. This method of spectrum resource management is one of the least efficient and usually results

in each unit not having enough resource to accomplish its mission. A more efficient method would be to provide each unit with a small resource of specific frequencies and then provide the majority in a shared allotment plan, requiring that all JTF spectrum managers perform an assignment data exchange prior to making an assignment.

7. <u>Single channel ground and airborne radio system (SINCGARS) Hopset</u> <u>Generation</u>. Frequency resources need to be considered prior to making any assignments, a plan of how to best utilize the available EMS resource cannot be created after making the majority of frequency assignments in a band. It is imperative that SINCGARS frequency allocations be considered in the early planning stages and equally imperative that dedicated SINCGARS resources be maintained in the JACS resource manager.

a. The hopset frequencies are usually used as part of the frequency resource to generate the JCEOI cue and manual frequencies. If you do not create the hopset first, then you would have to have a completely separate frequency resource in the 30-88 MHz band for the JCEOI cue and manual frequency assignments.

b. A hopset must be created to support SINCGARS frequency hopping nets. This task involves requesting, receiving, and processing the available frequency resource provided for SINCGARS hopping. When requesting frequency resources for CNR you must identify a need for a frequency hopping resource as well as for your MNL cue and manual frequency requirement. While these requirements may be met by the same resource, there are many possible reasons while they may not be allowed to be used by both.

c. SINCGARS is a VHF-Frequency Modulation (FM) frequency radio, which can be used, in the single channel or a frequency-hopping (FH) (100 hops per second) mode. The FH mode is dependent on the electronic fill information provided by JACS generated load sets. The electronic fill information couple with time determines SINCGARS frequency hopping parameters. The JACS workstation supports the generation of SINCGARS hopsets to be used in the SINCGARS radio. A SINCGARS hopset is a set of frequencies available for frequency hopping operations. The maximum number of frequencies that the SINCGARS radio can hop on is 2,320 (30.000 to 87.975 MHz with 25 kHz separation). Hopsets are electronically loaded into the radio with a Data Transfer Device AN/CYZ-10 fill device using the Common Tier 3 (CT3) application software. SINCGARS has the capability of storing different hopset in each one of the six FH channels.

d. Normally the size of a hopset depends on the frequencies available in a geographical region. Generally, the larger the number of frequencies and wider the distribution across the SINCGARS frequency range (30.000 to 87.975 MHz), the better SINCGARS will perform when frequency hopping. The minimum size

for an effective hopset is situation dependent. Hopset performance is a function of many factors to include interference from friendly emitters, other EMI, and the enemy's EA capability. Typically, hopsets of 700 or more frequencies, spread across the SINCGARS frequency range, will adequately support both voice and data FH operations. As the hopset size decreases, FH performance rapidly degrades, and data over FH nets may receive too many errors to be successful. Frequency managers need to understand the importance of maintaining an adequate number of frequencies, why and how lockouts are created, and to be able to request a spectrum resource that will better accommodate SINCGARS hopset creation.

e. To create the SINCGARS hopset JACS and the SINCGARS radio assumes that the radio will have the entire frequency spectrum between 30 – 87.975 MHz, all 25 kHz tunable frequencies to hop on. To reduce this frequency range to the actual authorized frequencies JACS has to create lockouts that prohibit the use of certain channels. Lockouts are computer language lists that identify those frequencies of the 2,320 in the radio that were not selected or are not available for FH. If a hopset is overly complex, the memory required to process the FH data may exceed the radio's basic channel memory capacity. The SINCGARS radio has additional memory storage space available in the form of lockout sets. Lockout sets come in two types, common and assignable, and are used to define frequency restriction imposed upon one or all of the hopsets in the radio. The SINCGARS radio can store up to eight lockout sets.

f. Common lockouts are additional memory cells that can be used when a hopsets memory requirement exceeds the channel's capacity. Common lockouts are restrictions that are common to all six channels in the radio, and are assigned to lockout series positions one through six. Lockouts L1-L6 are helpful in managing complex hopsets, since one-memory storage space influences all six E-sets. Common lockouts may be added to the radio's memory during the initial fill or during subsequent electronic remote fills (ERFs) from the NCS. The disadvantage of common lockouts is that they restrict the flexibility of the NCS to rapidly and easily transmit FH data to new units attempting to enter the net. The use of common lockouts also creates a loss of interoperability between radios that are using different common lockout sets. It is advised if possible not to use common lockouts.

g. Assignable lockouts are found in series position seven and eight (L7 and L8) of the SINCGARS radio. Assignable lockouts are useful in restricting frequencies on selected channels. Like common lockouts, assignable lockout sets restrict the NCS from easily transmitting FH data updates to outside units operating on different hopsets. Radios using different assignable lockouts may establish communication if their shared circuit does not require common or assignable lockouts.

ANNEX A TO APPENDIX E TO ENCLOSURE C

ALLOTMENT PLAN

1. An allotment plan identifies small bands or groups of frequencies within a specified spectrum-use plan for use by a specific organization or for a particular function. The use of an allotment plan enables the JFC to maintain overall control of spectrum use in the AOR, and at the same time decentralizes authority to the lowest level.

2. Allotment plans are normally developed for, but not limited to, HF (2-30 MHz), VHF (30-88 MHz and 118-174 MHz), and UHF (225-400 MHz) bands. The frequency allotments in each of these plans must be based upon a ratio of air, land, and sea forces for a particular operation or mission and an assessment of their spectrum requirements. Planners should consider spectrum-use restrictions when developing an allotment plan.

3. Planners obtain the spectrum-use requirements on which the allotment plan is based are from the J-2, J-3, and J-6 staff elements and components; the JCEOI net list; and any UN, allied, or coalition forces, if known. When developing an allotment plan, the developer must consider joint and/or multinational-force equipment capabilities, host-nation allocations and restrictions, RF requirements for wide area assignments, jam-resistant equipment, NAVAIDS, wartime reserve mode; and equipment that requires specified frequencies.

4. JFMO/JSME planners must evaluate all requirements in the allotment plan for electromagnetic compatibility (EMC) and eliminate any potential conflicts. If not all of the requirements can be satisfied, the EWC must attempt to resolve conflicts based upon operational priorities. The EWC will refer the situation to the J-3 if it cannot resolve the conflict.

5. The following details the steps for creating an allotment plan.

- a. Is there an existing channeling plan for the frequency band?
 - (1) Yes. Begin development of allotment plan at paragraph 4.
 - (2) No. Begin development of allotment plan at paragraph 2.
- b. Divide band into individual channels (uniform bandwidths or mixed).
- c. Begin with all channels in the band as candidates.

d. Block known existing and/or denied frequency assignments:

(1) Allocated for other or special use, e.g., NAVAIDS (instrument landing system glide-slope).

(2) U.S. permanent frequency assignments in AOR.

- (3) IFL listings in and within interference distance of AOR.
- (4) HN and/or neutral nation frequency use.
- (5) U.S., UN, and coalition forces' specific frequency requirements.
 - (a) Satellite frequencies.
 - (b) Fixed-frequency equipment.

(c) Special frequency complements (e.g., spread-spectrum, wideband network, hopsets, etc.).

(d) Frequencies for JTF HQs and/or JCS-controlled assets.

(e) Other frequencies as required.

e. Identify United States and coalition forces' spectrum-use requirements (Requirements should be presented in numbers of nets, circuits, etc., for translation into the number of frequencies required).

f. Analyze requirements for separation distances (minimum and maximum), channel size(s) and bandwidth(s) requirements.

g. Determine percentage requirements for coalition and component forces based upon requirements.

h. Prioritize links and systems to be supported in the event of insufficient spectrum resource.

i. Allot remainder of available channels to participating forces based upon percentage of requirements.

j. An allotment plan is usually conveyed to the user in a simple format that contains a listing of the frequencies derived through the (process described above) and preceded by a paragraph specifying all restrictions applying to the

allotted frequencies, e.g., transmitter power, authorized emission and bandwidth, geographical location, maximum transmitter altitude, function, etc.

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APPENDIX F TO ENCLOSURE C

DEVELOP THE SPECTRUM MANAGEMENT PLAN

1. <u>Spectrum Management Plan</u>. When the JSME is created, the development of the spectrum management plan begins. The spectrum management plan is the documented form of the spectrum management concept as it applies to the existing CCMD spectrum management directives, with necessary modifications as needed, to support the JTF mission. It provides guidance on how spectrum management operations are to be conducted in support of the JTF.

a. This plan provides policy guidance and direction to the JTF Services and functional components, JTF staff elements, supporting CCMDs, and adjacent JTFs. The plan becomes the basis for the spectrum management appendices included in Annex K of the OPORD.

b. The spectrum management plan should be constructed with the following considerations: clarity is essential, brevity and simplicity are also necessary; all statements should be direct and to the point. Focus on operations involving only the joint interactions; the JTF does not concern itself in how supporting organizations operate internally.

2. <u>Structure</u>. The purpose of this chapter is not to explain how to write part of an OPORD but rather to explain the information that must be included to accomplish the mission of providing JTF spectrum management policy and guidance to subordinate and supporting organizations and units. A recommended structure for the spectrum management plan follows:

a. An appendix, designated when the OPORD is established, to the OPORDs Annex K.

b. Seven appendices (Tabs) to Annex K, covering the following topics: Radio Frequency Interference (RFI) reporting, JCEOI, spectrum management automation systems, JRFL, spectrum request and assignment format, EW and EWC, and assignment authority.

3. Spectrum Management Plan Appendix

a. <u>References</u>. The spectrum management plan should identify documented references. These references may include messages, OPLANS, CONPLANs, directives, manuals, instructions, and joint doctrine. At a minimum, these references should include the CCMD policy and directives concerning spectrum management operations, the JTF spectrum requirements data call message, directives to initiate planning for the JTF, and CJCS 3320 series of instructions and manuals. The following information is a recommended format for developing the spectrum management plan.

b. <u>Purpose</u>. The directive should be clear and brief. This section should begin with a statement as follows: "To provide guidance for spectrum planning, request, etc." The purpose statement should focus the reader's attention to the task and should not be a generalized overview of comments or provide basic background information.

c. <u>General</u>. This section should contain high-level background information that highlights the importance of the JTF operation and why spectrum management support is needed. It should also address all of the major subjects that will be in both the appendix and its related appendices.

d. <u>Responsibilities</u>. This section delineates the responsibilities of those staff functions and the personnel participating in the operation and clarifies the scope and expected performance of the different JTF staff elements, components, the CCDR, supporting organizations, agencies, supporting unified commands, and other JTFs. This section will be the largest since it must address so many different areas. At a minimum, the responsibilities of the CCMD JFMO should be identified.

e. <u>Administrative – Details</u>. This section of the appendices delineates the responsibilities of those staff functions and the personnel participating in the operation; and clarifies the scope and expected performance of the different JTF staff elements, components, the CCDR, supporting organizations, agencies, supporting unified commands, and other JTFs. This section will be the largest since it must address so many different areas. At a minimum, the responsibilities of the CCMD JFMO should be identified. This section also provides the little details that are always asked but seldom addressed in writing. This section needs to address items like file naming conventions, control-numbering conventions, frequency of reports, etc.

f. <u>Security Classification</u>. Security classification is addressed in two different forms within the spectrum management plan. The first is to provide guidance on classifying information due to the content information, and the second is to properly mark and identify which SFAF line items need classification. Spectrum managers are not the classifying authority and must derive classification authority from existing sources. There is always a concern that frequency assignment records may not be protected at the appropriate level.

g. <u>Frequency Requests and Assignments</u>. Frequency requests and assignments are typically classified when they associate an operation, purpose, operating units, locations, or time frame. This type of information requires protection. Using this guidance, some CCMDs will not classify the frequencies themselves but only the details of when, where, whom, and for what purpose they are used. Because most JTF operations are conducted outside the United States, foreign disclosure becomes an issue. Frequency requests without special handling codes become useless because they have to be coordinated with non-U.S. agencies, and this coordination cannot occur until foreign disclosure has been obtained.

4. Spectrum Management Tabbed Appendices

a. <u>Tab A - RFI Reporting</u>. This appendix should provide guidance to JTF forces for interference reporting procedures, along with additional instructions unique to the JTF AOR and unified command interference reporting policy and guidance. This guidance should complement the procedures in reference b, as well as CCMD guidance for reporting interference within the AOR.

b. <u>Tab B – JCEOI</u>. This appendix should provide guidance to JTF forces for JCEOI development, updating, distribution, and compromise procedures. This guidance should include what was published in the spectrum requirements data call message but also contain the most current information.

c. <u>Tab C – Spectrum Management Automation Systems</u>. This appendix should provide guidance on the use and operation of SPECTRUM XXI and other automated tools being used by the spectrum manager in support of the JTF. SPECTRUM XXI is designated the joint spectrum management system for use in a JTF. Use of SPECTRUM XXI should be mandated in the spectrum management requirements message, and this appendix should further expand upon system settings, minimum times between data exchanges, radius of mobility settings, engineering parameter defaults, etc.

d. <u>Tab D – JRFL</u>. This appendix should provide guidance on when and how JTF units should submit JRFL inputs. This guidance will also provide units with notice of when the JTF will publish the JRFL. This function is performed in support of the JTF IO cell and EW deconfliction process.

e. <u>Tab E – Assignment Authority</u>. This appendix should provide guidance on who has assignment authority and, if decentralized spectrum management is the method of choice, what conditions must be met to make an assignment. An example would be to require the component spectrum manager perform a data exchange both before and after making assignments. There should also be specific references to frequency separation between assignments, setting for radius of mobility multiplier, types of records to include in analysis, required wording for assignments, etc.

f. <u>Tab F – Spectrum Request Format and Required Items</u>. This appendix should provide guidance on the spectrum request format and required data items. This information was initially published in the spectrum requirements

data call message. It will be documented here as official policy and be updated with changes since the data call message was distributed.

5. <u>Additional Appendices</u>

a. <u>LMR</u>. LMRs are handheld brick size and type radios that operate either trunked or simplex and are used in support of operations. LMRs are often used by the Air Force in performing duties of aircraft maintenance, airfield control, and military police. The other Services utilize these radios to perform many mission-essential tasks. The coordination of frequencies for these radios is an ongoing task that needs to have policy and procedures defined to prevent interference.

b. <u>SINCGARS</u>. Most of the Service components have already established standard operating procedures (SOP) for the planning and implementation of SINCGARS operations. Many of the JTF operational capabilities will revolve around how the radios are employed and configured.

c. <u>Wireless Local Area Networks</u>. The advances in COTS technology have ushered in many new systems that provide features thought not possible just a few years ago. The increase in wireless LAN operations has invaded many military units. The use of wireless LAN technology is troublesome in two ways. First, the frequency band used for such LAN operations operates in the low power unlicensed spectrum of the United States. This frequency band may have other authorized users that operate with higher transmit powers and cause interference to the wireless LAN, interference that the military user would have to endure. Secondly, the security aspects of wireless LANS is questionable and often rejected by the JTF security personnel.

d. <u>COTS Spectrum Dependent Systems</u>. Systems ranging from physical perimeter security to wireless microphones use spectrum to accomplish missions throughout the military. The need for a documented policy in how to handle new systems, request use of existing systems, and overcome interference caused by these systems is evident in all JTFs.

e. <u>Commercial Satellite Phones</u>. These spectrum dependent devices that require protection from JTF systems and are often used for C2 functions. These devices are usually managed by the J-6 and do need some visibility by the JSME.

f. <u>Commercial Cell Phones</u>. Another spectrum dependent device that require protection from JTF systems that is usually managed by the J-6.

ANNEX A TO APPENDIX F TO ENCLOSURE C

SAMPLE SPECTRUM APPENDIXES TO ANNEX K

Following is a **sample Appendix 4** to Annex K for JTF "X" operation plan. The JFMO and/or JSME, depending on the flow of the situation, could construct this appendix. Change the acronym "JFMO and/or JSME" to reflect issuing office as required.

1. <u>REFERENCES</u>

a. Theater Spectrum Management Manual/Regulation/Instruction

b. Reference d.

c. Command Authority Directive 00-01, "Joint Task Force Headquarters and Standing Operating Procedures (JTF HQ SOP).(U)"

2. <u>GENERAL</u>. This appendix provides guidance and direction for managing the spectrum to support operation JTF "X." In order to most efficiently manage the spectrum for the number of users within the AOR and make assignments to these forces, assignment authority will be centralized. The JFMO and/or JSME will coordinate all requests from the forces with the nation "X" and allies. Component spectrum managers will submit requests for frequencies, in SFAF, to the JFMO and/or JSME, and will be responsible for assignment and allotment of all spectrum assets once approved.

3. CONCEPT OF SUPPORT

a. <u>All Phases</u>. Spectrum managers of the major components operating under JTF "X" will consolidate requests from subordinate units and forward these requirements to the JFMO and/or JSME.

b. <u>Automation</u>. The automated system used for database management will be SPECTRUM XXI. The JCEOI will be developed using JACS for JCEOI generation. Transmission of frequency requests and assignments will be electronic mail; AUTODIN/DMS message, SIPRNET, SPECTRUM XXI, personal computer-to-personal computer (PC-to-PC) transfer or via diskette.

4. <u>RESPONSIBILITIES</u>

a. JFMO and/or JSME

(1) Establish JTF command policy on the use and management of the spectrum.

(2) IAW J-5 guidance, coordinate spectrum use with the host-nation and allied spectrum management authorities.

(3) Serve as the senior frequency assignment coordination authority for subordinate task force units, and develop and distribute spectrum-use plans.

(4) Provide representation to the EWC.

(5) Combine inputs from all JTF staff levels and components and develop a proposed JRFL for J-3 approval.

(6) Maintain and publish J-3-approved JRFL.

(7) In conjunction with J-6, and in coordination with the J-3, develop, publish, promulgate, and maintain the JCEOI.

(8) Provide administrative and technical support for spectrum use.

(9) Maintain the common database for planning, coordinating, and controlling spectrum use.

(10) Implement JSIR procedures IAW reference c.

(11) Evaluate, analyze, and attempt to resolve interference incidents at the lowest level possible.

b. <u>JTF J-3</u>

(1) Establish net structure for developing into the JCEOI. Provide inputs to the JFMO and/or JSME.

(2) Approve JRFL for publication and dissemination.

(3) Resolve spectrum-use conflicts between user IAW commander's priorities (e.g., J-2 requirement to exploit vice J-6 requirement to communicate).

(4) Provide frequency-input list to the EWC for inclusion into the JRFL.

c. <u>JTF J-2</u>

(1) Provide GUARDED frequency list to the EWC for inclusion into the JRFL.

(2) Assist in the resolution of interference incidents.

d. Component Commands

(1) Submit spectrum requirements in SFAF format IAW reference f to the JSME. Nominate specific frequencies to be coordinated with nation "X." If coordination with nation "X" is required, each proposal must contain a releasability code in SFAF item 005.

(2) Ensure users comply with their frequency assignment parameters (power, bandwidth, and location).

(3) Attempt to resolve any frequency conflicts and interference incidents locally. If resolution cannot be accomplished, report to JSME for resolution.

(4) Provide frequency list to the EWC for inclusion into the JRFL.

e. <u>Deploying Units</u>

(1) Submit frequency requests in SFAF format through higher HQ to JFMO and/or JSME.

(2) Ensure only those frequencies assigned are used and comply with parameters of the assignment, e.g., power bandwidth and location.

(3) Attempt to resolve any frequency conflict and interference incidents locally. If unable to resolve situation, report it IAW reference c.

5. <u>FORMAT</u>. All frequency requests submitted will comply with SFAF as prescribed in reference f. Preferably in electronic format: SPECTRUM XXI, e-mail, AUTODIN/DMS, or on floppy disk. Each frequency request must be a complete stand-alone record, not an abbreviated or parted proposal (e.g., "part I of IV," "part three same as part one except," etc.).

6. SECURITY CLASSIFICATION

a. Frequency requests will be classified at the lowest level possible. If classified, each SFAF item will have a classification marking (U, C, or S) before the text IAW MCEB PUB M-001-03.

C-F-A-3

b. Any request that requires submission through the HN will address releasability to HN. (For example: Confidential, Releasable to Host-Nation as Confidential.)

- (1) TAB A: EMI Reporting
- (2) TAB B: JTF JCEOI Concept
- (3) TAB C: Spectrum-Use Planning

TAB A, "ELECTROMAGNETIC INTERFERENCE (EMI) REPORTING," TO APPENDIX 4, "SPECTRUM MANAGEMENT." TO ANNEX K, "COMMUNICATIONS TO 'XXX"

1. REFERENCES

a. Theater Spectrum Management Manual/Regulation/Instruction

b. Reference c.

c. Command Authority Directive 00-01, "Joint Task Force Headquarters and Standing Operating Procedures (JTF HQ SOP)"

2. <u>GENERAL</u>. This Tab to Appendix 4 provides guidance and direction for reporting interference incidents encountered during Operation JTF "X."

3. PROCEDURES

a. Interference incidents will be reported using the enclosed format. All reports of suspected hostile interference would be submitted via secure means.

b. The operator or user experiencing the interference is responsible for submitting the interference report. All interference reports submitted during this JTF operation will be coordinated through the component EW office before transmission.

c. Attempt to resolve interference problems at the lowest levels possible before submitting JSIR reports to higher HQ.

d. Definitions

(1) <u>E3</u>. The impact of the EME upon the operational capability of military forces, equipment, systems, and platforms. It encompasses all EM disciplines, including EM compatibility and EMI; EM vulnerability;

electromagnetic pulse; electronic protection, hazards of EM radiation to personnel, ordinance, and volatile materials; and natural phenomena effects of lightning and precipitation static.

(2) <u>EMC</u>. The ability of systems, equipment, and devices that utilize the EMS to operate in their intended operational environments without suffering unacceptable degradation or causing unintentional degradation because of EM radiation or response. It involves the application of sound EMS management; system, equipment, and device design configuration that ensures interference-free operation; and clear concepts and doctrines that maximize operational effectiveness.

(3) <u>EMI</u>. Any EM disturbance that interrupts, obstructs, or otherwise degrades or limits the effective performance of electronics and electrical equipment. It can be induced intentionally, as in some forms of EW, or unintentionally, as a result of spurious emissions and responses, intermodulation products, and the like.

e. Interference reports are submitted at a minimum to the following addresses depending on type of report:

(1) For Hostile Interference

ACTION: JSME COMBATANT COMMAND JFMO JIOC SAN ANTONIO TX//OWS// INFO: NSACSS FT GEORGE G MEADE MD//S2J// DIA WASHINGTON DC//PGI-3A// OTHER COMPONENT COMMANDS THEATER COMBATANT COMMAND

(2) Interference Involving Space Systems

ACTION: JSME COMBATANT COMMAND JFMO CMOC/SCC CHEYENNE MOUNTAIN AFS CO//SCC// INFO: JSC ANNAPOLIS MD//OP/JSIR// DISA ARLINGTON VA//DITF/UTTF// (Only for DSCS systems) DISA WASHINGTON DC//333// OTHER COMPONENT COMMANDS THEATER COMBATANT COMMAND (3) Non-hostile Interference

ACTION: COMBATANT COMMAND JFMO/JSME INFO: JSC ANNAPOLIS MD//OP/JSIR// OTHER COMPONENT COMMANDS THEATER COMBATANT COMMAND

f. <u>Reporting Format</u>. To the maximum extent possible, the JSIR generation capability in SPECTRUM XXI will be used. If it is not available, then submit the following as minimum.

(1) <u>Organization affected by EMI</u>. POC information (name and telephone number). Make sure when listing a POC that individual is familiar with the problem.

(2) Place name, latitude, and longitude where EMI occurred.

(3) Times, dates, and periods when EMI occurred. Indicate whether the duration of the interference is continuous or intermittent, the approximate repetition rate of interference, and whether the amplitude of the interference is varying or constant. Indicate if the interference is occurring at a regular or irregular time of day.

(4) Systems and equipment affected by the EMI. Affected system function, name, nomenclature, manufacturer with model number, or other system description.

(5) Allocated frequency band or authorized frequency of equipment affected.

(6) Station and/or equipment causing the interference and the location or call sign, if known.

(7) Allocated frequency band or authorized frequency of the station and/or equipment causing the interference, if known.

(8) Probable cause of interference (for example, co-channel assignment, harmonics, inter-modulation, spurious products, jamming, etc.).

(9) Extent of impairment to operational capability of affected equipment. Characteristics of interference (reduced range, false targets, reduced intelligibility, data errors, etc.).

(10) Corrective measures taken to resolve or work around the interference.

(11) Effect of corrective measures.

(12) Any additional useful remarks. Provide a clear, unstructured narrative summary on the interference and local actions that have been taken to resolve the problem.

TAB B, "JTF JCEOI CONCEPT," TO APPENDIX 4, "SPECTRUM MANAGEMENT," TO ANNEX K, "COMMUNICATIONS TO JTF 'X"

REFERENCE. JCS 182218ZOCT93

1. <u>GENERAL</u>. This tab provides information concerning the JCEOI concept and its use during JTF "X."

2. PROCEDURES

a. The JCEOI is a single, comprehensive document that contains frequencies, nets, SINCGARS information, and call signs and words for all participants. To provide adequate lead-time for submission of frequency requirements for allied coordination and to design, publish, and distribute the JCEOI, the following relationships and milestones are established:

(1) Submit all JCEOI data through component HQ for consolidation and forwarding to the JTF "X" JSME for inclusion in JCEOI.

(2) Inputs are required from ARFOR, NAVFOR, AFFOR, Marine Corps forces (MARFOR), joint special operations task force (JSOTF), and coalition forces component HQ.

b. The desired input method for JTF JCEOI inputs are electronic format; however, as a minimum, a paper copy of the MNL, net groups, separation plans are required. Coalition forces will submit and coordinate all requirements directly to the JSME for assistance in completing input.

c. To create the JCEOI the following information is required.

(1) Identify radio nets that have a specific title; e.g., alternate (ALT), anti-jam (AJ), or conduct of fire. Radio net titles may contain a maximum of 16 characters including spaces; e.g., 29TH INF DIV ALT. Also, identify the frequency band that radio net will operate in; e.g., HF, VHF-FM, VHF-amplitude modulation (AM), ultra high frequency (UHF), SHF, or EHF.

(2) Identify radio nets requiring a fixed frequency.

(3) Identify nets that require frequency separation.

(4) Identify nets that can be included in a share plan.

(5) Satellite net names will appear in the JCEOI but may not have frequencies due to time constraints and availability of channels.

(6) HF DCS entry frequencies.

(7) Frequencies to be included in the JRFL must be identified before final generation of JCEOI.

(8) Nets requiring restriction codes and the restriction code definitions.

d. List of all nets requiring a call sign to build the call sign vocabulary. Daily changing alphanumeric, tri-graph (letter-number-letter) call signs will be used; e.g. B3K, C9Q. The capability to provide fixed tri-graph call signs is not available.

e. Identify all net groups to ensure their listing in the appropriate component layer of the JCEOI.

f. Lists of unit net names. These names can contain a maximum of 16 characters including spaces, (e.g., 9th MAR TOW PLT). Net names cannot be used more than once within a component; net names must be unique.

g. List of all nets requiring a call word in order to build the call word vocabulary includes fixed and daily changing call words. The JSME will deconflict the call word dictionary against any fixed call words that are requested.

h. List of the suffixes that each component will use. The suffix is a twodigit number attached to a call sign or call word used to identify personnel or staff sections within a unit. The suffix vocabulary may contain a maximum of 99 assignments. There will be one master changing suffix vocabulary for the JCEOI.

i. List of expander titles that the unit will use. The expander is a single letter assignment used to identify personnel within a unit. Expander vocabulary can contain a maximum of 20 expander titles. There will be one master changing expander vocabulary for the JCEOI.

j. Instructions for the use of changing suffixes and/or expanders are provided in the Quick Reference pages of the JCEOI.

k. The JCEOI when completed will be transmitted electronically to all component commanders. Methods of transmission can include: SIPRNET E-mail, compressed file transfer over STU-III, or download from JTF "X" web server. Coalition forces will be given paper copies.

1. The JTF JCEOI will be in half-page 52-line format. The JCEOI will be generated in three (3) editions; one active edition, one reserve edition transmitted to, but not distributed below component HQ, and a third edition to be used in case of a compromise.

TAB C, "JOINT SPECTRUM-USE PLAN." TO APPENDIX 4, "SPECTRUM MANAGEMENT," TO ANNEX K, "COMMUNICATIONS TO JTF 'X"

1. <u>REFERENCES</u>

a. Unified command regulation or Spectrum Management Manual.

b. Unified command Joint Communications-Electronics Standing Instructions.

c. Other applicable directives or instructions, as appropriate.

2. GENERAL. This Tab describes spectrum-use plan for operation JTF "X."

3. <u>PROCEDURES</u>. Spectrum-Use plan. Subject to any limitations noted (such as power, bandwidth, hours of operation, etc.), list the frequencies authorized for use in the exercise or operation. Sort frequency authorizations according to frequency band to facilitate reference and use. The JCEOI frequency authorization information is also included in the JTF frequency plan. Present each sort list as a TAB to this appendix (Tab E and F). Use Table 6 below as an example.

Freq. Band	Intended Use by Military Forces	Military Requirements	Conditions of Use
(a)	(b)	(c)	(d)
14-70 kHz	MARITIME MOBILE	Essential military requirement for naval communications.	
415 - 526.5 kHz	AERONAUTICAL RADIO NAVIGATION	Military requirements for tactical non- directional beacons.	
	MARITIME MOBILE	Military requirements for naval communications	
156 – 174 MHz	MOBILE, except Aeronautical Mobile	Military requirements for Sonobuoy operations at sea and in port.	Sonobuoy to be operated on a secondary basis.
	MARITIME MOBILE	Military requirements for naval communications.	To be used IAW RR Appendix 18.
420 – 450 MHz	RADIOLOCATION Radiolocation	Military requirements for land and naval radar and airborne radar over ocean areas.	In the interference range of the territorial waters of member's countries, radar operations must be coordinated on a national basis according to the status of the services.
4400 – 5000MHz	FIXED, MOBILE	Essential military requirements for fixed, tactical radio relay and mobile systems.	 This is a harmonized NATO band type1. This FIXED SATELLITE service will not be implemented in NATO Europe.

Table 6. JTF Frequency Plan

APPENDIX G TO ENCLOSURE C

NOMINATE AND ASSIGN FREQUENCIES

1. <u>Overview</u>. The issues identified here are for consideration when making frequency assignment decisions both within the JSME and in giving direction to subordinate units delegated frequency assignment authority.

2. Spectrum Management 101

a. The EMS is a resource that is finite and has to be managed to provide the user with acceptable service. The resource is used by weapons systems, NAVAIDS, communications systems, and alarms. To manage this resource there are three basic principles to consider. These principles are frequency, time, and distance. Users of the spectrum cannot occupy the same frequency, at the same time, at the same location--they must be separated by one or a combination of these factors; frequency, time, or distance. Frequency plans have been used for years to maintain separation between users within a given area, like a training range, post camp, or station. While this method is not necessarily the most efficient, it does work well for relatively small areas. Separating frequency use by time is a method that was used with sharing a frequency between two or more users and allowing one user to occupy it for specific period of time. Frequency hopping is an example of time-sharing EMS resources. The last principle is distance. Separating frequency use by distance is something that was considered, prior to automation, in the estimation process. The spectrum manager knew that radio waves did not travel beyond certain distances or that terrain obstructions would limit the distance that a frequency could cause interference. A frequency could be used as long as it is geographically separated from another user on the same frequency.

b. Automation has helped greatly in providing us the ability to separate frequency use by the variables of distance, frequency, and time. While spectrum management automated systems assist us greatly in the process of deconflicting frequency assignments there will always be situations where there will be interference. This happens because spectrum management automation has to model interactions within the EMS and these models do not always replicate how we are actually using the spectrum. Errors are caused by inaccurate frequency records, unique weather situations, variations on system use, and modifications to existing systems without updating the assignment. Spectrum management relies upon automation and it would not be possible to properly manage the spectrum in support of joint military operations without it. You must gain an understanding of how automated spectrum management systems operate and then incorporate those considerations into your plan. 3. <u>Nomination/IA/EW Deconfliction</u>. Spectrum management involves planning the use of the EMS. To this end, frequency assignments must be made so they do not conflict or cause interference with other frequency assignments. Automated tools are available to assist the spectrum manager with the frequency nomination and assignment process.

a. <u>Culling Environmental Records from Analysis</u>. The environment records obtained from the frequency assignment database are culled to exclude environment systems from the IA whose frequencies or geographical locations are too far removed from the proposed frequency and location to represent an interference concern. The three culling methods are: frequency cull, region or distance cull, and path loss cull.

b. <u>Frequency Cull</u>. When conducting an IA, the user enters the geophysical and technical characteristics of the proposed system, which include the transmitter and receiver tuned frequencies. A frequency cull is conducted which eliminates any system that operates on a tuned frequency that is different from the proposed frequency by more than 10 MHz, if the frequency range is between 37 MHz to 10 GHz. For frequencies above and below this range the calculation is a percentage of the proposed frequency.

c. <u>Region or Distance Cull</u>. The standard 4/3 earth radio horizon formula is used to compute the culling distance, dCULL, in km: dCULL = 1.609 [(2hTRANS)0.5 +(2hCULL)0.5], where hTRANS = height of proposed station antenna, in feet, hCULL = cull height of environmental station antenna = 30,000 feet Any system separated by more than dCULL km from the proposed system is excluded from the analysis and therefore declared not to be an interference concern. 30 foot (10 meter antenna height) equates to 410 km distance cull.

d. <u>Path Loss Cull</u>. The third cull is the free-space path loss cull. The freespace path loss equation is a function of frequency and distance and is made in order to minimize the number of interactions that must be analyzed by computing a more time intensive path loss, e.g., TIREM, and the frequencydependent rejection. The theory is that any interaction that passes the interference threshold at this point will most certainly meet the threshold requirement using the more time intensive analysis.

e. <u>Interference Conflict Margin</u>. The interference conflict margin (ICM) is a measure of the interference protection that exists between a potential interfering transmitter and a victim receiver. For each environmental interaction, an ICM is computed, which is defined as 10 times the logarithm of the ratio of the interference power to the receiver interference threshold: Basically, this is the signal strength of the interference above the receiver threshold value.

f. TIREM, which is supported by a terrain database, is employed for all path-loss calculations in the 1-MHz to 20-GHz frequency range, if terrain data is available. The TIREM model is automatically replaced by the SEM during an analysis if a radius of operation is associated with the transmitter and/or receiver station, if the terrain data needed is absent, or if there are less than three elevation points in the transmitter-receiver path profile. The free-space propagation formula is used outside the 1-MHz to 20-GHz range. The following paragraphs describe three common types of analyzes performed within the software program and the co-site algorithm.

4. <u>Interference Noise Level (Co-Site Analysis Concerns)</u>. The interference power-level model for IA considers the following spectral interactions. It is the primary model used by SPECTRUM XXI when determining interference potential for the capabilities of nomination, EW deconfliction, and IA.

a. Intermodulation is created when signals from two or more transmitters mix in a nonlinear device. A "nonlinear device" could be an amplifier, a power supply, or even the junction of two dissimilar metals that behave as a diode. When signals mix, they produce additional signals (IM products) on new frequencies that are mathematically related to the original frequencies. Fortunately, the intermodulation product's signal strength tends to get weaker as the order gets higher. Interference caused by up to fifth order intermod hits is fairly common, although you might experience interference caused by thirteenth, fifteenth, or higher order products. In analog FM receivers, intermod is often recognized by loud, distorted audio, often more than one voice superimposed on another. Looking at it on a spectrum analyzer, it is often found to have FM deviation of twice normal, or more.

b. <u>Harmonics</u>. Second-order level: Only second-order harmonic frequencies and fundamental frequencies will be considered (e.g., a fundamental frequency of 30 MHz will generate a second-order harmonic frequency at 60 MHz). Third-order level: Only second-order and third-order harmonic frequencies and fundamental frequencies will be considered (e.g., a fundamental frequency of 30 MHz will generate a second-order harmonic frequency at 60 MHz and a third-order harmonic frequency at 90 MHz). When harmonic frequencies are analyzed, the analysis will take longer than when the harmonic emission analysis default (OFF) is used. The increase in run-time depends on a number of variables (i.e., the number of records loaded, the band chosen, the harmonic selected, and the computer processing speed).

5. <u>Calculating Interference (Spectral Overlap</u>). It should be emphasized that there is a major distinction between the SPECTRUM XXI Spectral Overlap and the Interference Power-Level Models. As stated before the former relies solely on the frequency and bandwidth data to declare conflicts in a region; whereas, the latter accounts for the many other technical and geophysical parameters that determine the potential coexistence of systems sharing an environment, from an EMI standpoint. Also, the interference threshold settings are userselectable (or automatic if enabled in the Engineering Preferences) when using the Interference Power-Level Model, which ultimately governs whether or not a conflict is declared; whereas, no user control in the conflict decision is possible when using the Spectral Overlap Model.

a. The Spectral Overlap Model, which declares conflicts only when there is spectral overlap between the interfering transmitter emission and the victim receiver band pass. The overlap is determined from the assigned frequency and necessary bandwidth data, assuming that the emission spectrum and receiver selectivity are band-limited to the necessary bandwidth. Note that the Spectral Overlap Model is not executed if a single frequency is being analyzed for the proposed system. Furthermore, with the Spectral Overlap Model, conflicts will always be declared when there are co-channel assignments, but never when there are adjacent-channel assignments. Conversely, when using the Interference Power-Level Model, co-channel assignments do not necessarily result in conflicts (e.g., low-power interference or wideband interference truncated by the receiver selectivity); whereas, harmful adjacent-channel interference caused by realistic emission spectrum/receiver selectivity characteristics will be identified. In general, there is no way to predict if more or fewer conflicts will be declared using the Spectral Overlap Model versus using the Interference Power-Level Model.

b. The key point is that the Spectral Overlap Model and the Interference Power-Level Model represent two totally different analysis methodologies, and no inferences between them should be drawn from the results obtained using either methodology.

c. In summary, the Spectral Overlap Model can only be used to identify potential co-channel conflicts; whereas, the Interference Power-Level Model can be used to quantify potential co-channel and adjacent-channel interference.

6. <u>Frequency Assignments</u>. A frequency assignment is an authorization to operate a frequency-generating device at a specific location (or area), during a designated time frame, under specified parameters. We are going to discuss different types of assignments and associated terms.

a. Permanent assignments are those frequency assignments that never automatically expire and are reviewed every 5 years for currency and accuracy. It is not recommended to use permanent assignments when establishing a JTF.

b. Temporary assignments are those assignments of a short duration, reference f limits to less than 5 years. It is recommended to make all JTF frequency assignments on a temporary basis.

c. Area assignments are frequency assignments that authorize use within an area that is not defined by a geographical point with or without a radius. These assignments may contain comments that describe an area in text. These assignments are normally authorized for operation within the boundaries of a country or state. SPECTRUM XXI considers an assignment to be an area assignment if it has duplicate entries in SFAF items 300/301 and 400/401. Current spectrum management automation tools cannot be used to engineer, analyze, or deconflict area assignments. Area assignments that conflict with nominated frequencies are flagged by the nomination and IA process and provided to the user for manual review.

d. Mobile assignments are assignments that operate within a given area and include a geographic reference and operating radius. Mobile assignments, with a record source of FRRS or GMF, are evaluated using a modeling process named fixed and mobile logic. This logic will modify records to replicate the actual spectral interactions associated with the record being evaluated.

e. Space assignments are assignments where either the transmitter or receiver is located in space. Current spectrum management automation tools cannot be used to nominate space assignments.

f. Band assignments are assignments that the transmitting frequency changes within a given band of frequencies and/or does not remain constant. Examples of band assignments are JTIDS and certain radars. Current spectrum management automation tools cannot be used to engineer, nominate, or deconflict band assignments. Band assignments, once they are made, are considered by SPECTRUM XXI IA as background emitters and are provided protection, provided band assignments have been selected in the user preferences, in the nomination process.

7. <u>Before Nominating</u>. Always perform a data exchange prior to nominating any frequencies; this makes sure you have the latest and most current information to base your nominations on. Like a pilot, you need to review a checklist of major items prior to performing a nomination. You must know which records will be included in your analysis and how SPECTRUM XXI handles those types of records.

a. Permanent assignments and proposals are always considered unless specifically user excluded.

b. Temporary assignments and proposals both have a user option in preferences that allows them to be included or ignored.

c. Band assignments have a user option in preferences that allows them to be included or simply flagged by the nomination or IA process.

d. Space assignments have a user option in preferences that allows the terrestrial interference potential to be included IA process or to simply flag the frequencies that have corresponding results.

e. Delete history has a user option in preferences that allows them to be included or totally excluded from analysis (excluded by default setting).

f. User excluded records are user controlled and records can be queried once excluded.

g. Only nominate what you need. If you are using a decentralized frequency assignment method then nominating more than you need deprives others of resources needed to perform their job.

8. <u>Nominating</u>. Nominating requires the user to make specific decisions and choices. The first of these is to specify a number of assignments (nominations) to be performed, if you specify a number and SPECTRUM XXI cannot achieve that result then you cause SPECTRUM XXI to fall back to the spectral overlap model. Not specifying a number will result in SPECTRUM XXI nominating the maximum possible number of proposed frequencies. The maximum frequency separation capability can be used to spread frequency nominations over the frequency band if the number of frequencies being nominated is small.

9. <u>Interference Flags</u>. Records that contain certain IRAC record notes (SNOTES), or space assignments, or area assignments, or that contain no coordinates at all are represented by a set of dashed lines (____). If the record contains certain SNOTES, identified when an X replaces the first dash. If the record is a space assignment, the second dash is replaced with an X. If the record is an area assignment, identified when an X replaces the third dash. If the record does not contain any coordinates at all, an X replaces the fourth dash. A record could contain more than one X. An explanation of each of these conditions follows.

a. <u>SNOTES</u>. For assignments containing SNOTES S159, S352, or S353, the IA is bypassed and these records are noted for the user in the output report.

b. <u>SPACE</u>. For SPACE station assignments, those records containing a transmitter or at least one receiver state country code equal to SPCE, SPA, SPCW, or SPCU, the IA is bypassed and the records are flagged as potential conflicts on the output report for the user. In the frequency nomination mode, any nominated frequency that overlaps these assignments is also noted for the user. This flag can be overridden and space records will be analyzed if the option to include space records in the analysis is selected on the Engineering Preferences screen.

c. <u>Area Assignments</u>. Records are marked as AREA assignments if all of the following conditions are true. The transmitter state/country field (SFAF item 300 or GMF item XSC) contains the same data as the transmitter antenna location (SFAF item 301 or GMF item XAL) or the antenna location is empty. The receiver state/country field (SFAF item 400 or GMF item RSC) contains the same data as the receiver antenna location (SFAF item 401 or GMF item RAL) or the antenna location is empty. The transmitter latitude/longitude (SFAF item 303 or GMF item XLA/XLG) and the receiver latitude/longitude (SFAF item 403 or GMF item RLA/RLG) are blank.

d. <u>Missing Coordinates</u>. There are environmental records with blank entries for the station coordinates. These include nationwide assignments (e.g., USA state code), non-state assignments (e.g., Guam or Puerto Rico code), or state area-assignments with a text description for their respective operating region (e.g., west-southwest of Colorado). Such records cannot be processed in the region cull due to the lack of station coordinates, but these records are noted for the user, as potential conflicts in the output report. The user is given the option, via the query, to exclude records from the IA. Any record so designated that could create a potential interference problem is noted for the user in the output report (USER). This option is available for SFAF mode only.

e. <u>Band Assignments</u>. For assignments that actually occupy a frequency band, the IA is bypassed, but these records are noted in the output report if the band assignment could create a potential interference problem (BAND). Band assignments can be included in the analysis if the correct option is selected on the Engineering Preferences screen.

f. <u>Experimental</u>. For assignments designated as experimental, i.e., station class begins with an "X," the IA is bypassed, but the existence of experimental assignments that could create a potential interference problem are noted in the output report (experimental records (EXP)).

10. <u>Interference Flags - Order of Priority</u>. Since background assignments could fall into multiple categories, the following order of priority was developed for flagged records. The ERROR flag is checked first. If it exists, then that will be what is listed on the output. If it does not exist, the SNOTES, SPACE, AREA, and COORDINATES flags are checked. If any exist, they will be listed on the output. The next check is the USER flag, then the BAND flag and finally the EXP flag.

11. <u>Nomination Ranking</u>. Nomination ranking scheme is listed below:

a. <u>Reuse Number</u>. The primary ranking criterion is the reuse number, which is the number of times the nominated frequency has been "reused." As assignments within the software program frequency assignment database are analyzed to determine a potentially adverse interaction with the proposed system, the maximum limits of authorized bandwidth for noninterfering assignments within set geographic limits are recorded. Each nominated discrete frequency is checked against these limits. The total number of these limits (inside of which a nominated frequency falls) is that nominated frequency's reuse number. Nominated frequencies are ranked first by reuse number, in descending order highest to lowest.

b. <u>Edge Number</u>. The secondary ranking criterion, the edge number, is used in cases when the reuse numbers for nominated frequencies are equal. Each nominated frequency is given an edge number corresponding to the number of times (zero, one, or two) the maximum limits of its authorized bandwidth are such that no additional adjacent nominations may be made. Nominated frequencies with equivalent reuse numbers are ranked using the edge number. This is done to ensure that nominated frequencies near the edges of largely unused frequency bands are assigned before those at the centers of these unused frequency bands.

c. <u>Relative Signal Level</u>. The third criterion, the relative signal level, is used in cases where both the reuse number and the edge number are equal. Nominated frequencies are ranked in order of how far the signal level of each frequency is below the interference threshold.

d. <u>Frequency Order</u>. The fourth criterion is used in cases where all of the above criteria are equal; thus, nominated frequencies are ranked by frequency in ascending order.

12. <u>Frequency Assignment Strategies</u>. Identify difficult to fill requirements first by identifying the proposals with: large separation criteria, larger bandwidths, located in congested geographical areas, for operation over the longest time period (duration), and those with the large numbers of frequencies needed. Nominating difficult requirements first is recommended.

13. <u>Assignment (Nomination) Processing</u>. A systematic approach to organizing and managing EMS requirements from the proposal through the assignment process must be adopted to ensure requirements are met.

14. Limitations of SPECTRUM XXI

a. <u>HF</u>. HF proposals (2-30 MHz) are normally requested for systems utilizing skywave propagation. SPECTRUM XXI nomination and IA algorithms are based upon groundwave propagation and only evaluate those environmental emitters that could interfere when operating in a groundwave configuration. Additionally there is no consideration of the ionosphere and its effect upon propagation. Since the majority of HF assignments are not groundwave or direct LOS then the nomination process only considers the collocated near-field transmitters and receivers in determining interference potential. If the user has not already limited the frequency bands to be requested the spectrum manager usually tries to identify the intended use of the system and performs an HF radio wave propagation prediction prior to nominating frequencies. SPECTRUM XXI, for HF assignments, is an analysis tool that deconflicts local interference sources only and acts as a recordkeeping device.

b. <u>Band Assignments</u>. Proposals requesting band frequency assignments cannot be nominated from SPECTRUM XXI. Background assignments that have a frequency band in SFAF item 110 can be considered against proposed single frequency nomination analysis. The SPECTRUM XXI user can chose to include environmental band assignments in the interference/nomination analysis, this will exclude any nomination is either within the band assignment or that could cause co-channel interference. There is an in-depth explanation of this process in both the SPECTRUM XXI training manual and SPECTRUM XXI online help.

c. <u>Space Assignments</u>. Proposed space assignments cannot be made using SPECTRUM XXI. SPECTRUM XXI does provide the capability to protect existing space assignments, for the ground-based emitter, from future nominations. There is an in-depth explanation of this process in both the SPECTRUM XXI training manual and SPECTRUM XXI online help.

15. Assignment Authority

a. The authority to assign frequencies is based upon international law. Each country has authority over its spectrum resources. The U.S. military operating as guest forces must coordinate with the HN to secure spectrum resources for operational and training exercise requirements. Those spectrum resources provided to the JSME from the HN should be considered as a measure of the HN trust and treated as you any valuable resource, to include compliance to restrictions defined in your agreement with the HN. Misuse of the spectrum resources provided may result in the recall of your use, authority, to manage your own spectrum resources. There may be legal implications in the case of injury or damage to HN personnel and property. It is incumbent upon the JSME to comply with any agreements made with the HN.

b. Forced entry operations are those military actions that based on their very nature cannot be coordinated with the HN. These operations do not preclude the protection of safety-of-life frequencies. Forced entry operations also do not preclude the responsibility of managing the spectrum efficiently for the forces involved in the operation or coordinating with neighboring counties.

16. <u>Centralized Spectrum Management</u>. Where the JSME retains all frequency assignment authority. This makes a good planning model for designing and initiating the spectrum management plan, however becomes very workload

intensive in its execution. This type of spectrum management is best suited for small operations, i.e., NEO or hostage rescue where a limited number of forces are involved.

17. <u>Decentralized Spectrum Management</u>. This type of spectrum management allows spectrum managers at levels to make assignments and determine how to best use the available spectrum resource and is best suited for large operations with many forces. This type of spectrum management requires knowledgeable personnel at all levels and monitoring from the JSME to be effective.

18. <u>JSME</u>. The JSME should try to incorporate the capabilities of the Service unique frequency assignment tools into the spectrum management process as much as possible. The Services have developed some automated spectrum management tools. The JSME should consider allowing components with automated spectrum management tools to manage the spectrum for like type systems.

19. <u>U.S. Army</u>. The Army has a network planning tool (NPT), which can manage frequency resources for tactical radio relay networks and make SINCGARS hopsets. NPT is flexible enough to assign radio relay systems for the other Services.

20. <u>U.S. Navy</u>. The Navy's AESOP could be used to manage radars both on the water and on land. Additional procedures must be in place to facilitate proper coordination is performed. The JSME should place restrictions on how and when the components can make frequency assignments.

APPENDIX H TO ENCLOSURE C

GENERATE A JCEOI

1. JCEOI Generation

a. <u>Considerations</u>. The creation of the JCEOI can take on many variations. Variations come from several different external as well as JSME internal factors. The intent of this section is to examine the complexity of the JCEOI process and provide some of the questions that should be asked. Skill at creating a JCEOI and using JACS are perishable, if not used on a day-to-day basis. The JCEOI development process is shown in Table 7.

b. <u>Pre-design</u>. The end product determines how the organizational structure and requirements are entered into JACS. This pre-design phase should be accomplished at all echelons at one time or another; the JCEOI is just piecing together several subordinate MNLs to create the JCEOI. However, each echelon needs to consider the following during this initial process:

(1) A concept of the organization's unit structure

- (2) An idea of the organization's communications net requirements
- (3) Available types of radio equipment

(4) Interoperability requirements (within the unit and also for joint/coalition operations)

(5) Frequency requirements and any restrictions

(6) Frequency band allocations and any restrictions

(7) Special requirements the organization needs for the operation

c. <u>Design</u>. Most of the work for component CEOI/SOIs are done well in advance of any operation, however during the merging process that must be accomplished there are several steps that should be taken by the JSME to ensure there are no mistakes caused by lack of planning. Providing guidance to the JTF components and sub-component levels as soon as possible on JCEOI MNL design is essential. Another way to accomplish this is to establish a pre-planned design in advance of the requirements (deliberate planning). Maintain constant contact with the J-6 communications planners because they will be in design mode as well. Knowing the proposed force structure prior to

collecting MNLs, spectrum requirements, and designing the policy and procedures message will help prevent potential problem areas.

Step	Action	
1	Create Mission	
2	Create Plan (Coordinate)	
3	Create Folders (MNL, RM, SOI)	
4	Create (import as needed into) JACS MNL, or SFAF with line	
	item 983.	
5a	Create Reuse Scheme (Class/Zones) (Coordinated)	
5b	Create Frequency Separation Plans (as needed)	
5c	Establish Share Plan(s) (Coordinated)	
ба	Conduct SOI-Frequency Analysis (done at the CCMD, JTF, and	
	Component levels).	
6b	Create SFAF Proposals	
7	Complete SFAF Proposals	
7a	Import to SPECTRUM XXI	
7b	Validate Proposals	
7c	Follow Procedures for Frequency Proposal Requests	
8*	Create/populate appropriate dictionaries	
8*	Create Call Sign Share Groups/annotate MNL	
8*	Create Call Word Share Groups/annotate MNL	
8*	Create extract groups	
8*	Create quick reference pages for extract and Master Call Sign	
	Book	
9a	Transfer/Import frequency assignments	
9b	Replicate MNL from default net lines	
9c	Change resource type from RAW to SOI in RM	
10	Create short titles and editions – Always generate spare edition	
	for compromise recovery	
11	Select MNL lines, generate components as required	
12	Create Extract Packets	
13	Validate generated components by displaying JCEOI	
14*	Export JACS database, print JCEOI packets ($\frac{1}{4} - \frac{1}{2}$ - full page	
	formats), export OPTASKCOM circuits (as needed), export to	
	CT3 devices	
14*		
-	only) update SPXXI database each day.	
As	Add new nets/circuits to MNL, create new extract groups,	
needed	selectively generate those nets, update edition and distribute as	
	necessary	
As needed	If component of JTF is compromised generate short-term	
	procedure by regenerating just the compromised portion and	
	distribute as necessary.	

Step	Action	
As needed	Those components requiring to be changed from daily changing to fixed frequency, defined as generated fixed, generate and distribute as necessary.	
* Can be accomplished in any order.		

Table 7. JCEOI Order of Completion

d. <u>Classification</u>. Classification should always be at the top of the list of concerns, because of the sensitivity of the information that spectrum managers handle. Since there are many combinations of classifications it was decided to take a general approach to the classification of individual nets. When the user initially enters a classified net by placing a two-letter classification and special handling code into the SEC CLASS, JACS then prompts the user for the declassification instructions; this is all the information that has to be entered. Standard classification needs to be determined prior to starting. You will have to use classification releasability codes so plan that before you start.

e. <u>Mission and Plan</u>. The user must create a mission; this name can be anything that identifies its use for the operator. The plan name; there are two concerns when naming a plan. The first concern is the name of the plan because the first four characters of the plan name are used for the agency abbreviation (identifier) portion of the agency serial number of the SFAF proposals; SPECTRUM XXI will require that those be actual characters (not numbers or spaces). The second concern is the effective date (which will be SFAF item 140) and duration (which when the duration in days is added to the effective date becomes SFAF item 141). Ensure that you extend the time period out far enough to cover the intended duration of the exercise or operation so that they do not get inadvertently sent to the delete history of your EMOE database in SPECTRUM XXI.

f. <u>The MNL</u>. The MNL is the foundation of the JCEOI. The MNL is where the net, call sign, call word, and frequency requirements are identified, therefore; it also captures a portion of the frequency requirements for the JTF. Keeping the MNL organized is essential so that the JSME as well as components can quickly and easily identify the sections which contain the information that needs to be extracted is located. One method for organizing the MNL is into sections, once again, this is based on personal or command preference, however the recommended method is to design it into a hierarchical structure based on the JTF organization (e.g. CCMD, JTF, JFACC, coalition, AFFOR, ARFOR, JFSOCC, NAVFOR, MARFOR layers). The recommended way to create a JCEOI for a JTF is having the JSME be the generation authority for the entire JCEOI thereby alleviating a lot of possible mistakes that could take place. JACS allows being able to transfer all net associated data from one terminal to another. g. <u>Net Unique Identifiers (NUI)</u>. The NUI is the basic characteristic for any net or circuit that is placed on the MNL. It is essential to understand that the NUI is of utmost importance because it lays the foundation for the SFAF proposal, the SFAF assignment import and replication, establishing a relationship between the requirement (the MNL) and the fulfillment of that requirement (the frequency in the resource manager), generation, and finally the modification record SFAF sent back to the SPECTRUM XXI database. Nowhere along this entire process can a NUI be changed without informing the next higher echelon in the spectrum management chain of command. If this does happen the JSME will not be able to generate those nets because of a failure between the NUIs of the MNL and the RM. JACS has certain edit checks that will identify for the user items that are needed for different net types--as follows: net name, net type, classification, frequency or frequency band, transmitter state/country, transmitter antenna location, station class, emission, power, and channel spacing.

h. <u>Additional Fields</u>. Although you may have just put in the most important fields, there is more work required. There are more considerations to be made before the MNL is complete; however, at this juncture the user should be primarily concerned with those that will provide SFAF proposals and allow JACS to validate the MNL, the remainder can be completed later.

(1) <u>Net ID</u>. If you chose a net type of CNR SINCGARS then the net will require two frequencies a CUE and a MAN channel. JACS will not allow the operator to validate the MNL until a NET ID is added. The NET ID is a three digit numbers (000-999) used as an identifier for the SINCGARS nets in frequency hopping mode. Each net is operated using a different ID number. It designates the frequency within the hopset on which to start hopping. For a random number assignment, an X can be placed in the first digit location to identify a random number in that 100's series (9xx) or in all three fields to allow JACS to generate a random number from 000-999. Again this information should be a part of the initial spectrum management process message. Each command can have these organized for ease of use for subordinate units for example:

<u>Net Number</u>	Element Assigned
000-099	Theatre/Joint Level
100-299	Service Component Level/Corps
300-599	Service Level Units

It is important to remember this is a coordinated effort and units who are going to share the same transmission security (TRANSEC) key (TSK) must have separate NET IDs. For units who are going to have different TSKs then it does not matter if two units are assigned the same NET ID.

(2) Call Sign. A call sign is a unique letter-number-letter combination used to identify users on a given radio net. The only option allowed in this field is "Y" or "N" for yes or no, this tells JACS to randomly assign a call sign for this net, or if the unit desires a letter-number-letter combination can be entered giving the unit a nonchanging call sign. Units sharing the same nets can be grouped using the call sign-sharing plan later. The maximum number of unique call signs available for any JCEOI is 6,760 (due to letter number combinations available). A net is required to have a call sign even when operating in secure mode in case of a malfunction in secure equipment to prevent the enemy from gathering intelligence on that station affected. If the JCEOI contains more than the maximum number the operator must choose those nets where it would be all right if they shared a call sign with another unit because, they are not in the same net, or they will never operate within the same command structure. When this is the case the units must be placed into two different organization codes (OC), JACS automatically assigns an OC code of 1 to all new nets.

(3) <u>OC</u>

(a) OCs are	
used for two purposes	
one which was	
discussed above for	
call sign requirements	
which are above 6,763,	
and for a JCEOI that	
contains more than	
1000 SINCGARS NET.	
When a net is first	
entered into the MNL	
JACS defaults the	
value in this field to a	
number 1. OC	
identification is	
primarily used to allow	
assignment of identical	
SINCGARS net IDs in	
developing the JCEOI	
(since there is a limit	
imposed of 999) and in	
merging, printing, and	
exporting data, more	
often times than note	
there will be duplicates	
between two units	
utilizing SINCGARS.	00
OCs need to be	OC
published as part of the SINCGARS SOP in	
the Annex K, one example can be seen	
below in Table 8.	
Notice in Table 8 that	
the JTF, NAVFOR,	
JFSOCC, and AFFOR	
are utilizing the same	
OC, this is due to the	
sum of these	
SINCGARS nets not	
being above 1000.	
However looking at the	
2 ARFOR DIVs and the	
MARFOR each have a	
separate net ID, this	
could be that each of	
these entities want to	
utilize the 300 series	
NET IDs for their	
command elements or	
that each contain over	
and caon contain over	

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JTF nets	1
ARFOR 55th INF DIV	2
ARFOR 56th INF DIV	3
MARFOR 31st MEU	4
NAVFOR nets	1
JFSOCC nets	1
AFFOR nets	1

Table 8. Representative Organization Codes

(b) OCs are also used in a situation where more the 6,760 call signs are required. In this case the operator must ensure that nets outside this number will not be involved in operations that would create confusion when communicating on a joint net. These nets would use a call sign that will be duplicated in the first 6,760 nets, therefore creating a problem trying to identify the unit communicating on that circuit. These units would probably be a part of a lower echelon so that confusion would be averted. No matter what the OC code is JACS will attempt to provide all nets a unique call sign first before it begins to look to the OC code to start reusing call signs.

(4) <u>Reuse Class and Zone (Reuse CL and Reuse ZN)</u>. Utilizing a frequency reuse plan should be a part of every units operating procedure especially in the 30-88 MHz range. Typically reuse is broken up into two areas, classes and zones. A good reuse plan should be developed by first placing the lowest echelon (platoon/squad) into the reuse plan; then evaluating the need to add other higher echelon nets to be added. The designers must realize this is a step-by-step process and can take time to complete. If the plan is haphazardly put together there is a high probability for interference. Selecting which nets can be put into a reuse plan is hard unless the designer knows a lot of the specifics about the unit(s) and how they communicate. Sometimes it is easier to identify which nets should not be in the reuse plan. The following is a list of nets that would not normally put into a reuse plan because of mission requirements:

- (a) Command Nets
- (b) Retransmission/Relay Nets
- (c) Fire Control/Direction Nets
- (d) Aviation Nets (30-88 MHz)
- (e) Emergency/Medical Evacuation (MEDEVAC) Nets

- (f) Fixed Frequency Nets
- (g) Anti-Jam Nets (Non-Frequency Hopping)

<u>1</u>. <u>Reuse Class</u>. In the reuse class column, enter the class number the net is assigned to. Each class will make assignments to its nets from a different set of frequencies (from the correct NUI group), therefore class 01 uses a group of frequencies and class 02 will use another set of frequencies. There can be up to 99 classes assigned for any one JCEOI. All nets within a class must have the same NUIs. The designer must enter a zone number if a class number is assigned. The total frequency requirement for any class is equal to the number of nets in the largest zone in that same class.

2. Reuse Zone. In the reuse zone column enter the zone number the net is assigned to. A zone is a group of nets in which an assigned frequency cannot be repeated within that group for the same time period. Zones contain elements that will not share common frequencies within the same zone, but will share common frequencies with elements of other zones within the same class. The reuse planning process may be changed several times during the course of building the JCEOI, and requires constant attention to ensure that the frequency requirements and assets are in synchronization with one another. The ideal frequency plan would have a 1:1 ratio or nets to frequencies however; this is hardly ever the case on the modern battlefield. The designer must be familiar with the operation that is being conducted, the geographic regions and the unit structures and how they fight and use the frequencies. This information is critical to the designer by knowing the geographic region and the operation he may be able to share large quantities between two units separated by a mountain range. If the designer is familiar with the unit's task organization he will be able to share lower echelon units from one unit or with other units. Many units are experienced enough with the operation of SINCGARS operation to hardly ever use the cue or the manual channels (they utilize net cold start procedures – entering in the Julian date, GPS time, and loadset and then entering the net without requesting an ERF) which makes these nets the best candidates for reuse plans. The reuse class separates the reuse plan into pools of available frequencies. A class will use an entirely different frequency resource than that of another. JACS allows 99 classes and 99 zones in each class with an unlimited amount of nets in each zone. The amounts of nets placed into one zone should be very close to that of other zones because the amount of nets required for the largest zone in a class is the amount of frequencies required for the entire class. This will tell the JCEOI developer how to better improve the reuse plan, whether to add or subtract nets and which zones need to be modified. This is based on the JSMEs guidance when making the initial determination for JCEOI production. The JSME should coordinate with the spectrum assignment authority and

attempt to determine an estimated number of frequencies that will be received by the JTF; especially in the 30-88 MHz band.

(5) <u>Frequency Sharing Plan</u>. A frequency share (FRQ Share) plan should be done in conjunction with the reuse plan, since nets in a reuse plan cannot be in a share plan and vice versa. By placing one or more nets into a share plan, during the generation of the JCEOI JACS will assign the same frequency to all nets in that particular sharing group. In JACS the sharing plan must be named first and the user would go to the CEOI/SOI groups display of the MNL and utilize the FRQ Share column to add nets to that particular share plan. Nets that are candidates for sharing are; 1) nets that are separated geographically; 2) nets where the duty cycle (the time of actual transmission versus non-transmit time) is very low and 3) nets that are used for a similar purpose (such as survey nets).

2. <u>LOADSET Generation</u>. On the JACS workstation, the loadset is defined as the package of communication security (COMSEC) keys and FH data adequate to load all six channels of the SINCGARS integrated communications security (ICOM) radio. One loadset consists of COMSEC key tags, hopsets/lockouts, TSK, and net IDs. The JTF JCEOI needs a loadset that will tie the JCEOI, SINCGARS Hopset, and the cryptographic key together and provides a secure communications means. The creation of the loadset can be performed using the procedures listed in Annex A of this Appendix.

ANNEX A TO APPENDIX H TO ENCLOSURE C

JOINT NETS

The following is a list of Command Authority and JTF nets that were extracted from reference g.

1. Command Authority Nets

a. <u>COCOM 1</u>. COCOM Command Net -- Secure UHF SATCOM voice net connecting the supported Command Authority, Commander, Joint Task Force (CJTF), and selected subordinates.

b. <u>COCOM 1A</u>. COCOM Command Net -- Secure HF-single side band (SSB) voice net connecting the CCMD, CJTF, and selected subordinates.

c. <u>COCOM 1B</u>. COCOM Command Net -- Secure SHF SATCOM data net connecting the supported CCMD and selected subordinates.

d. <u>COCOM 2</u>. COCOM Mission Radio Net -- Nonsecure HF-SSB voice net supporting security assistance administrative matters.

e. <u>COCOM 3</u>. Command Data Net -- Secure HF data net between supported CCMD and CJTF.

f. <u>COCOM 3A</u>. Command Data Net -- Secure HF data net between supported CCMD and Commander, United States Forces (COUNTRY).

g. <u>COCOM 3B</u>. Command Data Net -- Secure HF data net between supported and supporting CCMDs.

h. <u>COCOM 4</u>. COCOM Special Intelligence Net -- Secure HF-SSB data net linking supported CCMD, CJTF, and selected special intelligence elements.

i. <u>COCOM 5</u>. Tactical Missile Alerting Net -- Secure UHF SATCOM voice alert broadcast net to CJTF and in-theater forces. Established upon direction of CJTF.

2. JTF Nets

a. <u>JTF 3</u>. Embassy Emergency and Voice Command Net -- Nonsecure HF-SSB voice net between military commanders and AMEMB in the area of the crisis.

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b. <u>JTF 3A</u>. Embassy Emergency and Voice Command Net -- Secure VHF-FM voice net between military commanders and AMEMB in the area of the crisis.

c. <u>JTF 3B</u>. Embassy Emergency and Data Command Net -- Secure HF-SSB Data net between military commanders and AMEMB in area of crisis.

d. <u>JTF 5</u>. Out-Of-Country Net – Secure and Nonsecure UHF SATCOM voice net providing the CJTF and component HQ with DSN access via a satellite ground entry station.

e. <u>JTF 6</u>. Noncombatant Evacuation Net -- Nonsecure HF-SSB voice net to link selected evacuation points and elements being evacuated.

f. <u>JTF 6A</u>. Noncombatant Evacuation Net -- Nonsecure HF-SSB voice net activated by CJTF or senior objective area commander to link selected evacuation points and elements being evacuated.

g. <u>JTF 7</u>. Joint Medical Regulation Net -- Nonsecure HF-SSB voice net linking CJTF-designated medical authorities.

h. <u>JTF 7A</u>. Joint Medical Regulation Net -- Nonsecure VHF-FM voice net linking CJTF-designated medical authorities.

i. <u>JTF 8</u>. JTF Objective Area Special Intelligence Net -- Secure HF-SSB data net linking supported CCDR, CJTF, and selected special intelligence elements.

j. <u>JTF 8A</u>. JTF Objective Area Special Intelligence Voice Tactical Satellite net -- Secure UHF SATCOM between CJTF and subordinate and supporting commanders.

k. <u>JTF 11</u>. Joint Command Net -- Secure UHF SATCOM net for CJTF and components.

1. <u>JTF 11A</u>. Joint Command Net -- Secure HF-SSB voice net (backup to JTF 11).

m. <u>JTF 12</u>. Joint Administrative and Logistics Net -- Secure UHF SATCOM voice and FAX net connecting CJTF and subordinate forces to coordinate routing administrative and logistic requirements.

n. <u>JTF 12A</u>. Joint Administrative and Logistics Net -- Secure HF-SSB voice (backup to JTF 12).

o. <u>JTF 17</u>. Joint and Combined Search and Rescue (SAR) Net -- Nonsecure HF-SSB voice net linking SAR elements.

p. <u>JTF 17A</u>. Joint and Combined SAR Net -- Nonsecure UHF voice net linking the SAR elements.

q. <u>JTF 17B</u>. Joint and Combined SAR Net -- Nonsecure VHF-FM voice net. Links SAR elements.

r. <u>JTF 18</u>. JTF Communications Engineering Net -- Secure HF-SSB voice net for coordination relating to communications systems operation.

s. <u>JTF 19</u>. Joint Information Bureau Net -- Nonsecure HF-SSB operated IAW special instructions promulgated by the supported CCMD Joint Information Bureau.

t. <u>JTF 19A</u>. Joint Information Bureau Net -- Nonsecure VHF-FM operated IAW special instructions promulgated by the supported CCMD Joint Information Bureau.

u. <u>JTF 23</u>. JTF Objective Area Voice Command Net -- Secure VHF-FM voice command net linking JTF forward-deployed element in the objective area with designated subordinates.

v. <u>JTF 24</u>. Medical Evacuation Net -- Nonsecure VHF-FM voice net linking JTF units for purpose of medical evacuation.

w. <u>JTF 24A</u>. Medical Evacuation Net -- Secure UHF SATCOM data net between JTF field hospital and area of operation medical center.

x. <u>JTF 70</u>. Commander Joint MISO Net -- Configuration to be promulgated when activation is required.

y. <u>JTF 75</u>. Joint Counterintelligence Coordination Net -- Configuration to be promulgated when activation is required.

z. <u>JTF 81</u>. Joint Supporting Arms Coordination Net -- Secure HF-SSB voice nets for component forces to coordinate with CJTF concerning supporting arms for fire that impact outside of the task force areas of operation.

aa. <u>JTF 81A</u>. Joint Supporting Arms Coordination Net -- Secure VHF-FM voice nets for component forces to coordinate with CJTF concerning supporting arms for fire that impact outside of task force areas of operation.

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bb. <u>JTF 82</u>. Naval Fire Control -- Secure or Nonsecure HF-SSB voice net used to pass mission status and relief reports from the firing ships to CTF____SACC.

cc. <u>JTF 83</u>. Naval Fire Support Net -- Secure HF-SSB voice net supporting requests for fire, ship assignments, and orders pertinent to execution of fires.

dd. <u>JTF 84</u>. Naval Fire Ground Spot Net -- Secure HF-SSB voice nets between shore fire control party (SFCP) and assigned direct support gunfire ships.

ee. <u>JTF 84A</u>. Naval Fire Ground Spot Net -- Secure VHF-FM voice nets between SFCP and assigned direct support gunfire ship.

ff. <u>JTF 85</u>. Joint Link-up Net -- Secure VHF-FM voice net to coordinate rendezvous of separate elements or the rejoining of detached elements to parent organizations (multiple discrete frequencies).

gg. <u>JTF 86</u>. Naval Fire Ground Spot (Expansion Net) -- Secure or nonsecure net to call for and adjust fire for units of TF _____. Assignments of SFCP Spot net to the firing ship and Support Fire Control Spot team will be made on JTF-82 by CTF _____SACC.

hh. <u>JTF 87</u>. Naval Fire Ground Spot (Expansion Net) -- Secure or nonsecure net to call for and adjust fire for units of TF____. Assignments of SFCP Spot net to the firing ship and SFCP Spot team will be made on JTF-82 by CTF____SACC.

ii. <u>JTF 88</u>. Naval Fire Ground Spot (Expansion Net) -- Secure or nonsecure net to call for and adjust fire for units of TF___. Assignment of SFCP Spot net to the firing ship and SFCP Spot team will be made on JTF-82 by CTF___SACC.

jj. <u>JTF 89</u>. Naval Fire Ground Spot (Expansion Net) -- Secure or nonsecure net to call for and adjust fire for units of TF___. Assignment of SFCP Spot net to the firing ship and SFCP Spot team will be made on JTF-82 by CTF___SACC.

kk. <u>JTF 90</u>. Naval Fire Ground Spot (Expansion Net) -- Secure or nonsecure net to call for and adjust fire for units of TF___. Assignment of SFCP Spot net to the firing ship and SFCP Spot team will be made on JTF-82 by CTF___SACC.

ll. <u>JTF 91</u>. Combined Forces Link-up Net -- Nonsecure VHF-FM voice net to coordinate rendezvous of separate elements or the rejoining of detached elements to parent organizations (multiple discrete frequencies).

mm. <u>JTF 93A</u>. NF Airspot Control -- Secure or nonsecure UHF voice net used by airborne spotter to call and adjust fire. Assignment of this net to an air spotter will be made over the Tactical Air Observation Net. Assignment of this net to the firing ships will be made on JTF 83, "Naval Fire Support." Only one fire mission at a time, per net, will be conducted.

nn. <u>JTF 93B</u>. NF Airspot Control -- Secure or nonsecure UHF voice net used by airborne spotter to call and adjust fire. Assignment of this net to an air spotter will be made over the Tactical Air Observation Net. Assignment of this net to the firing ships will be made on JTF 83, "Naval Fire Support." Only one fire mission at a time, per net, will be conducted.

oo. <u>JTF-XXX</u>. JTF Net Expansion -- JTF expansion capability for additional net designators as determined by the applicable CCMD or CJTF.

3. Air Coordination Nets

a. <u>AC 1</u>. Joint Air Coordination Net -- Secure UHF voice net via tactical satellite linking military air control agencies for coordination of air operations within and adjacent to the objective area.

b. <u>AC 1A</u>. Joint Air Coordination Net -- Secure HF-SSB voice net backup to UHF satellite net. Links military air control agencies for coordination of air operations within and adjacent to the objective area.

c. <u>AC 2</u>. Civil Air Control Common -- Nonsecure VHF-AM voice net designated by the Federal Aviation Administration or Civil Air Route Traffic Control Center to be used by Air Force Air Traffic control functions at CTF

_____ CRCs and/or control and reporting posts (CRPs) for control of civil aircraft movement in and through tactical airspace.

d. <u>AC 3</u>. Tactical Air Traffic Control Net -- Nonsecure UHF voice net guarded by air control agencies of Navy and Marine tactical air control system for initial report by tactical aircraft in support of CTF___ units. Also used by administrative and transient aircraft to establish contact with the applicable control agency. Circuit may also be used by Air Force forces (AFFOR) elements for TACS and COMMON initial reporting net.

e. <u>AC 3A</u>. Tactical Air Traffic Control Net -- Nonsecure UHF voice net guarded by all Air Force radar facilities for initial reports by tactical aircraft in support of AFFOR CRCs and/or CRPs for control of civil aircraft movement in and through tactical airspace.

f. <u>AC 4</u>. Tactical Air Direction Net -- Secure UHF voice net provides for direction of aircraft in the conduct of a close air support mission (multiple discrete frequencies).

g. <u>AC 5</u>. Fighter Air Direction, Combat Air Patrol, and Air Defense Net --Nonsecure UHF voice net supporting aircraft mission briefs and control of combat air patrol aircraft performing air defense alert, fighter escort, and/or threat intercept missions.

h. <u>AC 8</u>. In-flight Report -- Nonsecure UHF voice linking tactical air control systems and aircraft.

i. <u>AC 9</u>. UHF Airborne Relay -- Secure or nonsecure UHF used to provide and extend point-to-point UHF voice communications between ground and surface elements.

j. <u>AC 9A</u>. UHF Airborne Relay – Secure or nonsecure UHF used to provide and extend point-to-point UHF datalink communications between ground and surface elements.

k. <u>AC 10</u>. Joint Air Support Coordination Net -- Secure HF-SSB voice net used to coordinate immediate air support.

1. <u>AC 10A</u>. Joint Air Support Coordination Net -- Secure VHF-FM voice net to coordinate immediate air support.

m. AC 11. Link 11 -- Secure HF netted TADIL A datalink.

n. AC 11A. Link 11 -- Secure UHF netted TADIL A datalink.

o. <u>AC 12</u>. Link 14 -- Secure HF-SSB receive-only broadcast providing air movement data.

p. <u>AC 13</u>. TADIL B -- Normally, a secure or nonsecure full duplex, HF, point-to-point link that operates with continuous transmissions in both directions, utilizing serial transmission frame characteristics.

q. <u>AC 14</u>. Interface Coordination Net -- Secure HF-SSB voice dual-function net (tactical weapon employment coordination and digital message and interface control).

r. <u>AC 15</u>. Track Supervision Net (TSN) -- Secure or nonsecure HF-SSB voice primary, assisting units entering and exiting the interface.

Annex A Appendix H Enclosure C s. <u>AC 15A</u>. TSN -- Secure or nonsecure UHF voice backup, assisting units entering and exiting the interface.

t. <u>AC 16</u>. Datalink Coordination Net (DCN) -- Secure or nonsecure HF-SSB voice primary used to coordinate equipment supporting TADIL operations.

u. <u>AC 16A</u>. DCN -- Secure or nonsecure UHF voice backup used to coordinate equipment supporting TADIL operations.

v. <u>AC 17</u>. Voice Product Net (VPN) -- Secure UHF voice net used to forward non-digital SIGINT information to other interface subscribers.

w. <u>AC 17A</u>. Special Information Systems/VPN -- VINSON-Secure UHF voice net used to forward non-digital special intelligence and SIGINT information to other interface subscribers.

x. <u>AC 18</u>. Tactical Air Request Net -- Secure HF-SSB voice net used to request immediate air support from air control agencies.

y. <u>AC 19</u>. Fighter Check-In Net -- Secure or nonsecure UHF voice net used to direct joint fighter type aircraft missions.

z. <u>AC 19A</u>. Fighter Air Direction Net -- Nonsecure UHF voice net used to direct joint fighter type aircraft missions.

aa. <u>AC 20</u>. Air Traffic Control -- Nonsecure UHF voice used for air traffic control services.

bb. <u>AC 20A</u>. Air Traffic Control -- Nonsecure VHF-AM voice used for air traffic control services.

cc. <u>AC 23</u>. Tanker, Refueling, and Rendezvous Operations -- Nonsecure UHF or VHF-AM voice nets for control of rendezvous and tanker and/or tactical aircraft in-flight refueling operations (multiple discrete frequencies).

dd. <u>AC 24</u>. HELO Direction Net -- Nonsecure UHF voice net used to control HELO assets in the JTF operating area.

ee. <u>AC 25</u>. HELO Command Net -- Secure UHF voice net linking the tactical air control center with the Naval HELO support units.

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APPENDIX I TO ENCLOSURE C

GENERATING THE JRFL

1. <u>Overview</u>. The JRFL is a geographically and time-oriented listing of TABOO, PROTECTED, and GUARDED functions, nets, and frequencies. The JRFL should be limited to the minimum number of frequencies necessary for friendly forces to accomplish objectives.

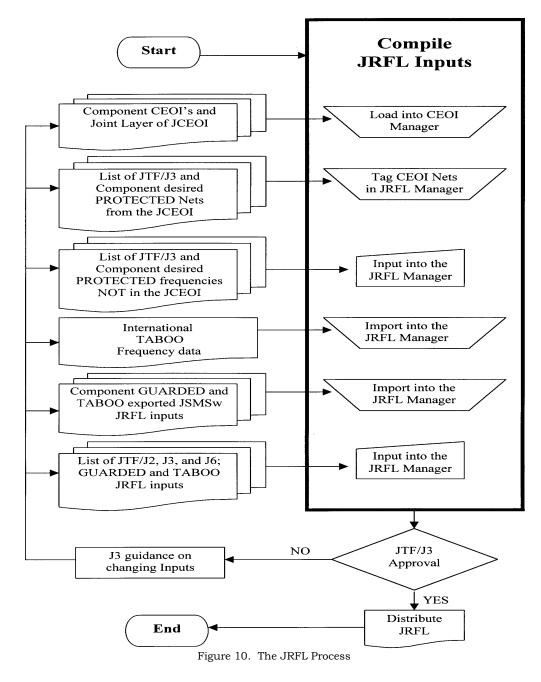
2. JRFL. The JRFL mission is typically performed by the JSME. J-6 should compile the JRFL based on the coordinated inputs from the operations, intelligence, and communications staffs within the command and affected subordinate commands. The J-6 should ensure that the frequency assignments of unit nets designated for inclusion as PROTECTED or TABOO on the JRFL are submitted to the J-3 for final approval prior to dissemination. The restrictions imposed by the JRFL may only be removed at the direction of the J-3 if determined that the benefit of jamming a restricted frequency surpasses the immediate criticality to friendly forces. Operations and intelligence functions must be consulted before this decision. However, the self-protection of combat aircraft and ships has priority over all controls. GUARDED, PROTECTED, and TABOO frequencies are defined as follows.

a. <u>GUARDED</u>. Frequencies that are adversary frequencies being exploited for combat information and intelligence. A GUARDED frequency is timeoriented in that the list changes as the adversary assumes different combat postures. These frequencies may be jammed after the commander has weighed the potential operational gain against the loss of the technical information.

b. <u>PROTECTED</u>. JTF frequencies used for a particular operation, identified, and protected to prevent them from being inadvertently jammed by friendly forces while active EW operations are directed against hostile forces. These frequencies are of such critical importance that jamming should be restricted unless absolutely necessary or until coordination with the using unit is made. They are generally time oriented, may change with the tactical situation, and should be updated periodically.

c. <u>TABOO</u>. Taboo frequencies are those frequencies of such importance that they must never be deliberately jammed or interfered with by friendly forces. Normally these include international distress, safety, and controller frequencies. These are generally long-standing frequencies. However, they may be time oriented in that, as the combat or exercise situation changes, the restrictions may be removed to allow self-protection by friendly forces. Specifically, during crisis or hostilities, short duration jamming may be authorized on TABOO frequencies for self-protection to provide coverage from unknown threats, threats operating outside their known frequency ranges, or for other reasons.

3. JRFL Production Process. See Figure 10.



a. <u>Identification</u>. The JRFL identification process begins at the unit level and works upward through component Service chain-of-command channels. The JTF staff, along with other forces, will identify to the JSME those frequencies that will be included in the JRFL. Input to the JSME will be in the form of JCEOI nets, frequencies in the database, and frequencies identified by the various elements of the J-2, J-3, and J-6. These frequencies will be identified to the EWC for coordination.

b. <u>Consolidation</u>. These frequencies, along with any frequencies similarly identified by the component forces, are consolidated by the JSME into a JRFL. All generated JCEOIs for the JTF will be provided to the JSME. Included will be listing of international TABOO frequencies. The JSME will enter all inputs into SPECTRUM XXI and generate an initial JRFL list.

c. <u>Review and Dissemination</u>. This initial list is taken to the EWC for coordination and deconfliction. Once approval is received from the J-3, the JRFL is distributed; this is generally the responsibility of the J6.

4. <u>Data Fields</u>. The following is a list of data fields that are needed to complete the JRFL report in SPECTRUM XXI.

a. <u>Classification</u>. One character indicates the security classification of the JRFL.

- (1) U=UNCLASSIFIED
- (2) C=CONFIDENTIAL
- (3) S=SECRET

b. <u>Declassification</u>. The declassification date for the frequencies to be protected.

c. <u>Unit</u>. Name of the unit to which the frequency is assigned.

d. <u>Status</u>. Restricted classification status followed by a slash (/) and two characters to indicate the level of restriction, A-Z and 1-9, with A1 being the highest level.

- (1) T=TABOO
- (2) G=GUARDED
- (3) P=PROTECTED

e. <u>Period</u>. The time-period for which the restriction will be active. This refers to the JCEOI time-period.

f. <u>Start Date</u>. The date on which the restriction will begin.

g. End Date. The date on which the restriction will end.

h. <u>Start Hour</u>. The hour on which the restriction will begin.

i. <u>End Hour</u>. The hour on which the restriction will end.

j. <u>Agency Serial</u>. A unique agency identifier for each frequency assignment (SFAF Item 102).

k. <u>Frequency</u>. The frequency to be restricted.

1. <u>Emission</u>. The bandwidth and emission designator of the equipment (SFAF Item 114).

m. <u>Power</u>. The transmitter power proceeded by the unit indicator (SFAF Item 115). Unit indicators are as follows:

- (1) W watts
- (2) K-kilowatts
- (3) M megawatts
- (4) G gigawatts

n. <u>Transmitter Lat-Long</u>. The latitude and longitude of the transmitter location in degrees, minutes, and seconds, followed by N or S for the latitude and E or W for the longitude.

o. <u>Receiver Lat-Long</u>. The latitude and longitude of the receiver location in degrees, minutes, and seconds, followed by N or S for the latitude and E or W for the longitude.

p. <u>Equipment</u>. Enter the equipment name.

q. <u>Comments</u>. Enter all remarks, limitations, and comments.

5. <u>Frequency List</u>. Listed below in Table 9 are the worldwide TABOO frequency listings:

FREQUENCY	AUTHORIZED USAGE	EMISSION DESIGNATOR
K490	GMDSS/MET AND NAV WARNINGS	1K24F1B
K500	GMDSS/DISTRESS AND CALLING	20K00A2A
K518	GMDSS/NAVTEX/MET AND NAV WARNINGS	1K24F1B
K2174.5	INTL DISTRESS/SAFETY	3K00J3E
K2182	INTERNATIONAL DISTRESS	6K00A3E
K2187.5	INTL DISTRESS/SAFETY	3K00J3E
K3023	INTERNATIONAL SAR	6K00A3E
K4125	INTERNATIONAL DISTRESS AND SAFETY	6K00A3E
K4177.5	INTL DISTRESS/SAFETY	3K00J3E
K4207.5	INTL DISTRESS/SAFETY	3K00J3E
K4209.5	GMDSS/NAVTEX MET AND NAV WARNINGS	1K24F1B
K4210	INTL MARITIME NAV SAFETY	6K00A3A
K5680	INTERNATIONAL SAR	6K00A3E
K6215	INTERNATIONAL DISTRESS AND SAFETY	6K00A3E
K6268	INTL DISTRESS/SAFETY	6K00A3E
K6312	INTL DISTRESS/SAFETY	6K00A3E
K6314	INTL MARITIME SAFETY/GMDSS	1K24F1B
K8291	INTL DISTRESS/SAFETY	6K00A3E
K8364	INTL SAR/SURVIVAL CRAFT	6K00A3E
K8376.5	INTL DISTRESS/SAFETY	3K00J3E
K8414.5	INTL DISTRESS/ SAFETY	3K00J3E
K8416.5	GMDSS/INTL MARITIME SAFETY	1K24F1B
K12290	INTL DISTRESS/SAFETY	6K00A3E
K12520	INTL DISTRESS/SAFETY	6K00A3E
K12577	INTL DISTRESS/SAFETY	6K00A3E
K12579	GMDSS/INTL NAVIGATION SAFETY	1K24F1B
K16420	INTL DISTRESS/ SAFETY	6K00A3E
K16695	INTL DISTRESS/ SAFETY	6K00A3E
K16804.5	INTL DISTRESS/ SAFETY	6K00A3E
K16806.5	GMDSS/INTL MARITIME SAFETY	1K24F1B
K19680.5	GMDSS/INTL MARITIME SAFETY	1K24F1B
K22376	GMDSS/INTL MARITIME SAFETY	1K24F1B
K26100.5	GMDSS/INTL MARITIME SAFETY	1K24F1B
M121.5	INTL DISTRESS/AERONAUTICAL EMERGENCY	6K00A3E
M123.1	INTL EMERGENCY/SAR	6K00A3E
M120.1 M156.3	INTL SHIP/AIRCRAFT SAR	25K00G3E
M156.525	INTL DISTRESS/SAFETY/GMDSS	25K00F3E
M156.65	INTL SAFETY OF NAVIGATION	25K00F3E
M156.8	INTL DISTRESS AND SAFETY	750K00F3E
M243	AERO EMERGENCY/INTL DISTRESS/SAR	6K00A3E
M406.05	SATELLITE EPIRB	100K00F3E
M1227.6	SATELLITE GPS DOWNLINK	24M00F1D
M1227.0 M1544.5	SATELLITE EPIRB FEEDER LINKS	1M00F1D

FREQUENCY	AUTHORIZED USAGE	EMISSION DESIGNATOR
M1575.42	SATELLITE GPS DOWNLINK	24M00F1D
M1646	SATELLITE EPIRB	1MOOF1D
Table 9. Worldwide-Restricted Frequency List		

Appendix I Enclosure C

APPENDIX J TO ENCLOSURE C

ELECTRONIC WARFARE DECONFLICTION

1. <u>Electronic Warfare Frequency Deconfliction</u>. Friendly, adversarial, and third party operations that use or affect the EMS (communications, noncommunications, jamming) have the potential to interfere with joint force communications and other emitters. To counter this, the U.S. military has established spectrum management and EW frequency deconfliction procedures. Spectrum management is composed of an entire range of technical and nontechnical processes designed to quantify, plan, coordinate, and control the EMS to satisfy spectrum use requirements while minimizing unacceptable interference. EW deconfliction (distinct from EW operations) can be considered a subset of spectrum management and is defined as a systematic management procedure to coordinate the use of the EMS for operations, communications, and intelligence functions. The following items are critical elements in the EW frequency deconfliction process and should be performed on a continuing basis.

a. <u>Conflict</u>. EW planners should be prepared to examine cases where EA missions' conflict with the JRFL or where JRFL changes might affect planned EA operations. The extent of conflict analysis depends on the tools and time available to the EW staff. Joint EWC personnel should attempt to resolve or diffuse the conflict by working within the staff and subordinate EW units. If the deconfliction effort is successful, the operation is conducted as planned or modified. For unresolved conflicts, the J-3 remains the ultimate authority on EW frequency deconfliction.

b. Jamming. In joint operations, jamming is a form of nonlethal fire as discussed in reference h, Doctrine for Joint Fire Support. As nonlethal fire, the determination to conduct jamming is made IAW the principles set forth in Chapter III of reference h. Joint EWC s should be familiar with the process and principles of joint fire support and provide appropriate guidance and coordination necessary to deconflict jamming with other friendly uses of the spectrum. Close, continuous coordination with component planners and with allied and coalition planners (during both the planning and execution phase of joint operations) is necessary to ensure that the jamming missions are conducted as planned and necessary while minimizing unintended disruption of the spectrum. OPLANs should include provisions for an on-station jamming control authority (JCA) that will provide real-time coordination and deconfliction of jamming efforts. The JCA does not need to be an EA asset but should be capable of monitoring the ES spectrum and assessing effects on both friendly and unfriendly forces and be in contact with EA assets to provide direction and coordination of EA efforts.

> Appendix J Enclosure C

c. <u>Disruption</u>. When the operation is successful and the friendly EA missions do not disrupt friendly communications networks or noncommunications equipment operations, no frequency conflict occurs. However, when any disruption on a friendly frequency occurs, two actions should take place: a report of the disruption should be made as soon as possible to the J-6 spectrum manager and, if critical functions are interfered with, the controlling authority for CEASE BUZZER (an unclassified term used to terminate EA activities, including the use of EW expendables) should be contacted to evaluate the need to issue a CEASE BUZZER notification.

(1) <u>Report of Interference</u>. Report interference IAW reference b. Operators should report interference through the chain-of-command to the J-6 spectrum manager by the fastest means available. As the interference reports are passed through the chain-of-command, each component with the capability should attempt to resolve the interference under its purview. Each component may not have the capability or control over that portion of the spectrum to resolve the conflict, so the report should be forwarded as quickly as possible to a level of command with the capability. Ultimately, all interference reports reach the J-6, at which time the spectrum manager should attempt to determine the cause of the interference and resolve the conflict if not previously resolved.

(2) <u>CEASE BUZZER Notification</u>. For critical functions (generally those on the JRFL TABOO list), an immediate CEASE BUZZER notification should be promulgated by the JCA if the interference can be positively identified as friendly EA. The CEASE BUZZER notification is issued for the specific frequency or range only on the EW control net of the offending jammer. No acknowledgment of interference is made on the signal being jammed.

d. <u>Resolving Interference</u>. If the spectrum manager can determine that the disruption was caused by a source other than friendly EA, the J-6 has the option of modifying the current JCEOI or communications plans. If the spectrum manager determines that the interference was caused by friendly EA, then the report should be given to the EWC for resolution and possible modification of the JRFL.

e. <u>EW Deconfliction Procedures</u>. Joint Pub 3-13.1, Joint Doctrine for Electronic Warfare, provides the following guidance for developing joint EW deconfliction procedures. To the extent possible, these procedures should be followed during joint, multinational, and single-Service operations and exercises. The steps involved in the EW frequency deconfliction process are as follows.

(1) <u>Defining the Operations Concept and Critical Functions</u>. The J-3 defines the CONOPS to include each discrete phase of the operation. For each

phase, the J-3 defines the critical mission functions that require uninterrupted communications connectivity or noncommunications operations. For example, communications with long-range reconnaissance elements or close air support assets could be crucial to preparing for transition from defense to offense. Noncommunications equipment such as identification, friend or foe systems, and fire-control radars might also need protection. The J-3 provides this guidance to the joint force staff and subordinate commanders for planning.

(2) <u>Generating the JRFL</u>. This topic is covered in Appendix I to enclosure D.

2. <u>SPECTRUM XXI EW Deconfliction</u>. SXXI provides the user a method to analyze the impact of EA operations on JTF spectrum dependent system. SPECTRUM XXI considers all frequency assignments within its database along with all nets contained in the JCEOI and the JRFL. This level of detail provides the EWC planner a much better estimate of the potential fratricide that an EA mission may cause.

a. To effectively utilize the EW deconfliction capability within SPECTRUM XXI the spectrum manager must maintain a current database of all JTF spectrum use, maintain a current JCEOI file within SPECTRUM XXI, and maintain the JRFL.

b. Spectrum manager security clearance levels, usually SECRET but not TS-SCI, is one possible barrier to incorporating a spectrum manager on the EWC planning team. Historically, spectrum managers have not had TS-SCI identified as a requirement for performing their daily mission.

c. This capability holds much potential for the EWC and supporting the planning mission it support for the JTF.

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APPENDIX K TO ENCLOSURE C

RESOLVE INTERFERENCE

1. Overview. EMI to systems using the EMS is a continuing problem in military operations. The very nature of military operations forces us to assign frequencies on a NIB. This means that the military user will be forced to accept a certain amount of interference in the course of their duties. When this interference impedes operations and hinders mission accomplishment then it is considered unacceptable and steps are taken to resolve and/or eliminate the source of the interference. Effective EMI management plays a crucial role in assuring critical information is exchanged timely and accurately, in times of war, during operations other than war, and peacetime. Effective spectrum management is crucial to obtaining and maintaining information superiority, an essential foundation of IO. Timely and accurate identification, verification, characterization, reporting, geolocation of the source, analysis, and resolution of EMI during military operations is essential to maintaining C2 of U.S. forces and responding to adversary EA actions. Since EMI can be caused by enemy, neutral, friendly, or natural sources it must be resolved on a caseby-case basis. Users must report all EMI regardless of the severity. It is essential that efficient, practical procedures be established to affect the reporting and resolution of EMI.

2. <u>Causes of Interference</u>. Radio frequency noise is always present in a military environment. It may come from a single source or a combination of many sources including natural or manmade frequency interference, poor equipment condition, improper equipment usage, frequency interference, use of unauthorized frequencies, and frequency reuse.

a. <u>Natural Interference</u>. Natural radio noise has two principal sources: atmospheric noise (thunderstorms) and galactic noise (stars). It is especially noticeable at night when the lower frequencies propagate farther than in the daytime. The only way to reduce this type of interference is to use a directional antenna to prevent receiving the interference from all directions. However, this will not eliminate the noise coming from the direction of the received signal. Use of a higher frequency will also help, although if a sky wave circuit is used, care must be exercised not to pick the highest frequency at which the signal will be refracted to Earth by the ionosphere (i.e., the critical frequency).

b. <u>Manmade Interference</u>. Most manmade interference comes from electrical sources such as power generators, alarm systems, power lines, auto ignition, fluorescent lighting, faulty electrical relay contacts, and electrified railroads. Manmade interference also includes enemy jammers. The key to combating this form of interference is to isolate communications equipment from manmade interference.

c. <u>Frequency Interference and Intermodulation</u>. This type of interference is caused primarily by two radio transmitters using the same frequency, or frequencies so close to each other that the emission bandwidths overlap, which is considered co-channel interference. When this condition occurs and the radios are in close proximity interference is the result.

(1) The resolution to this problem is to either move the transmitters further apart geographically or change or separate the frequencies. Another condition that can occur is called adjacent-channel interference, this is where the emission bandwidths do not overlap but are aligned close beside each other and cause interference. Brute-force penetration is a condition where the transmit power of the interferer is so strong that it desensitizes the receiver and causes the receiver to become operationally useless, this type of interference does not have to be in the same frequency band as the victim.

(2) The interference from known sources such as generators can be greatly reduced if an antenna is positioned so that an obstacle (e.g., a hill) is between it and the source. This must be done so that the same obstacle will not block the intended radio path. If the interference is not coming from the same direction as the intended signal, then a directional antenna should be used.

d. <u>Poor Equipment Condition and Improper Usage</u>. The condition of radio equipment and how it is being used may result in interference. There are several steps that should be taken to lessen this possibility. These include making certain that shielded cables are used where required, ensuring connectors are properly connected to cables, and making sure that antennas within a group are as far apart as possible. All antenna leads (transmission lines), power lines, and telephone lines should be as short as possible when they are on the ground and should not cross. If lines do cross, they must cross at 90-degree angles to each other, and they must be separated from each other by standoffs. Lines threaded through the trees near an antenna serve as pipelines for interference to and from antennas. Finally, ensure that all radio equipment is grounded.

e. <u>Use of Unauthorized Frequencies</u>. There is one final source of frequency interference; the use of unauthorized frequencies. This practice is illegal and has the potential to disrupt a carefully engineered frequency plan, introduce interference to other frequencies and circuits, and prevent other units from fulfilling their mission. Radio operators should never use unauthorized frequencies.

f. <u>Frequency Reuse</u>. There are not enough radio frequencies available for all radio operators to have their own channel. When HF propagation conditions are favorable, operators may discover that their radio frequency is being used by foreign or U.S. military personnel in other countries. VHF FM frequencies often have to be reused within the same operation by more than one unit. The exercise frequency manager will try to make certain that users of the same frequency are as far away as possible from each other, but some units (Marine Corps and Army, in particular) will join at some stage in the operation. When this occurs, the first common, higher HQ should be informed to settle the problem.

3. SPECTRUM XXI IA Capability

a. The SPECTRUM XXI IA module can be used to analyze an existing frequency assignment or user-specified operating parameter for potential interference from environmental transmitters and receivers. This analysis could incorporate interference resolution by identifying possible known emitters whose authorized operating parameters could be the source of the interference. An IA can also be performed on the victim's frequency assignment record by using the nomination process in the SPECTRUM XXI frequency assignment module. The IA process is only as accurate as the frequency assignment database is current.

b. Using the IA capability should cause you to reevaluate the parameters being used to determine interference. Unlike the nomination process that uses the same computer algorithm, when performing an IA you should look at considering harmonics and intermodulation products as well as the levels needed to be evaluated. You might want to reduce the criteria set for the radius of mobility as well as using the actual location of the victim.

4. <u>Resolving Interference</u>. Actions to take:

a. Interference is always reported from a receiver perspective. When receiving an interference report you need to ask specific questions like: Who are you? (unit, receive frequency, location(s), impact to mission, etc.).

b. Is the report coming from a unit working for, or supporting the JTF or a supporting unit, NGO, OGA, etc.)? If so, query the victim assignment (search on unit, frequency, location to assist you in identifying the victim record within your database), if found, and not a band assignment, tag the record and load into the proposal editor. Modify the record to the exact parameters of receive station getting interference, to include exact location, remove mobility (306/406), exact antenna height, and power levels and then perform a nomination on this proposal. If victim proposal is not found, then initiate a new proposal (requirement) and go no further in the interference resolution process.

c. If the report is from an authorized spectrum user, where is the interference being experienced, exactly, what type of interference is it, how severe is the problem? Has the user attempted to resolve the interference locally using the checklist from reference b?

d. Evaluate the IA results and research identified conflicts starting with the records with the highest ICM values as they are the most likely interfere. As you eliminate the higher value ICM proceed to records with lower ICM values and then to the flagged records until you locate the actual emitter causing the interference.

e. If no interference source is identified then you need to identify what forces are deployed in the area where interference is occurring. Bootleg frequency use or unknown users in the area will cause this problem, NGO, OGA, are two very likely possibilities.

EMI CHARACTERIZATION AND RESOLUTION AT THE LOCAL LEVEL JSIR EMI CHECKLIST		
STEP	ACTION	COMP Y/N
001	Start a log and collect as much information as possible.	
002	Record what interference sounds like. If appropriate	
	measurement equipment is available, an attempt should be	
	made to quantify the characteristics of the interference signal.	
	These characteristics include the interfering source's center	
	frequency, bandwidth, relative amplitude, modulation, and	
	direction of interference, time of occurrence, and any other	
	characteristics that can be obtained.	
003	Geographical Information	
003-	Check with other units in the geographical area to determine	
01	the area affected.	
003-	Verify exact location of receiver using GPS, if available.	
02		
004	Determine interference start and stop times.	
005	Ensure affected system is operating correctly.	
005-	Ensure all connectors are tight.	
01		
005-	Ensure antenna cables are in good condition.	
02		
005-	Have maintenance personnel ensure equipment is operating	
03	IAW technical manual specifications and frequency assignment	
	parameters.	
006	Verify antenna is on the correct azimuth and elevation.	

EMI CHARACTERIZATION AND RESOLUTION AT THE LOCAL LEVEL JSIR EMI CHECKLIST

STEP	ACTION	COMP Y/N
007	Environment Information	
007-	Contact all nearby units to determine if they have recently	
01	installed any new equipment	
007-	Check with equipment maintenance personnel to determine if	
02	the interference is the result of maintenance actions or an	
	equipment malfunction. This should include non-RF	
	equipment that can cause spark-type interference used to	
	support the operation of RF equipment (e.g., thermostat-	
	controlled devices, electric motors, welders, etc.)	
007-	Check to see if construction is being conducted in the	
03	immediate area.	
007-	Determine whether the natural environment is the cause of the	
04	problem; see Enclosure F.	
008	Frequency Assignment Information	
008-	Verify through service Component or JTF spectrum manager	
01	that a valid frequency assignment and/or satellite	
	authorization exists.	
008-	If no assignment exists, cease transmission and request new	
02	frequency.	
-800	If valid assignment exists, change to alternate frequency and	
03	determine if interference is present. If interference is to a	
	SATCOM system, skip to step 9.	
-800	If a valid assignment exists and the interference goes away	
04	after changing to an alternate frequency, submit an	
	interference report through next higher HQ and info JSC.	
-800	Where co-channel or adjacent channel interference is	
05	suspected (i.e., the interfering signal overlaps the operating	
	bandwidth of the victim receiver), check with local and area	
	frequency management personnel to determine if other locally	
	operated equipment has been recently assigned a co-channel	
	or adjacent channel frequency.	
009	SATCOM Interference for military satellite communications	
009-	Net Control Station should contact the supporting SATCOM	
01	support center (SSC) and determine if they can identify	
	interference on the satellite. A determination must be made at	
	this time as to whether the interference is on the uplink or	
	downlink of the satellite channel. If two or more users	
	separated by 300 miles are observing the same interference,	
	the interference is likely on the uplink.	ļ
009-	If no interference is present on the satellite uplink frequency,	

EMI CHARACTERIZATION AND RESOLUTION AT THE LOCAL LEVEL JSIR EMI CHECKLIST

STEP	ACTION	COMP Y/N
02	request to be switched to an alternate channel in a different part of the frequency band.	
009- 03	If SSC reports a steady receive key (SRK) on the channel, have all users vacate the net.	
009- 04	Once all users are off the net, contact SSC and ask if the SRK is present.	
009- 05	If SRK is gone, have users re-access the net one at a time while SSC monitors; once the user that was causing the interference moves back onto the net the SRK will re-appear.	
009- 06	If SRK is present, request another channel for testing. Have users move to the new channel, one at a time, while monitoring the channel.	
009- 07	Once all users have moved to new channel, determine if SRK is present on the original channel.	
009- 08	If SRK is present on original channel, initiate a harmful interference report.	
010	CCDR or JTF will request JSC support to help resolve interference to terrestrial systems.	
011	CCDR or JTF will request resources to support interference resolution to space systems.	
012	Provide feedback through the chain of command to the affected unit of actions taken and the resolution.	

Table 10. JSIR EMI Checklist

5. Interference Resolution Tool Kit

a. SPECTRUM XXI Database. The SPECTRUM XXI assignment database is, by joint doctrine, the primary source of spectrum use information for the JTF. Near-real-time maintenance of this database is critical to using it as an effective tool JTF interference resolution. Additionally, failure to maintain this database will also degrade the JSME ability to make new interference-free frequency assignments.

b. Intelligence Community (J-2). Establish a partnership with the local Intelligence Community representatives. They have access to information sources (i.e., intercept databases) not typically available to the spectrum manager. They also have analyst that can assist in interpreting information that may be unfamiliar. The Intelligence Community can also task their intheater assets, or interface with other national agencies to leverage deployed intheater assets for local geolocation. Encourage spectrum managers at all

echelons to establish a working relationship with their intelligence counterparts.

c. <u>EWC</u>. Maintain close coordination to help facilitate both interference and EW deconfliction. Continued coordination, periodic updating, dissemination of the JRFL is the first step. Be an advocate for the education of the EWC principles and staff on JRFL and frequency deconfliction methods and procedures. Even strict adherence to the JRFL will not totally prevent EA disruption of sensitive friendly systems. Jammer out-of-band noise and harmonic effects can easily cause unintentional disruption to systems. Using SPECTRUM XXIs EW deconfliction analysis module these potential problems can be better identified by considering jammer parameters along with the JTF EME. Performing an EW deconfliction analysis as a part of the EW planning and/or targeting process could allow for advance notice to the warfighter of potential fratricide.

d. <u>In-Theater Operators and Maintainers</u>. Incorporate the user into the interference resolution process. Typically they have the best knowledge of the local area and equipment being used. Educating them to ask questions about changes in the area, adjustments to the equipment, and checking to see if other systems locally are being affected will greatly help in identifying and reducing EMI. The maintenance community has test equipment that can validate if equipment is operating correctly and within its authorized parameters. This type of assists is invaluable when troubleshooting frequency problems with UHF SATCOM. It is always important to capture this information in the reporting process to help identify future interference problems.

e. <u>CCMD J-6 and J-2</u>. These organizations both have many assets available along with a larger situational awareness of operations that may be affecting your problem.

f. <u>Direction Finding/Signal Characterization Equipment</u>. Requesting assistance like this is usually considered a last resort. This type of assistance is difficult to secure and often does not produce the desired result. The first step in this direction would be to have the intelligence units and maintainers use indigenous assets to attempt to resolve the problem. Purchasing DF equipment and strategically prepositioning it on the battlefield has been attempted, but there are drawbacks to this approach:

(1) <u>Who will operate the equipment</u>? The answer to this question should dictate the type and complexity of the equipment to purchase. These skills are not within the core competencies of a spectrum manager.

(2) <u>Cost</u>. Test equipment is expensive.

(3) <u>Frequency Range</u>. This will be a determining factor on what systems can meet your needs.

(4) <u>Mobility</u>. How mobile is the system? Is that a requirement?

ANNEX A TO APPENDIX K TO ENCLOSURE C

JSIR PROCEDURES

1. General

a. EMI to C-E equipment is a continuing problem in all-military operations. All spectrum users will at one time or another experience some level of EMI to their C-E systems.

b. Although EMI may affect mission accomplishment, unacceptable EMI actually impedes operations. It may be caused by friendly, enemy, neutral, or natural sources. Generally, EMI must be solved on a case-by-case basis. Figure 11 outlines procedures helpful in resolving EMI. Most interference incidents are dealt with at the lowest possible level within the JTF structure. When the cause and recipient of the interference are not within the same component force or supporting element, however, resolution becomes more difficult.

2. <u>Resolving Spectrum-Use Conflicts</u>

a. Spectrum-use conflicts arise as new requirements for use of the spectrum are identified, and conflicting or competing use of the spectrum should be expected. Reference d states "For conflicting or competing use that affects more than one primary functional area, the EWC examines requirements and attempts to solve the problem in coordination with the JFMO."

b. For conflicting or competing use that affects more than one primary functional area, the EWC examines its spectrum-use requirements and attempts to resolve the problem. If resolution is not possible at this level, the EWC elevates the matter to the JFC or that commander's designee, usually the J-3.

3. <u>Reporting Incidents of Unacceptable EMI</u>. Affected users will report incidents of unacceptable EMI. Various Service components are usually required and accustomed to reporting EMI incidents in a Service-prescribed format.

4. <u>The JSIR Program</u>. The JSIR program addresses those EMI incidents that cannot be resolved at the component or JTF level. This program is coordinated and managed by the JSC, Annapolis, Maryland. (Reference c.)

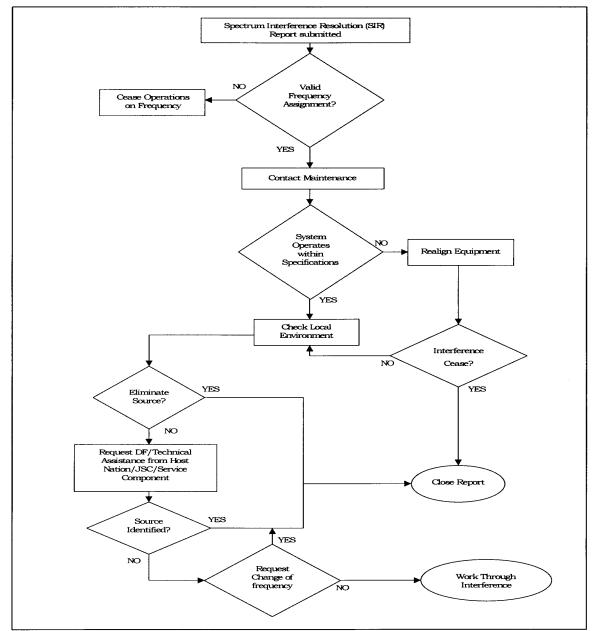


Figure 11. Interference Resolution

a. The objective of the JSIR program is to assist the Services and CCDRs in resolving persistent, recurring interference that cannot be resolved at the Services or CCMD levels. The JSC JSIR team is comprised of active duty personnel and JSC support services contractor personnel.

b. JSC has a 24-hour capability for receiving interference reports. United States Message Text Format messages to the JSC JSIR team can be sent to JSC ANNAPOLIS MD//OP/JSIR//. The JSIR team can also be contacted via voice mail pager at DSN 281-2511, extension 7007, or commercial (410) 573-7007. Special compartment information traffic is serviced directly through

C-K-A-2

secure FAX and DoD Intelligence Information System and/or Joint Worldwide Intelligence Communication System in the special compartment information facility at JSC, E-mail address: operations2jsc.ic.gov.

5. <u>Minimum Report Requirements</u>. Information required for the JSIR team to start resolving interference is as follows:

a. The information contained in the component Service interference report.

b. System affected by the interference (nomenclature, J/F-12 number, etc.)

c. Frequency of the victim receiver.

d. Area and/or location where the interference incident occurred.

e. A description of the interference.

f. The times and dates the interference occurred.

g. A POC with DSN (and/or commercial number) and duty hours available to discuss the interference incident.

6. <u>JSC JSIR Process</u>. Upon receipt of a JSIR service request, the JSC JSIR team performs an analysis using JSC models and databases to determine the source, and works with the appropriate field activity and frequency managers to resolve interference problems.

a. The JSC JSIR team will deploy to the location of the victim organization if necessary to resolve interference problems. The JSIR team will provide the organization requesting JSIR services a message report of the results of the JSIR analysis and incorporate appropriate information into the JSIR database. This database supports both trend analysis and future interference analyses.

b. The general flow of the reporting and resolution procedures for interference to terrestrial users is depicted in Figure 12.

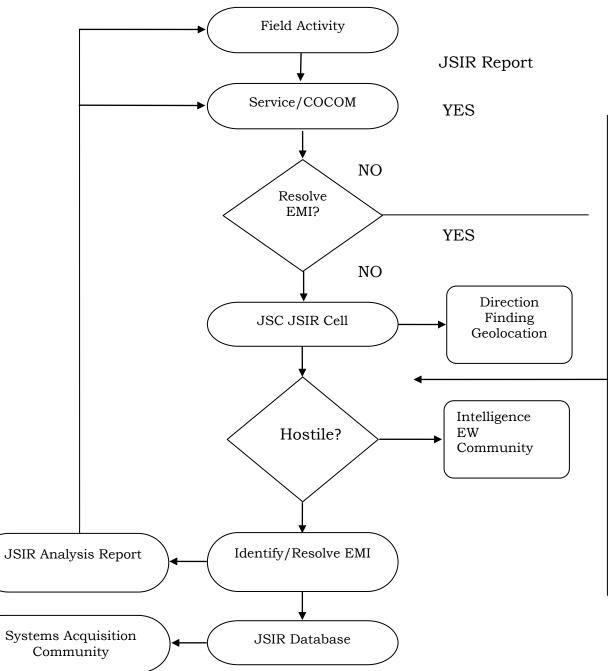


Figure 12. Terrestrial JSIR Reporting and Resolution

c. Space-system interference reporting and resolution processes are similar to the terrestrial reporting and/or resolution path (See Figure 13). Interference reports are forwarded up the operational chain of various space systems. Interference that cannot be resolved is ultimately reported to Cheyenne Mountain Operations Center/SATCOM Control (CMOC/SCC) by USSTRATCOM component command centers. The space system is considered to include both space-based and earth segments. CMOC/SCC will forward the incident report to JSC for analysis.

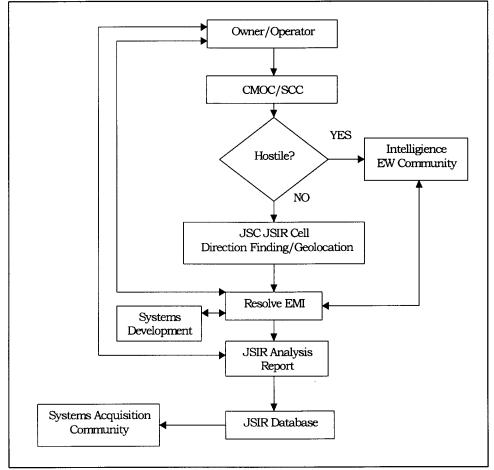


Figure 13. Space Systems Interference Reporting and Resolution

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Annex A Appendix K Enclosure C

APPENDIX L TO ENCLOSURE C

INTERFERENCE REPORTING

1. <u>Overview</u>. Interference reporting provides a means to help identify unauthorized spectrum users, lack of proper radio procedure training, adversary EA or local enforcement issues. Interference can come from sources other than the JTF and those sources may not be within your control to shutdown. If you fail to identify the source of interference, or can identify it but have no control over the interference source then you must report the interference to gain assistance in resolving it. This may precipitate reporting it through two channels at the same time, the CCMD JFMO and local HN. It may be faster, if you can assign another frequency to the net or system if the problem requires extended coordination.

2. <u>Unified CCMDs and Components</u>. The unified CCMDs and their components are responsible for developing local procedures, training, and reporting requirements in their respective AOR for resolving interference matters. In cases of EMI to terrestrial systems used outside the continental United States (CONUS), the command using the affected system is responsible for resolving the interference. When interference originates from one command AOR and affects another command AOR, the command responsible for the AOR where the interference source is located will support the other command. The supporting command will request HN assistance to identify the interfering source and resolve the EMI problem. The supporting command is not required to provide any resources to resolve the interference. Unified commands, subunified commands, and combined commands can directly request JSC JSIR technical support.

3. <u>JTF</u>. The JTF is responsible for developing local procedures and reporting procedures for resolving interference matters. In cases of EMI to terrestrial systems used by a JTF outside CONUS, the JTF using the affected system is responsible for resolving the interference. A JTF can request JSC JSIR technical support.

4. <u>JSME</u>. The JSME is responsible for management of the EMS and should be the focal point for EMI resolution within their JTFs AOR. The JSME is also assigned the responsibility for requesting and coordinating interference resolution support from the JSC.

5. <u>SPECTRUM XXI Interference Reporting Capability</u>. The SPECTRUM XXI interference report module can be used to generate an interference report to describe an interference problem and to provide information that can be used to resolve the problem. Interference reports can also be used to document a

history of problems and thus can be used to identify possible causes of subsequent interference. The SPECTRUM XXI interference report can be exported to a file for transmission to another user for importing.

6. <u>JSIR Program</u>. To address persistent and recurring EMI problems affecting DoD systems, the DoD established the JSIR Program in October 1992. The JSIR Program replaced the DoD Meaconing, Intrusion, Jamming, and Interference Program that was disestablished on 30 June 1992. The JSIR Program addresses EMI events and EA affecting the Department of Defense. The JSC coordinates and manages the program for the C4 Systems Directorate (J-6). The program is centrally managed; however, it has a highly decentralized execution process. Each Service shares responsibility for successful execution of the JSIR Program.

a. The objective of the JSIR Program is to report and assist with the resolution of EA and recurring EMI from cradle to grave. The resolution process for EMI events is divided into three steps:

(1) Includes identification, verification, characterization, and reporting.

(2) Includes geolocation, analysis, developing courses of action, and recommendations (corrective actions).

(3) Implementation, notification to user(s), and final closure reporting. Resolution includes but is not limited to implementation of EMI corrective actions needed to regain use of the affected spectrum. However, some EMI events cease before corrective action is taken, and in other cases, the EMI corrections may not be feasible, affordable, or result in regaining the use of the spectrum.

b. The JSIR Program is built on the premise that EMI should be resolved at the lowest possible level using organic and/or other assets available to the command. If an EMI event cannot be resolved locally, it must be elevated up the chain of command with each higher level attempting resolution. If the event cannot be resolved at the CCMD, JTF, Service, or Defense agency HQ level, then those organizations or the Joint Staff may request JSC JSIR support. Reference b identifies the following roles and responsibilities of various organizations that could be involved in resolving and reporting EMI.

(1) <u>JSC</u>. The JSC is tasked to provide spectrum management, interference resolution, and direct support teams to the unified combatant and JTF commanders. The JSC is responsible for tracking all EMI events from the initial report of a problem through closure, and for providing ready access to this tracking information. The JSC also provides JSIR field teams to deploy to a site and trouble-shoot EMI problems. The JSC serves as the center for EMI reporting and resolution, and in so doing has the authority to coordinate and task other involved organizations as deemed necessary to resolve EMI. The JSC will coordinate interference resolution with civil authorities when interference is CONUS-based and involves civil spectrum use. Upon receipt of a JSIR request, the JSC JSIR team will perform an in-house analysis using JSC models and databases to possibly determine the sources and will coordinate directly with the appropriate spectrum managers to resolve interference problems. When requested by a CCMD, JTF, Defense agency, Service HQ, or the Joint Staff, the JSC JSIR team will deploy, with the approval of the geographic CCMD, to the victim's location to identify and attempt to resolve ongoing interference problems. If the interference source is determined, the JSIR team will provide the organization requesting JSIR support a message identifying the source of interference and suggested resolution actions. The results of the analysis and onsite visit will be incorporated into the JSIR database. This database supports both trend analysis and future interference analyses.

(2). <u>USSTRATCOM</u>. USSTRATCOM will assist and support EMI resolution efforts for DoD space systems. Also, USSTRATCOM will determine if an EMI event is hostile in nature and report suspected acts of hostility to CCDRs and national-level command authorities. Additionally, each USSTRATCOM component is responsible for reporting and resolving EMI events within their established scope and responsibilities. USSTRATCOM resources include the GSSCs and RSSCs, which are both dedicated to supporting military SATCOM assets, and the Joint Space Operations Center, which is dedicated to supporting global space operations. USSTRATCOM's Global Operations Center serves as the command's focal point for receiving and processing reports of affected and degraded space systems to the appropriate USSTRATCOM organization for resolution.

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ENCLOSURE D

SPECTRUM MANAGEMENT CONSIDERATIONS IN A MULTINATIONAL AND COALITION ENVIRONMENT

1. <u>Introduction</u>. Past operations have demonstrated the need for aligning DoD spectrum management policies and procedures with those of U.S. allied and coalition partners. U.S. spectrum managers must be prepared to integrate U.S. spectrum requirements into a coalition spectrum-use plan to support the mission. However, the development of specific procedures to support this requirement is made more difficult because of concise security guidance, differences in the level of training, different automation tools, support communication networks, and some language and terminology barriers. The following information is provided to highlight some areas of which the U.S. spectrum manager must be aware when operating in a multinational and/or coalition environment.

2. <u>Areas of Concern</u>. U.S. spectrum managers must be prepared to address these issues, at a minimum, when operating in a multinational and/or coalition environment:

a. <u>Security</u>. The JTF intelligence officer must provide foreign disclosure guidelines early enough in the operational planning phases to facilitate the flow of information. The following are some specific items to consider.

(1) <u>Frequency Assignment Databases</u>. Ensure the appropriate special handling code is entered in SFAF item 005.

(2) <u>JF-12s</u>. Some equipment information may not be releasable to all countries involved in an operation. Each JF-12 should be scrutinized to determine which countries enjoy foreign disclosure authority. If the JF-12 data cannot be released to all the countries, then that information should not be entered into the proposal or assignment that is being coded into the releasable portion of the database.

(3) <u>Communications Networks</u>. Due to the different communication networks that can be used to support coalition and allied operations, network security, and releasability issues need to be addressed with local security and intelligence officers at the earliest point possible to facilitate the flow of information between coalition and allied and U.S. spectrum management functions. If an unclassified network is used, operations security (OPSEC) must be addressed to determine what information can or cannot be released. (4) <u>Waveform Releasability</u>. Certain waveforms may not be releasable, all or in part, to all allied or coalition nations. Combatant command policy must specify which waveforms are releasable to which countries and for which specific purpose.

(5) <u>Waveform Transfer</u>. Transfer of SDR waveforms may be accomplished by electronic transfer device or by manual means (paper transfer). Approved methods and procedures must be delineated before allowing transfer of waveforms.

b. <u>Personnel</u>. Allied and coalition spectrum managers may not be trained to the same standards as U.S. spectrum managers. They have different automation capabilities, responsibilities, and national requirements. U.S. spectrum managers could expect to find they are the most experienced (according to U.S. standards) and, at the same time, the lowest ranking person in the spectrum management cell. U.S. spectrum managers can be expected to lead the overall database management effort and provide training to their allied counterparts on the U.S. automation tools used.

c. Automation Tools

(1) <u>SPECTRUM XXI</u>. This software tool is the standard in the Department of Defense for maintaining the tactical frequency assignment database for contingency operations and today is readily accepted in most areas in which the United States maintains a presence. The difficulties the U.S. spectrum manager encounters exist due to the releasability of the software. Currently SPECTRUM XXI is not releasable to any single country that has not purchased the software through the USG foreign military sales program. In other words, U.S. spectrum managers cannot arbitrarily release the software to non-U.S. nationals. The spectrum managers should be able to obtain guidance from their CCMD. Data standardization is very important when analyzing information contained in the database. U.S. spectrum managers should refer to reference f, CCMD publications, instructions, and JTF written procedures for specific guidance on frequency proposal formatting.

(2) <u>JACS</u>. This software tool is the current joint standard within the Department of Defense, and is used to develop and manage the JCEOI. Most coalition countries do not have JACS; therefore, U.S. spectrum managers can expect to receive JCEOI inputs in various forms. They must then manually input the coalition requirements before generation of the JCEOI. JACS is a common tool that will provide interface between spectrum managers and communication planners, allowing for automated transfer of information that is easily understood by both parties.

(3) <u>Other collaborative tools.</u> In missions that include coalition partners, CCMD and JTF JFMOs may need to establish a means for sharing unclassified

spectrum management data which may require the establishment of different spectrum management tools, processes and/or networks that both U.S. and coalition partners can collaboratively access.

d. Coordination

(1) <u>IO</u>. The requirement for information superiority in the operational environment has increased the importance of management of EMS use. IO encompasses the means for the JTF commander to achieve information superiority. The U.S. spectrum manager must ensure that the appropriate contacts within the intelligence, operations, and communications branches of the coalition task force are coordinating information relevant to the IO effort. This coordination will also have a direct effect on the JRFL. It is imperative the spectrum manager be made aware of all EMS usage within the AOR in order to produce an accurate JRFL product as well as provide EMI free use of the EMS.

(2) <u>Frequency Assignment Authority</u>. Identification of the frequency assignment authority must be made early in the planning process. This initiates decisions that enable the development of essential processes. The resulting procedures would then be incorporated into the specific OPLAN and corresponding annexes.

3. <u>Conclusion</u>. Military operations outside the US&P can present a variety of challenges and sometimes-unique situations relative to spectrum management. The U.S. spectrum manager must be flexible and able to take the lead in a coalition and allied spectrum management function. Operations involving the forces of other nations increase the difficulty of maintaining an electromagnetically compatible environment. Resolving the issues mentioned in this chapter early on would greatly aid the spectrum managers in accomplishing their tasks to support mission goals.

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ENCLOSURE E

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GLOSSARY

PART I—ABBREVIATIONS AND ACRONYMS

A2C2 AADC AAGS ADA ASOC ACP AESOP ALT AM AOC AOI AOR ADRG ARFOR ASCS ATO AUTODIN	Army Airspace Command and Control area air defense commander Army Air-Ground System air defense artillery air support operations center allied communications publication afloat electromagnetic spectrum operations program alternate amplitude modulation air operations center area of influence area of responsibility ARC digitized raster graphics Army force air support control section air tasking order automatic digital network
BDE	brigade
BN	battalion
C2 C4 CAP CAS CCMD CCDR CD-ROM C-E CEOI CJCS CJCSI CJCSI CJCSM CJTF CMOC/SCC	command and control command, control, communications, and computers crisis action planning close air support Combatant Command Combatant Commander compact disk read-only memory communications-electronics communications-electronics operation instructions Chairman of the Joint Chiefs of Staff Chairman of the Joint Chiefs of Staff Chairman of the Joint Chiefs of Staff instruction Chairman of the Joint Chiefs of Staff manual Commander, Joint Task Force Cheyenne Mountain Operations Center/Satellite Communications Control
CNR CPM COCOM COMSEC CONOPS CONPLAN	combat net radio communications planning module Combatant Command (Command Authority) communications security concept of operations concept plan

CONUS	continental United States
COTS	commercial off-the-shelf
CRC	control and reporting center
CRE	control and reporting element
CRP	control and reporting post
CT3	common tier level 3
CTF	combined task force
DASC	direct air support center
DCN	datalink coordination net
DCS	defense communications system
DISA	defense information systems agency
DMS	defense messaging system
DoD	department of defense
DSN	defense switched network
DSO	defense spectrum organization
DTED	digital terrain elevation data
E3	electromagnetic environmental effects
EA	electronic attack
EHF	extremely high frequency
EMC	electromagnetic
EMCAP	electromagnetic compatibility
EMC	electromagnetic compatibility analysis program
EME	electromagnetic environment
EMI	electromagnetic interference
EMOE	electromagnetic operational environment
EMS	electromagnetic spectrum
EMSC	Electromagnetic spectrum
EOB	Electromagnetic order of battle
EP	electromagnetic protection
EPLRS	enhanced position location reporting system
ERF	electronic remote fill
EW	electronic warfare
EWO	electronic warfare officer
EWS	electronic warfare support
EWC	electronic warfare support
EWC	electronic warfare cell
EW/C	early warning/control
EXP	experimental records
FAWC	fleet air warfare coordinator
FARS	frequency assignment retrieval system
FAX	facsimile
FCC	federal communications commission
FE	forced entry
FH	frequency-hopping

frequency modulation frequency management subcommittee frequency resource record system fire support element
gigahertz government master file global positioning system global SATCOM support center
high frequency host nations headquarters
interference analysis in accordance with interference conflict margin integrated communications security international frequency list intelligence gain/loss information operations interdepartment radio advisory committee intelligence, surveillance, and reconnaissance international telecommunications union
Joint Staff Manpower and Personnel Directorate Joint Staff Intelligence Directorate Joint Staff Operations Directorate Joint Staff Logistics Directorate Joint Staff Plans and Policy Directorate Joint Staff Command, Control, Communications, and Computer Systems Directorate
joint automated communications-electronics operating instructions (CEOI) System jamming control authority joint communication-electronics operating instructions joint force commander electronic warfare staff joint electromagnetic spectrum management operations joint EMS operations joint electromagnetic preparedness for advanced Combat joint electronic warfare center joint force air component commander joint force commander joint force land component commander joint frequency management office joint force maritime component commander

JFSOCC	joint force special operations component commander
JIOC	joint information operations center
JIPOE	joint intelligence preparation of the operational environment
JNCC	joint network operations control center
JOPES	joint operation planning and execution system
JRFL	joint restricted frequency list
JSC	joint spectrum center
JSIR	joint spectrum interference resolution
JSME	joint spectrum management element
JSOTF	joint special operations task force
JTIDS	joint tactical information distribution system
JTF	joint task force
kHz	kilohertz
LAN	local area network
LMR	land mobile radio
LNO	liaison officer
LOS	line-of-sight
MARFOR	Marine Corps forces
MCEB	Military Communications-Electronics Board
MHz	megahertz
MILDEP	Military Department
MNL	master net list
MRFL	master radio frequency list (NATO)
NATO NAVAIDS NAVFOR NAVWAR NEO NGA NIB NIPRNET NPT NTIA NUI	North Atlantic Treaty Organization navigational aids Navy forces navigation warfare noncombatant evacuation operation National Geospatial-Intelligence Agency noninterference basis nonsecure internet protocol router network network planning tool National Telecommunications and Information Administration Net Unique Identifier
OPLAN	operation plan
OPORD	operation order
OPSEC	operations security
OPTASKCOM	operational tasking for communications
PC	personal computer

PNT POC	position, navigation, and timing point of contact
RFI RLA RLG	radio frequency interference receiver antenna latitude* receiver antenna longitude*
ROE RR	rules of engagement radio regulations (ITU)
RSC RSSC	receiver state/country* regional SATCOM support center
SAA	satellite access authorization
SAR	search and rescue
SATCOM	satellite communications
SAWC	sector air warfare coordinator
SCI	sensitive compartmented information
SDR	software defined radio
SEM	spherical earth model
SFAF	standard frequency action format
SFCP	shore fire control party
SHF	super-high frequency
SIGINT	signals intelligence
SINCGARS	single channel ground and airborne radio system
SIPRNET	SECRET internet protocol router network
SMM	spectrum management manual
SOI	signal operations instructions
SOP	standard operating procedures
SPCU	space station non-geostationary*
SPCW	space station geostationary*
SPEED	System Planning Engineering and Evaluation Device
SRK	steady receive key
SSB	single side band
SSC	SATCOM support center or satellite communications support center
STO	special technical operations
STU-III	secure telephone unit III
TACC	tactical air control center
TACP	tactical air control party
TAGS	Theater Air-Ground System
TACS	theater air control system
TADIL	tactical digital information link
TAOC	tactical air operations center
TBMCS TIREM	theater battle management core system
TOPOMAN	terrain-integrated rough earth model
	topographic manager

TPFDD	time-phased force and deployment data
TRANSEC	transmission security
TROPO	tropospheric
TSK	transmission security key
TSN	track supervision net
UHF	ultrahigh frequency
UN	United Nations
US&P	United States and possessions
USAFRICOM	U. S. Africa Command
USCENTCOM	U. S. Central Command
USEUCOM	U. S. European Command
USG	U. S. European Command
USNORTHCOM	U. S. Government
USPACOM	U. S. Northern Command
USSOCOM	U. S. Pacific Command
USSOCOM	U. S. Special Operations Command
USSOUTHCOM	U. S. Southern Command
USSTRATCOM	U. S. Strategic Command
USSTRATCOM	U. S. Transportation Command
VHF	very high frequency
VPN	voice product net
XAL	transmitter antenna location*
XLA	transmitter antenna latitude*
XLG	transmitter antenna longitude*
XSC	transmitter state/country*

PART II -- TERMS AND DEFINITIONS

<u>adaptive planning</u> - Compressed and iterative planning utilizing information sharing, collaboration and parallel efforts among OSD, the Joint Staff, CCMDs, and other agencies that supports quickly changing strategic and military conditions.

<u>allocation (of a frequency band)</u> - Entry in a table of frequency allocations of a given frequency band for the purpose of its use by one or more terrestrial or space radio communications services or the radio astronomy service under specified conditions. This term will also be applied to the frequency band concerned (from ITU RR).

<u>area of influence (AOI)</u> - A geographical area wherein a commander is directly capable of influencing operations by maneuver or fire support systems normally under the commander's command or control (reference i).

<u>area of interest</u> - That area of concern to the commander, including the AOI, areas adjacent thereto, and extending into enemy territory to the objectives of current or planned operations. This area also includes areas occupied by enemy forces who could jeopardize the accomplishment of the mission. Also called AOI (reference j).

<u>area of responsibility</u> - 1. The geographical area associated with a CCMD within which a CCDR has authority to plan and conduct operations. 2. In naval usage, a predefined area of enemy terrain for which supporting ships are responsible for covering by fire on known targets or targets of opportunity and by observation. Also called AOR (reference j).

<u>assignment (of a radio frequency or radio frequency channel)</u> - Authorization given by an administration for a radio station to use a radio frequency or radio frequency channel under specific conditions (National Telecommunications and Information Administration Manual).

<u>call signs</u> - Any combination of characters or pronounceable words, which identifies a communication facility, a command, an authority, an activity, or a unit; used primarily for establishing and maintaining communications. Also called CS. Source: JP 3-50

<u>call word</u> - Pronounceable words that identify a communications facility, a command, an authority, an activity, or a unit; serves the same functionality as the call sign.

<u>channeling plan</u> - The plan by which frequencies within a band are to be assigned.

<u>Combatant Command</u> - A unified or specified command with a broad continuing mission under a single commander established and so designated by the President, through the Secretary of Defense and with the advice and assistance of the Chairman. Combatant commands typically have geographic or functional responsibilities (reference i).

<u>Combatant Command (Command Authority)</u> - Nontransferable Command Authority established by title 10 ("Armed Forces"), United States Code, section 164, exercised only by commanders of unified or specified CCMDs unless otherwise directed by the President or the Secretary of Defense. A Command Authority cannot be delegated and is the authority of a CCDR to perform those functions of command over assigned forces involving organizing and employing commands and forces, assigning tasks, designating objectives, and giving authoritative direction over all aspects of military operations, joint training, and logistics necessary to accomplish the missions assigned to the command. A Command Authority should be exercised through the commanders of subordinate organizations. Normally this authority is exercised through subordinate JFCs and Service and/or functional component commanders. Command Authority provides full authority to organize and employ commands and forces as the CCDR considers necessary to accomplish assigned missions. Operational control is inherent in Command Authority (reference j).

<u>Combatant Commander</u> - A commander of one of the unified or specified CCMDs established by the President.

<u>combined</u> - Between two or more forces or agencies of two or more allies. (When all allies or services are not involved, the participating nations and services shall be identified, e.g., combined navies (reference j).

<u>combined force</u> - A military force composed of elements of two or more Allied nations (reference j).

<u>communications security</u> - The protection resulting from all measures designed to deny unauthorized persons information of value that might be derived from the possession and study of telecommunications, or to mislead unauthorized persons in their interpretation of the results of such possession and study. Also called COMSEC. Communications security includes: cryptosecurity, TRANSEC, emission security, and physical security of communications security materials and information (reference j).

<u>controlling authority</u> - The authority that is designated to a command or individual who has the responsibility for overall protection, distribution, and documentation of a JCEOI. <u>crisis</u> - An incident or situation involving a threat to the United States, its territories, citizens, military forces, possessions, or vital interests that develops rapidly and creates a condition of such diplomatic, economic, political, or military importance that commitment of U.S. military forces and resources is contemplated in order to achieve national objectives (reference j).

<u>frequency deconfliction</u> - A systematic management procedure to coordinate the use of the EMS for operations, communications, and intelligence functions. This procedure minimizes possible interference issues that might arise after frequency assignment.

<u>electromagnetic compatibility</u> - The ability of systems, equipment, and devices that use the EMS to operate in their intended environments without causing or suffering unacceptable or unintentional degradation because of EM radiation or response. Also called EMC. See also EMS; EMS management; EW (reference a).

<u>electromagnetic environment</u> - The resulting product of the power and time distribution, in various frequency ranges, of the radiated or conducted EM emission levels that may be encountered by a military force, system, or platform when performing its assigned mission in its intended operational environment. It is the sum of EMI; electromagnetic pulse; hazards of EM radiation to personnel, ordnance, and volatile materials; and natural phenomena effects of lightning and precipitation static. Also called EME (reference j).

<u>electromagnetic environmental effects</u> - The impact of the EME upon the operational capability of military forces, equipment, systems, and platforms. Also called E3 (reference a).

<u>electromagnetic interference</u> - Any EM disturbance, induced intentionally or unintentionally, that interrupts, obstructs, or otherwise degrades or limits the effective performance of electronics and electrical equipment. Also called EMI (reference a).

<u>electromagnetic spectrum</u> - The range of frequencies of EM radiation from zero to infinity. It is divided into 26 alphabetically designed bands (reference j).

<u>electromagnetic spectrum control</u> - The coordinated execution of joint EMS operations with other lethal and nonlethal operations that enable freedom of action in the EMOE. Also called EMSC (reference a).

<u>electromagnetic spectrum management</u> - Planning, coordinating, and managing use of the EMS through operational, engineering, and administrative procedures. See also EMS (reference k). <u>electromagnetic operational environment</u> - The background EME and the friendly, neutral, and adversarial EOB within the EM AOI associated with a given operational area. Also called EMOE (reference k).

<u>electronic attack</u> - Division of EW involving the use of EM energy, directed energy, or antiradiation weapons to attack personnel, facilities, or equipment with the intent of degrading, neutralizing, or destroying enemy combat capability and is considered a form of fires. Also called EA. See also electronic protection; EW; EWS (reference a).

<u>electronic protection</u> - Division of EW involving actions taken to protect personnel, facilities, and equipment from any effects of friendly or enemy use of the EMS that degrade, neutralize, or destroy friendly combat capability. Also called EP. See also EA, EW; EWS (reference a).

<u>electronic warfare</u> - Military action involving the use of EM and directed energy to control the EMS or to attack the enemy. Also called EW. See also directed energy; EMS; EA; electronic protection; EWS (reference a).

<u>electronic warfare cell</u> - The organization established to plan and coordinate joint and allied use of EW assets and capabilities and manage the deconfliction of the EMS before, during, and immediately after the onset of contingencies. Functions include planning and executing the EW thread of the commander's operational campaign plan, EW targeting and managing the JRFL. Also called EWC.

<u>frequency assignment</u> - Authorization given by an administration, or other authority, for a radio station or other emitter to use a specific frequency under specified conditions.

<u>frequency management</u> - The requesting, recording, deconfliction of and issuance of authorization to use frequencies (operate EMS dependent systems) coupled with monitoring and interference resolution processes (reference l).

generated joint communications electronics operation instructions - The final product of all inputs and consists of randomly generated data that was initially input into the UN-generated JCEOI. From this product a user can define output pages and revise many of the products based on requirement changes or output options.

<u>generation authority</u> - The authority placed upon a staff component, individual, or commands having overall responsibility for generating the JCEOI. This includes gathering all information from subordinate elements, combining requirements, changes to the original document, and for creating reserve editions. <u>gigahertz</u> – a unit of frequency(of change in state or cycle in a sound wave, alternating current or other cyclical waveform) equal to 1,000,000,000 cycles, or hertz, per second.

<u>guarded frequencies</u> - Enemy frequencies that are currently being exploited for combat information and intelligence. A guarded frequency is time-oriented in that the guarded frequency list changes as the enemy assumes different combat postures. These frequencies may be jammed after the commander has weighed the potential operational gain against the loss of the technical information (reference j).

<u>host-nation support</u> - Civil and/or military assistance rendered by a nation to foreign forces within its territory during peacetime, crisis or emergencies, or war based on agreements mutually concluded between nations. Also called HNS (reference j).

<u>information operations</u> - The integrated employment, during military operations, of information-related capabilities in concert with other lines of operation to influence, disrupt, corrupt, or usurp the decision-making of adversaries and potential adversaries while protecting our own. Also called IO. See also computer network operations; EW; military deception; military information support operations; OPSEC. Source: SecDef Memo 12401-10

<u>integrated communications security</u> - Systems designs that have in-line cryptographic hardware built into the system.

joint communications electronic operation instruction - A document that is created to provide the JFC the voice and data network architecture to support operations. This document provides the technical characteristics of the net. Also called JCEOI. The JCEOI contains or relates to the following subsections: a. Call signs and call words are utilized for identifying members of a net and/or circuit. 1) Call sign. Any combination of alphabetical characters or phonetically pronounceable characters (trigraph), which identifies a communication facility, a command, an authority, an activity or a unit; used primarily for establishing and maintaining communications. Also called CS. 2) Call word. Pronounceable words that identify a communications facility, a command, an authority, an activity, or a unit; serves the same functionality as the call sign. b. MNL. The MNL, both generated and raw, is a basic part of all JCEOIs. As a minimum the MNL includes the circuit and/or net name, frequency or frequency band, call sign and/or call word requirements, and share group information. Also called MNL. It is usually subdivided in different sections, or layers. c. Joint layer. The inclusion of a circuit into the joint layer must meet at least one of the following requirements: 1) the net and/or circuit will be utilized by the JFC or JFCs staff for C2 of subordinate elements; 2) the JFC receives C2 orders on the net and/or circuit, or 3) The net and/or circuit is controlled by a single Service component and used by other Service

components to coordinate support, fire control, safety or link up operations. d. Additional layers. Usually the MNL is further subdivided into other layers, such as the components, then corps, fleet, and/or wing, or further still as the generation authority directs. e. Un-generated (or raw data) JCEOI. Contains the MNL, call sign and/or call word dictionaries, index pages, reference pages, smoke and pyrotechnic signals definitions, suffix and expander pages, page definition (net groups), separation plans, share plans and reuse plans. f. Generated JCEOI. The final product of all inputs and consists of randomly generated data that was initially input into the Un-generated JCEOI. From this product a user can define output pages and revise many of the products based on requirement changes or output options. g. Revised SINCGARS ICOM and/or Non-ICOM Support Software "RSINISS." Currently a module in RBECS that supports the management of resources for the SINCGARS radio when it is authorized to frequency hopping information (hopsets), loadset (is a required output to the SINCGARS radio-transmitter that includes net ID, cryptographic key identification, and loadset ID), the generation and management of the TSK (which provides the SINCGARS radio with the sequence of frequency order it is to use in frequency hopping mode), and also includes the capability to create mobile subscriber equipment radio access unit frequency pairs.

joint electromagnetic spectrum operations - Those activities consisting of electronic warfare and joint EMS management operations used to exploit, attack, protect, and manage the EMOE to achieve the commander's objectives. Also called JEMSO (reference k).

joint electromagnetic spectrum management operations - Those interrelated functions of frequency management, HN coordination, and JSIR that together enable the planning, management, and execution of operations within the EMOE during all phases of military operations. Also called JEMSMO (reference k).

joint force commander - A general term applied to a CCDR, subunified commander, or JTF commander authorized to exercise Command Authority or operational control over a joint force. Also called JFC (reference j).

joint operation planning - Planning for contingencies that can reasonably be anticipated in an AOR or joint operations area of the command. Planning activities exclusively associated with the preparation of operation plans, operation plans in concept format, campaign plans, and OPORDs (other than the Single Integrated Operational Plan) for the conduct of military operations by the CCDRs in response to requirements established by the Chairman. Joint operation planning is coordinated at the national level to support Secretary of Defense Contingency Planning Guidance, strategic requirements in the National Military Strategy, and emerging crises. As such, it will cover joint operation planning, sustainment planning, and redeployment planning procedures. Joint operation planning is performed IAW formally established planning and execution procedures.

<u>Joint Operation Planning and Execution System</u> - An Adaptive Planning and Execution system technology. Also called JOPES. See also joint operation Planning; joint operations; level of detail (reference l).

joint restricted frequency list - A time and geographically oriented listing of TABOO, PROTECTED, and GUARDED functions, nets, and frequencies that should be limited to the minimum number of frequencies necessary for friendly forces to accomplish objectives. Also called JRFL. See also EW; guarded frequencies; protected frequencies; TABOO frequencies (reference a).

joint special operations task force - A JTF composed of special operations units from more than one Service, formed to carry out specific special operation or prosecute special operations in support of a theater campaign or other operations. The JSOTF may have conventional non-special operations units assigned or attached to support the conduct of specific missions. Also called JSOTF (reference j).

joint task force - A joint force that is constituted and so designated by the Secretary of Defense, a CCDR, a subunified commander, or an existing JTF commander. Also called JTF (reference j).

<u>kilohertz</u> – a unit of frequency (of change in state or cycle in a sound wave, alternating current or other cyclical waveform) equal to 1,000 cycles, or hertz, per second

<u>master net list</u> – A communications list that at a minimum includes the circuit and/or net name, frequency or frequency band, call sign and/or call word requirements, and share group information. Also called MNL.

<u>megahertz</u> – a unit of frequency (of change in state or cycle in a sound wave, alternating current or other cyclical waveform) equal to 1,000,000 cycles, or hertz, per second

<u>operational control</u> - Command authority that may be exercised by commanders at any echelon at or below the level of CCMD. Operational control is inherent in Command Authority and may be delegated within the command. Operational control is the authority to perform those functions of command over subordinate forces involving organizing and employing commands and forces, assigning tasks, designating objectives, and giving authoritative direction necessary to accomplish the mission. Operational control includes authoritative direction over all aspects of military operations and joint training necessary to accomplish missions assigned to the command. Operational control should be exercised through the commanders of subordinate organizations. Normally this authority is exercised through subordinate JFCs and Service and/or functional component commanders. Operational control normally provides full authority to organize commands and forces and to employ those forces as the commander in Operational control considers necessary to accomplish assigned missions; it does not, in and of itself, include authoritative direction for logistics or matters of administration, discipline, internal organization, or unit training. Also called OPCON. See also CCMD; Command Authority; tactical control (reference m).

<u>operation plan</u> - Any plan for the conduct of military operations prepared in response to actual and potential contingencies or a complete and detailed joint plan containing a full description of the CONOPS, all annexes applicable to the plan, and a TPFDD. Also called OPLAN. See also OPORD (reference l).

<u>protected frequencies</u> - Friendly, generally time-oriented, frequencies used for a particular operation, identified and protected to prevent them from being inadvertently jammed by friendly forces while active electronic warfare operations are directed against hostile forces (reference j).

<u>redeployment</u> - The transfer of forces and material to support another JFC's operational requirements, or to return personnel, equipment, and material to the home and/or demobilization stations for reintegration and/or out-processing (JP 3-35).

<u>secure mode</u> - A generic term referring to a method of communications that denies information to unauthorized recipients. The channel, circuit, and/or net are secured by physical means or by the provision of on-line crypto equipment (cryptographic), as appropriate.

<u>Service component command</u> - A command consisting of the Service component commander and all those Service forces, such as individuals, units, detachments, organizations, and installations under that command, including the support forces that have been assigned to a CCMD or further assigned to a subordinate unified command or JTF (reference j).

<u>single channel ground and airborne radio system</u> - A specific radio that has the capability to frequency hop from 30 MHz to 88 MHz ranges. Also called SINCGARS.

<u>software defined radio</u> - A software radio is a radio whose channel modulation waveforms are defined in software. As adopted by the SDR Forum, the term SDRs is used to describe radios that provide software control of a variety of modulation techniques, wide-band or narrow-band operation, communications security functions (such as hopping), and waveform requirements of current and evolving standards over a broad frequency range. The frequency bands covered may still be constrained at the front-end requiring a switch in the antenna system. SDR is an enabling technology applicable across a wide range of areas within the wireless industry that provides efficient and comparatively inexpensive solutions to several constraints posed in current systems. For example, SDR-enabled user devices and network equipment can be dynamically programmed in software to reconfigure their characteristics for better performance, richer feature sets, advanced new services that provide choices to the end-user and new revenue streams for the service provider. SDR is uniquely suited to address the common requirements for communications in the military, civil, and commercial sectors.

<u>specified command</u> - A command that has a broad, continuing mission, normally functional, and is established and so designated by the President through the Secretary of Defense with the advice and assistance of the Chairman. It normally is composed of forces from a single MILDEP. Also called specified CCMD (reference j).

<u>subordinate command</u> - A command consisting of the commander and all those individuals, units, detachments, organizations, or installations that have been placed under the command by the authority establishing the subordinate command (reference j).

<u>supported commander</u> 1. The commander having primary responsibility for all aspects of a task assigned by the Joint Strategic Capabilities Plan or other joint operation planning authority. 2. In the context of joint operation planning, this term refers to the commander who prepares OPLANs or OPORDs in response to requirements of the Chairman. 3. In the context of a support command relationship, the commander who receives assistance from another commander's force or capabilities, and who is responsible for ensuring that the supporting commander understands the assistance required.

<u>supporting commander</u> - In the context of a support command relationship, the commander who aids, protects, complements, or sustains another commander's force, and who is responsible for providing the assistance required by the supported commander. See also support; supported commander (reference i).

<u>TABOO frequencies</u> - Any friendly frequency of such importance that it must never be deliberately jammed or interfered with by friendly forces including international distress, safety, and controller frequencies (reference a).

<u>telecommunication</u> - Any transmission, emission, or reception of signs, signals, writings, images, sounds, or information of any nature by wire, radio, visual, or other EM systems (reference j).

time-phased force and deployment data - The time-phased force data, non-unit cargo and personnel data, and movement data for the OPLAN or OPORD, or

ongoing rotation of forces. Also called TPFDD. See also time-phased force and deployment list (reference l).

<u>unified command</u> - A command with a broad continuing mission under a single commander and composed of significant assigned components of two or more MILDEPs that is established and so designated by the President through the Secretary of Defense with the advice and assistance of the Chairman. Also called unified CCMD; Combatant Command; subordinate unified command (reference l).

<u>warning order</u> - 1. A preliminary notice of an order or action that is to follow. 2. (DoD only) A CAP directive issued by the Chairman that initiates the development and evaluation of courses of action by a supported commander and requests that a commander's estimate be submitted. 3. (DoD only) A planning directive that describes the situation, allocates forces and resources, establishes command relationships, provides other initial planning guidance, and initiates subordinate unit mission planning (reference j).

<u>waveform</u> - A waveform is the representation of a signal as a plot of amplitude versus time. In general usage, the term waveform refers to a known set of characteristics, e.g., SINCGARS or EPLRS "waveforms."

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